

*This brochure supplement provides information about Jeffrey S Boettcher that supplements the BWM Advisory LLC brochure. You should have received a copy of that brochure. Please contact Jeffrey S Boettcher if you did not receive BWM Advisory LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Jeffrey S Boettcher is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **BWM Advisory LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Jeffrey S Boettcher**

Personal CRD Number: 4001659

Investment Adviser Representative

BWM Advisory LLC  
7621 E. Gray Road, Suite C  
Scottsdale, AZ 85258  
(800) 779-4183  
[jeff@bedrockia.com](mailto:jeff@bedrockia.com)

UPDATED: 08/20/2020

Jeffrey S Boettcher is not licensed to act as an investment adviser representative in the State of New York.

## Item 2: Educational Background and Business Experience

**Name:** Jeffrey S Boettcher

**Born:** 1974

### Educational Background and Professional Designations:

#### Education:

BA Business, Miami University - 1998

#### Business Background:

10/2014 - Present	President Investment Adviser Representative BWM Advisory LLC
02/2010 - Present	President Bedrock Investment Advisors
02/2010 - 10/2014	Financial Advisor Berthel Fisher and Company Financial Services / BF
03/2008 - 02/2010	Senior Vice President - Financial Planner Wachovia Securities / Wells Fargo
04/2004 - 03/2008	Vice President - Financial Advisor Banc of America Investments

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Jeffrey S Boettcher is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. BWM Advisory LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of BWM Advisory LLC in such individual's outside capacity.

Jeffrey S Boettcher is the President of the Insurance Marketing Firm (Bedrock Financial Services).

Jeffrey S Boettcher is a rental property owner.

#### **Item 5: Additional Compensation**

Jeffrey S Boettcher does not receive any economic benefit from any person, company, or organization, other than BWM Advisory LLC in exchange for providing clients advisory services through BWM Advisory LLC.

#### **Item 6: Supervision**

As a representative of BWM Advisory LLC, and CCO Jeffery Boettcher is supervised by Michele Herzberg, a licensed individual. Michele Herzberg is responsible for ensuring that Jeffery Boettcher adheres to all required regulations regarding the activities of an Investment Advisor Representative, as well as all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements. Michele Herzberg can be reached at 800-779-4183.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Arizona, Texas and Louisiana state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Jeffrey S Boettcher has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Jeffrey S Boettcher has been the subject of a bankruptcy petition:  
Chapter 7 filed on 03/01/2010, Discharged on 07/01/2010