

*This brochure supplement provides information about David M. Ward that supplements the SWM Advisors brochure. You should have received a copy of that brochure. Please contact Andrea N. Mullins, CCO, if you did not receive SWM Advisors' brochure or if you have any questions about the contents of this supplement.*

*Additional information about David M. Ward is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **SWM Advisors**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **David Marion Ward**

Personal CRD Number: 1577111

Investment Adviser Representative

SWM Advisors  
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## **Item 2: Educational Background and Business Experience**

**Name:** David Marion Ward

**Born:** 1960

### **Educational Background and Professional Designations:**

#### **Education:**

BS, Business, University of South Florida - 1983

#### **Designations:**

##### **AIF, Accredited Investment Fiduciary - 2019**

The Accredited Investment Fiduciary (AIF®) Designation is a professional certification that demonstrates an advisor or other person serving as an investment fiduciary has met certain requirements to earn and maintain the credential.

Each designee will abide by a high standard of professional and ethical conduct as expected from a trusted fiduciary. Designee will act with honesty and integrity, promote and be a steward of ethical behavior, and employ prudent and responsible practices with the client's best interests in mind.

To attain an initial AIF designation, there are five requirements. A candidate must:

1. complete AIF Training materials
2. pass the AIF examination with a 70% or higher
3. meet an experience requirement which includes one of the following:
  - a. Minimum of two years of relevant experience; a bachelor's degree (or higher); and a professional credential or
  - b. Minimum of five years of relevant experience; a bachelor's degree (or higher) or a professional credential or
  - c. Minimum of eight years of relevant experience.
4. Satisfy the Code of Ethics and Conduct Standards
5. Submit application and dues

All requirements must be met within one (1) year of passing the Examination. After one year, a candidate must retake and pass the exam to meet the examination requirement for certification.

Ongoing requirements for each candidate to maintain their designation include:

1. Accrue and report six (6) hours of continuing education (CE), four of which must be delivered by Fi360 or one of Fi360's approved CE providers.
2. Satisfy the Code of Ethics and Conduct Standards
3. Submit the application and dues

**Business Background:**

12/2019 Present	Retirement Plan Advisor, SWM Advisors (Formerly Seabridge Wealth Management, LLC)
05/2017 – 08/2019	Retirement Plan Advisor Montgomery Retirement Plan Advisors
01/2014 – 05/2017	VP Retirement Plan Services Insurance Office of America
11/2004 – 01/2014	Retirement Plan Consultant Insurance Office of America
05/2004 – 11/2004	Retirement Plan Advisor Saunders Financial
04/1999 – 05/2004	Retirement Plan Specialist Hartford Life Insurance Company
05/1995 – 04/1999	National Sales Consultant U.S. Pension Services
08/1991 – 05/1995	Retirement Administrator Eckerd Corporation
01/1987 – 08/1991	Benefits Specialist Coopers & Lybrand

<b>Item 3: Disciplinary Information</b>
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There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

David M. Ward is not engaged in any investment-related business or occupation (other than this advisory firm).

#### **Item 5: Additional Compensation**

David M. Ward does not receive any economic benefit from any person, company, or organization, other than SWM Advisors in exchange for providing clients advisory services through SWM Advisors.

#### **Item 6: Supervision**

As a representative of SWM Advisors, David M. Ward works closely with supervisor Valerie C. DiGennaro, and all advice provided to clients is reviewed by this supervisor prior to implementation. Valerie C. DiGennaro can be reached at (727) 456-0011. David M. Ward adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.