

Alexander (“Alex”) P. Golden, IV

Personal CRD Number: 7212856

Managing Member / Chief Compliance Officer / Investment Adviser Representative

Round Table Services, LLC

dba

Round Table Financial

116 Ottenheimer Plaza, Suite 1
Little Rock, AR 72201

Phone: (501) 500-0105

Email: alex@rtservices.us

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Form ADV Part 2B Brochure Supplement

This Brochure Supplement provides information about Alexander (“Alex”) P. Golden, IV that supplements the Disclosure Brochure for Round Table Services, LLC dba Round Table Financial, a copy of which you should have received. Please contact Alex Golden, Chief Compliance Officer, if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Mr. Golden is available on the SEC’s website at www.adviserinfo.sec.gov.

Educational Background and Business Experience - Item 2

Alex Golden

Year of Birth: 1970

Formal Education After High School:

- Georgetown University, BSBA, 1992
- University of Arkansas, MBA, 1994

Business Background for the Previous Five Years:

- Round Table Services, LLC dba Round Table Financial, Managing Member, 10/2019; Chief Compliance Officer and Investment Adviser Representative, 12/2019 to Present
- Licensed Real Estate Agent, 02/2018 to Present
- Licensed Insurance Agent, 01/2018 to Present
- Community State Bank, Chairman, 02/2015 to 02/2019
- Allied Bank, Chairman, CEO, President, 01/2001 to 09/2016

Disciplinary Information - Item 3

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Golden. Mr. Golden has no history of reportable legal or disciplinary events. However, additional information regarding Mr. Golden can be found online at www.adviserinfo.sec.gov.

Other Business Activities - Item 4

Round Table Financial is a licensed insurance agency. Mr. Golden is licensed as an insurance agent and can effect transactions in various insurance products, including property and casualty products for commission-based compensation. The firm expects that clients to whom it offers advisory services may also be clients for whom Round Table Financial acts as an insurance agency and Mr. Golden acts as an insurance agent. Clients are instructed that the fees paid to Round Table Financial for advisory services are separate and distinct from the commissions earned for placing the client in insurance products. Clients to whom Round Table Financial offers advisory services are informed that they are under no obligation to use the firm or Mr. Golden for insurance services and may use any insurance agency and agent they choose.

Mr. Golden is a licensed real estate agent. It is not expected that advisory clients will become real estate clients; however, if clients are interested in utilizing the real estate services, they are advised that any real estate commissions earned by Mr. Golden in his capacity as a real estate agent are separate and in addition to the advisory fees paid to Round Table Financial for advisory services. Clients are not obligated to utilize the real estate services offered by Mr. Golden.

It is anticipated that Mr. Golden will spend less than 10% of his professional time on these outside business activities.

Additional Compensation – Item 5

Apart from the commission-based income for the other business activities listed above in Item 4 of the brochure supplement, Mr. Golden does not receive any additional compensation or economic benefits from third party sources in connection to his advisory activities through Round Table Financial.

Supervision - Item 6

Mr. Golden is the sole owner, Managing Member, President, and Chief Compliance Officer of Round Table Financial. He is responsible for the implementation of the firm's compliance program and the overall supervision of the firm's advisory activities and its Associated Persons. Mr. Golden is not supervised by others. He can be reached at the phone number listed on the cover of this Brochure Supplement.

Round Table Financial has implemented a Code of Ethics and an internal compliance program that guides each Associated Person, including Mr. Golden, in meeting their fiduciary obligations to you. Mr. Golden adheres to the code of ethics and compliance manual as mandated. You may contact him at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of our Code of Ethics.

Additionally, Round Table Financial is subject to regulatory oversight by various agencies. These agencies require registration by Round Table Financial and its Associated Persons, where applicable. As a registered entity, Round Table Financial is subject to examinations by regulators, which may be announced or unannounced. Additionally, Round Table Financial is required to periodically update the information provided to these agencies and to provide various reports regarding the firm's business and assets under management.

Requirements for State-Registered Advisers – Item 7

This disclosure is required by state securities authorities. As such, we are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Golden.

A. Mr. Golden has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or

administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

- B. Mr. Golden has NOT been the subject of a bankruptcy. However, additional information regarding Mr. Golden can be found online at www.adviserinfo.sec.gov.