

**Item 1: Cover Page**  
**Part 2B of Form ADV: Brochure Supplement**  
**May 4, 2020**



**ORDERLY FINANCE<sup>SM</sup>**

**Jon Gross**

**1501 N Broadway #200**  
**Walnut Creek, California 94596**  
**[www.orderlyfinance.com](http://www.orderlyfinance.com)**

**Firm Contact:**  
**Jon Gross**  
**Chief Compliance Officer**

This brochure supplement provides information about Jon Gross that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Gross if you did not receive Orderly Finance, LLC dba Orderly Finance's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Gross is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4090118.



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## Item 2: Educational Background & Business Experience

**Jon Michael Gross**  
**Year of Birth:** 1977

### **Educational Background:**

- 2005: University of Florida; MBA
  - Concentrations: Decision Information Systems, Supply Chain Management
- 1999: Arizona State University; Bachelor of Science in Finance

### **Business Background:**

- Orderly Finance; Financial Advisor & CCO
- Goldman Sachs Group, Inc.; Analyst
- Credit Suisse Securities LLC; Assistant Vice President
- Morgan Stanley, Financial Advisor
- Merrill Lynch, Pierce, Fenner & Smith, Inc.; Vice President & Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

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|------------------------|------------------------|
| • 2018: SIE Exam       | • 2001: Series 66 Exam |
| • 2002: Series 31 Exam | • 2000: Series 7 Exam  |

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## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Gross.

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## Item 4: Other Business Activities

Mr. Gross does not have any outside business activities to report.

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## Item 5: Additional Compensation

Mr. Gross does not receive any other economic benefit for providing advisory services in addition to advisory fees.

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## Item 6: Supervision

Jon Gross is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. Mr. Gross may be reached at: (415) 432-9876.

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## Item 7: Requirements for State-Registered Advisers

Jon Gross has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.