

# Villanova Investment Management Company LLC

## Form ADV Part 2B Brochure Supplement May 4, 2020

1000 Chesterbrook Blvd, Suite 100  
Berwyn, PA 19312  
[www.villanovainvestco.com](http://www.villanovainvestco.com)

267-923-0004

*This brochure supplement provides information about the personnel of Villanova Investment Management Company LLC ("VIMCO"). This document supplements the VIMCO brochure. If you have any questions about the contents of this brochure supplement, please contact Rasto Berlansky at 267-923-0004, or [rberlansky@villanovainvestco.com](mailto:rberlansky@villanovainvestco.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority.*

*Additional information about VIMCO is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Additional information about the personnel of VIMCO described in this brochure supplement may also be available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Rastislav (Rasto) Berlansky, CFA

Principal & Founder

September 2016 - Present

### Item 2 - Educational Background and Business Experience

Year of Birth: 1976

**Education:**

- Villanova University (BS, 1998)

**Business Background:**

- Principal & Founder – Villanova Investment Management Company, LLC – September 2016-Present
- Managing Director, Co-Head – Absolute Value Group, Brandywine Global Investment Management, LLC, August 2003 – December 2015
- Analyst – Equity, LLR Partners, Inc., July 2000 – August 2003

### Item 3 – Disciplinary Information

Rasto Berlansky does not have any disciplinary disclosures to be reported.

### Item 4 – Other Business Activities

Rasto Berlansky is currently not actively engaged in any other investment related business or occupation.

### Item 5 – Additional Compensation

Rasto Berlansky receives compensation from Villanova Investment Management Company LLC for his responsibilities as Principal & Founder, and for providing advisory services to clients. Mr. Berlansky does not receive any other economic benefit for providing advisory services.

### Item 6 – Supervision

Rasto Berlansky's activities are monitored by VIMCO's Chief Compliance Officer, Don O'Hara. Mr. O'Hara can be reached at 267-597-3849.

### Item 7 – Requirements for State Registered Advisers

This disclosure is required by state securities authorities and is provided for you to use in evaluating this investment adviser representative's suitability.

Rastislav (Rasto) Berlansky, CFA has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
3. Rastislav (Rasto) Berlansky, CFA has NOT been the subject of a bankruptcy petition in the past ten years.



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**Edward A. Trumpbour**

Principal & Founder

September 2016 - Present

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**Item 2 - Educational Background and Business Experience**

Year of Birth: 1957

**Education:**

- Georgetown University (B.S.B.A, 1979)
- Wharton School of the University of Pennsylvania (M.B.A- Finance, 1985)

**Business Background:**

- Principal & Founder, Villanova Investment Management Company, LLC, September 2016 to present
- Managing Director, Co-Head – Absolute Value Group, Brandywine Global Investment Management, LLC, February 1996 – July 2015
- Vice President & Senior Portfolio Manager - Delaware Investment Advisers, July 1985 – January 1996

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**Item 3 – Disciplinary Information**

Ed Trumpbour does not have any disciplinary disclosures to be reported.

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**Item 4 – Other Business Activities**

Ed Trumpbour is currently not actively engaged in any other investment related business or occupation.

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**Item 5 – Additional Compensation**

Ed Trumpbour receives compensation from Villanova Investment Management Company LLC for his responsibilities as Principal & Founder, and for providing advisory services to clients. Mr. Trumpbour does not receive any other economic benefit for providing advisory services.

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**Item 6 – Supervision**

Ed Trumpbour's activities are monitored by VIMCO's Chief Compliance Officer, Don O'Hara. Mr. O'Hara can be reached at 267-597-3849.

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**Item 7 – Requirements for State Registered Advisers**

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment adviser representative's suitability.

Edward Trumbour has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
3. Edward Trumbour has NOT been the subject of a bankruptcy petition in the past ten years.



## **Donald I. O'Hara**

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Chief Compliance Officer

September 2016 - Present

### **Item 2 - Educational Background and Business Experience**

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Year of Birth: 1972

#### **Education:**

- Villanova University (M.B.A Finance, 1999)
- Pennsylvania State University – Bachelor of Science in Economics

#### **Business Background:**

09-2016 - Present	Villanova Investment Management Company LLC – Chief Compliance Officer
11-2019 - Present	STP Investment Partners – Chief Compliance Officer
05-2018–2020	Chesterbrook Capital – Chief Executive Officer and Chief Compliance Officer
2009-2019	AlphaOne Capital Partners – Chief Operating Officer and Chief Compliance Officer
2006–2009	Reliance International - Controller
2004-2006	CMS Companies – Senior Performance Analyst
2000-2004	Gartmore Global Investments – Director of Operations
1998 - 2000	Brinker Capital – Portfolio Operations
1997 - 1998	Princeton Financial Systems – Clients Support Representative
1995-1997	SEI Investments – Fund Accounting Supervisor

#### **FINRA Licenses**

Series 7  
Series 24  
Series 63

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*Item 3 – Disciplinary Information*

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Donald O'Hara does not have any disciplinary disclosures to be reported.

*Item 4 – Other Business Activities*

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Donald O'Hara also serves as the Chief Compliance Officer of STP Investment Partners, LLC. STP Investment Partners, LLC is also a minority owner off Villanova Investment Management Company.

*Item 5 – Additional Compensation*

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Donald O'Hara does not receive compensation from VIMCO, rather receives compensation from STP Investment Partners, LLC

*Item 6 – Supervision*

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Donald O'Hara's activities are monitored by VIMCO's Principals and Founders Rastislav (Rasto) Berlansky and Ed Trumpbour

*Item 7 – Requirements for State Registered Advisers*

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This disclosure is required by state securities authorities and is provided for you use in evaluating this investment adviser representative's suitability.

Donald I. O'Hara has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
3. Donald I. O'Hara has NOT been the subject of a bankruptcy petition in the past ten years.

## Daniel R. McFadden

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Head of Business Development and Client Service

September 2018 - Present

### Item 2 - Educational Background and Business Experience

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Year of Birth: 1960

Education:

- Villanova University (M.B.A., Finance, 1990)
- Drexel University – Bachelor of Science in Business Administration with a concentration in Accounting, 1983

Business Background:

2018 - Present	Villanova Investment Management Company – Head of Business Development and Client Service
2018 – Present	Chesterbrook Capital, LLC – Registered Representative
2012–2017	SEI Investments – Senior Client Portfolio Manager, Institutional Group
2003-2011	Turner Investment Partners – Senior Managing Director of Global Client Service
1998–2003	Brandywine Global Investments – Senior Vice President, Client Service
1987-1998	Hercules Incorporated – Director of Business Analysis, Paper Technology Division
1983-1987	Coopers & Lybrand – Supervisor, Business Investigation Services

### **FINRA Licenses**

Series 7  
Series 63

### Item 3 – Disciplinary Information

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Daniel McFadden does not have any disciplinary disclosures to be reported.

### Item 4 – Other Business Activities

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In addition to serving as Head of Business Development and Client Service for VIMCO, Mr. McFadden is a registered representative with Chesterbrook Capital, a FINRA registered broker-dealer. From time to time, Mr. McFadden will offer clients advice or products from those activities and receive compensation or



commissions. Clients of VIMCO are under no obligation to purchase or sell securities through Mr. McFadden or Chesterbrook. Mr. McFadden spends less than 5% of his time offering securities on a commission or fee basis with Chesterbrook. As a registered representative of Chesterbrook, Mr. McFadden is subject to oversight over all of his securities activities and certain outside business activities, as well as supervision over any security recommendations Mr. McFadden might make to his clients. Mr. McFadden also serves as Chairman of the Board of Trustees of the New Castle County (DE) Pension.

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*Item 5 – Additional Compensation*

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As previously mentioned, Daniel McFadden receives compensation from his sales activities related to his employment and registration maintained with Chesterbrook Capital. Mr. McFadden does not receive any economic benefit from any other person, company, or organization, in exchange for providing clients advisory services through Jarvis Financial Services, Inc

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*Item 6 – Supervision*

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Daniel McFadden's activities are monitored by Donald O'Hara, Villanova Investment Management Company's CCO.

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*Item 7 – Requirements for State Registered Advisers*

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This disclosure is required by state securities authorities and is provided for you use in evaluating this investment adviser representative's suitability.

Daniel McFadden has NOT been involved in any of the events listed below.

4. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
5. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
6. Daniel McFadden has NOT been the subject of a bankruptcy petition in the past ten years.

