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May 2020

**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about all investment advisors of One Capital Management, LLC and supplements the One Capital Management, LLC brochure. You should have received a copy of that brochure. Please contact your advisor if you did not receive the firm's brochure or if you have any questions about the contents of this supplement.

Additional information about the firm and your advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Please retain this Form ADV Brochure Supplement for future reference, as it contains important information if you decide to add services or establish additional advisory accounts at OCM. We will provide you with an updated copy of this Brochure only if there are material changes to disciplinary information.

Educational Background and Business Experience

Education: Arizona State University, B.I.S. Economics and Political Science, 2009

**Employment for the
Past Five Years:**

One Capital Management, Managing Director, Wealth Management,
11/2011 - present

American Financial Network, Business Development Director/Financial
Advisor, 05/2007 - 11/2011

Professional Licenses: CA Insurance license #0G71692

Certified Financial Planner

Disciplinary Information

Brad Barrett does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Brad Barrett is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Barrett for insurance related activities. This could potentially present a conflict of interest because Mr. Barrett may have an incentive to recommend insurance products for the purpose of generating commissions. However, there is no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with OCM.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Barrett's receipt of additional compensation as a result of his activities as a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of One Capital Management's firm brochure for additional disclosures on this topic.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Brad Barrett's investment advisory activities; he can be reached at 805-409-8150.

Educational Background and Business Experience

Education: Annapolis West, High School Diploma, 1978

**Employment for the
Past Five Years:**

One Capital Management, Executive Director, 07/2012 - present

Self Employed, Financial Advisor, 06/2002 – 07/2012

Professional Licenses:

CA Insurance license #0M31646

Disciplinary Information

Wayne Baxter does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Wayne Baxter is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Baxter for insurance related activities. This could potentially present a conflict of interest because Mr. Baxter may have an incentive to recommend insurance products for the purpose of generating commissions. However, there is no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with OCM.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Baxter's receipt of additional compensation as a result of his activities as a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of One Capital Management's firm brochure for additional disclosures on this topic.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Wayne Baxter's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Kevin Boden

Year of Birth: 1944

Educational Background and Business Experience

Education:

St. Conleth's College, Dublin, Ireland – Baccalaureate, 1962

**Employment for the
Past Five Years:**

One Capital Management, LLC, Advisor, 11/2016 - Present

Lincap Management Co, Senior Portfolio Manager,
04/2001 - Present

Disciplinary Information

Kevin Boden does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Kevin Boden is not actively engaged in any other business or occupation (investment -related or otherwise) beyond his capacity as an Investment Advisor with OCM. Moreover, Mr. Boden does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Kevin Boden does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Kevin Boden's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Patrick Bowen

Year of Birth: 1970

Educational Background and Business Experience

Education: CA Polytechnic State University, B.S., Economics, 1995
Arizona State University, attended 1988-1989

**Employment for the
Past Five Years:** One Capital Management, LLC, President, 01/2004 - present

Disciplinary Information

Patrick Bowen does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Patrick Bowen is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as President of OCM. Moreover, Mr. Bowen does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Patrick Bowen does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer, is responsible for supervising Patrick Bowen's investment advisory activities; he can be reached at 805-409-8150.

Educational Background and Business Experience

Education: Ball State University, B.A., Criminology, 2004
Ball State University, B.A., Political Science, 2004

Employment for the

Past Five Years: One Capital Management, LLC, Managing Director, 01/2020 - present
Charles Schwab Bank, Vice President, Financial Consultant, 06/2010 – 01/2020

Professional Licenses: CA Insurance license #0B27845
Certified Financial Planner
Certified Wealth Strategist

Disciplinary Information

Joshua Bradburn does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Joshua Bradburn is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Bradburn for insurance related activities. This could potentially present a conflict of interest because Mr. Bradburn may have an incentive to recommend insurance products for the purpose of generating commissions. However, there is no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with OCM.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Bradburn's receipt of additional compensation as a result of his activities as a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of One Capital Management's firm brochure for additional disclosures on this topic.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Joshua Bradburn's investment advisory activities; he can be reached at 805-409-8150.

Educational Background and Business Experience

Education: Bachelor of Arts – Finance, University of Utah, 1986

Employment for the Past Five Years: One Capital Management, LLC, Chief Investment Officer, 01/2004 - present, Chief Compliance Officer

Professional Designation: Chartered Financial Analyst – September 1993

The Chartered Financial Analyst®, CFA® and Certification Mark (collectively, the “CFA® marks”) are professional certification marks granted in the United States and internationally by the CFA Institute.

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 90,000 CFA charter holders working in 135 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards - The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

Place their clients' interests ahead of their own

Maintain independence and objectivity

Act with integrity

Maintain and improve their professional competence

Disclose conflicts of interest and legal matters

Global Recognition - Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charter holders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 19 countries recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge - The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and

practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Disciplinary Information

Steven Cowley does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Steven Cowley is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as CIO of OCM. Moreover, Mr. Cowley does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Steven Cowley does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Patrick Bowen, President, is responsible for supervising Steven Cowley's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Randol Curtis

Year of Birth: 1970

Educational Background and Business Experience

Education:	Wharton – University of Pennsylvania, MBA, 1999
	Cornell University, BS and BA, 1993
Employment for the	One Capital Management, LLC, Deputy Chief Investment Officer, 10/2019 – present
Past Five Years:	BCM Partners LLC, Managing Member, 01/2009 – 10/2019
	BCM Partners, LLP, Partner, 5/2006 – 01/2009
	Morgan Stanley London, Executive Director, 07/1999 – 05/2006

Professional Designation: Chartered Financial Analyst – October 2000

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Disciplinary Information

Randol Curtis does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Randol Curtis is also affiliated with BCM & Partners LLC, which manages Real Estate Investment Properties.

Additional Compensation

Randol Curtis does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Randol Curtis's investment advisory activities; he can be reached at 805-409-8150.

Educational Background and Business Experience

Education: University CA Santa Barbara, B.A., Dual Emphasis Political Science & Business Economics, 1983

Employment for the

Past Five Years: One Capital Management, Principal | Managing Director 01/2020 - present

Beacon Pointe Advisors Wealth Advisors, Partner | Managing Director, 09/2013 - 01/2020

Disciplinary Information

Gary K. Dorfman does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Gary K Dorfman is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Managing Director at OCM. Moreover, Mr. Dorfman does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Gary K. Dorfman does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Gary K. Dorfman's investment advisory activities; he can be reached at 805-409-8150.

Educational Background and Business Experience

Education: Villanova University, BS Business Administration, 2002

**Employment for the
Past Five Years:**

One Capital Management, Director | Senior Portfolio Manager, 6/2015 - present

Fascet LLC, Senior Consultant 05/2011 - 05/2015

Promethean Capital Group, LLC, Trader, 05/2004 – 11/2011

**Professional
Designation:**

Chartered Financial Analyst – November 2008

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To learn more about the CFA charter, visit www.cfainstitute.org.

Disciplinary Information

Michael Galanowsky does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Michael Galanowsky is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Senior Portfolio Manager at OCM. Moreover, Mr. Galanowsky does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Michael Galanowsky does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Michael Galanowsky's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Russell Garvin

Year of Birth: 1943

Educational Background and Business Experience

Education: Florida State University, Tallahassee; B.A. History (Honors), M.S. Economics.

Employment for the Past Five Years: One Capital Management, LLC, Advisor, 03/2017 - Present
Lincap Management Co, President, 09/1976 - Present

Professional Designation: Chartered Financial Analyst – 1972

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The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

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Maintain independence and objectivity

Act with integrity

Maintain and improve their professional competence

Disclose conflicts of interest and legal matters

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To learn more about the CFA charter, visit www.cfainstitute.org.

Disciplinary Information

Russell Garvin does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Russell Garvin is not actively engaged in any other business or occupation (investment -related or otherwise) beyond his capacity as an Investment Advisor with OCM. Moreover, Mr. Garvin does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Russell Garvin does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Russell Garvin's investment advisory activities; he can be reached at 805-409- 8150.

Educational Background and Business Experience

Education: Southern New Hampshire University, B.S., Business (Honors), 2012

Employment for the

Past Five Years: One Capital Management, Executive Director, 01/2020 - present
Beacon Point Advisors, LLC, Senior Wealth Advisor, 07/2019 – 12/2019
Pure Financial Advisors, Inc. Managing Director, 12/2016 - 06/2019

Professional Licenses: Certified Financial Planner
Chartered Life Underwriter
Accredited Estate Planner
Accredited Investment Fiduciary

Disciplinary Information

Eric Hamel does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Eric Hamel is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Associate Portfolio Manager of OCM. Moreover, Mr. Hamel does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Eric Hamel does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Eric Hamel's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Sara Kalsman

Year of Birth: 1990

Educational Background and Business Experience

Education: San Diego State University, B.S. Financial Services and Specialization in Personal Financial Planning, 2013

Employment for the

Past Five Years: One Capital Management, LLC, Director | Wealth Planning 01/2019 - present

Beacon Point Advisors, LLC 03/2016 -12/2020

Reilly Financial Advisors, LLC, Financial Planner, 07/2013 - 02/2016

Disciplinary Information

Sara Kalsman does not have, nor has she ever had, any disciplinary disclosure.

Other Business Activities

Sara Kalsman is not actively engaged in any other business or occupation (investment-related or otherwise) beyond her capacity as Director of Wealth Planning at OCM. Moreover, Mrs. Kalsman does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Sara Kalsman does not receive any additional compensation for providing advisory services beyond the fee-based compensation she receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Sara Kalsman's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Drew Kunde

Year of Birth: 1997

Educational Background and Business Experience

Education: Pepperdine University, B.S. Economics, 2019

Employment for the Past Five Years: One Capital Management, LLC, Associate | Portfolio Manager 07/2019 - present

Hawk Ridge Systems, Marketing Intern, 07/2015 - 06/2019

Disciplinary Information

Drew Kunde does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Drew Kunde is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Associate Portfolio Manager of OCM. Moreover, Mr. Kunde does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Drew Kunde does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Drew Kunde's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Donald McDonald

Year of Birth: 1962

Educational Background and Business Experience

Education: University of Manitoba, B.A., Economics, 1985

Employment for the Past Five Years: One Capital Management, LLC, Managing Director, 08/2001 - Present

Disciplinary Information

Donald McDonald does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Donald McDonald is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Managing Director of OCM. Moreover, Mr. McDonald does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Donald McDonald does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley CFA, Chief Compliance Officer, is responsible for supervising Donald McDonald's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Nicholas McDonald

Year of Birth: 1990

Educational Background and Business Experience

Education: Cal State Northridge, BA Communications, 2014

Santa Barbara City College, Associates Degree, Communications, 2011

Employment for the Past Five Years: One Capital Management, LLC, Director, 07/2014 - Present

Disciplinary Information

Nicholas McDonald does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Nicholas McDonald is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. McDonald for insurance related activities. This could potentially present a conflict of interest because Mr. McDonald may have an incentive to recommend insurance products for the purpose of generating commissions. However, there is no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with OCM.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. McDonald's receipt of additional compensation as a result of his activities as a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of One Capital Management's firm brochure for additional disclosures on this topic.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Nicholas McDonald's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Lance Messervy

Year of Birth: 1980

Educational Background and Business Experience

Education: University of Utah, MS Finance, BS Finance, May 2013

Employment for the Past Five Years: One Capital Management, Director | Senior Portfolio Manager, 11/2013 – present

Forest Pharmaceutical, Territory Representative, 09/2013 – 11/2013

Crestline Investors, Investment Advisor Intern, 01/2013 – 08/2013

Woodbury Strategic Partners, Financial Analyst Intern, 09/2012 - 12/2012

Reliance Standard Life, Regional Sales Rep, 01/2011 – 01/2012

Disciplinary Information

Lance Messervy does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Lance Messervy is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as a Senior Portfolio Manager at OCM. Moreover, Mr. Messervy does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Lance Messervy does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Lance Messervy's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Josh Pollard

Year of Birth: 1993

Educational Background and Business Experience

Education:	Utah Valley University, B.S., Personal Financial Planning, 2017 Certified Financial Planner
Employment for the Past Five Years:	One Capital Management, Wealth Management Analyst, 01/2018 - present Arista Wealth Management, Financial Planner, 05/2017 – 09/2017 Frandsen Morrill Advisors, Financial Planner, 09/2016 – 04/2017 BCJ Financial Group, Financial Planner, 12/2015 – 04/2016 Vivint, Technician, 05/2015 – 12/2015

Disciplinary Information

Josh Pollard does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Josh Pollard is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Wealth Management Analyst at OCM. Moreover, Mr. Pollard does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Josh Pollard does not receive any additional compensation for providing wealth management services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Josh Pollard's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Tobie Rodriguez

Year of Birth: 1984

Educational Background and Business Experience

Education: Walden University, currently

**Employment for the
Past Five Years:**

One Capital Management, Executive Director, 04/2019 - present

HBW Advisory Services, LLC, Investment Advisor, 03/2019 – 04/2019

Tamar Securities, LLC, Executive Director, 11/2015 – 03/2019

Tamar Securities, LLC, Client Associate, 04/2015 – 11/2015

PFS Investments, Regional Manager, 09/2011 – 04/2015

Professional Licenses: CA Insurance license #0E32375

Disciplinary Information

Tobie Rodriguez does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Tobie Rodriguez is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Rodriguez for insurance related activities. This could potentially present a conflict of interest because Mr. Rodriguez may have an incentive to recommend insurance products for the purpose of generating commissions. However, there is no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with OCM.

Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Rodriguez's receipt of additional compensation as a result of his activities as a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of One Capital Management's firm brochure for additional disclosures on this topic.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Tobie Rodriguez's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Zamir Siddiqi

Year of Birth: 1968

Educational Background and Business Experience

Education: East Carolina University, B.S., 1990

**Employment for the
Past Five Years:**

One Capital Management, Managing Director, 02/2015 - present

Wells Fargo Advisors, LLC, Senior Vice President, 01/2011 – 02/2015

Wells Fargo Investments, LLC, Senior Vice President, 03/2010 –
01/2011

Disciplinary Information

Zamir Siddiqi, as a registered investment adviser of One Capital Management, LLC, is required to disclose all material facts regarding any legal or disciplinary event that would be material to your evaluation of him. In December 2013, Mr. Siddiqi filed for personal Chapter 11 bankruptcy in the Southern District of California. This was discharged in December of 2013. This is the only item to disclose.

Other Business Activities

Zamir Siddiqi is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as a Managing Director of OCM. Moreover, Mr. Siddiqi does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Zamir Siddiqi does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Zamir Siddiqi's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Dan Stridsberg

Year of Birth: 1955

Educational Background and Business Experience

Education: Gothenburg Business School, B.A., Business, 1979

Employment for the

Past Five Years: One Capital Management, LLC, Managing Director, 09/2003 - present

Disciplinary Information

Dan Stridsberg does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Outside of his activities at OCM, Mr. Stridsberg serves as the Chief Compliance Officer and Chief Investment Officer of RSG Wealth Management, LLC., a registered investment adviser with the State of Missouri Office of the Secretary of State, Securities Division. This can cause a conflict of interest in that this endeavor requires approximately ten (10) percent of Mr. Stridsberg's time (approximately 1 hour per day during working hours). This time is therefore not dedicated to Mr. Stridsberg's responsibilities with OCM. OCM mitigates this risk by ensuring that Mr. Stridsberg's efforts with RSG Wealth Management do not impact his ability to perform all job functions with OCM. Should Mr. Stridsberg's responsibilities with RSG Wealth Management, LLC. impact his responsibilities with OCM, Mr. Stridsberg will either curtail this activity or OCM will hire additional assistance for Mr. Stridsberg.

Additional Compensation

Dan Stridsberg does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through One Capital Management, LLC.

Supervision

Steven Cowley, Chief Investment Officer, is responsible for supervising Dan Stridsberg's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Kaitlyn Voyce

Year of Birth: 1985

Educational Background and Business Experience

Education: UCLA, B.A., 2007
Emerson College, MBA, 2011
Certified Financial Planner

Employment for the
Past Five Years: One Capital Management, Associate - Client Services, 11/2019 - present

Anthem, Inc., Marketing Communications Manager, 07/2016 – 11/2019

Sage Publishing, Publishing Editor, 02/2012 – 11/2015

Disciplinary Information

Kaitlyn Voyce does not have, nor has she ever had, any disciplinary disclosure.

Other Business Activities

Kaitlyn Voyce is not actively engaged in any other business or occupation (investment-related or otherwise) beyond her capacity as Client Service Associate at OCM. Moreover, Ms. Voyce does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Kaitlyn Voyce does not receive any additional compensation for providing wealth management services beyond the fee-based compensation she receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Kaitlyn Voyce's investment advisory activities; he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Justin Weisbloom

Year of Birth: 1995

Educational Background and Business Experience

Education: Loyola Marymount University, B.A. in Economics, 2017

Employment for the Past Five Years: One Capital Management, Associate | Portfolio Management, 02/2019 - present

Gideon Strategic Partners, Associate, 11/2017 - 01/2019

Chromeriver Technologies, Business Development Representative, 09/2016 - 03/2017

Vivint, Sales Representative, 05/2015 - 06/2016

Disciplinary Information

Justin Weisbloom does not have, nor has he ever had, any disciplinary disclosure.

Other Business Activities

Justin Weisbloom is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as an Associate Portfolio Manager at OCM. Moreover, Mr. Weisbloom

does not receive any commissions, bonuses or other compensation based on the sales of securities or other investment products.

Additional Compensation

Justin Weisbloom does not receive any additional compensation for providing advisory services beyond the fee-based compensation he receives through OCM.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Justin Weisbloom's investment advisory activities, he can be reached at 805-409-8150.

Form ADV Brochure Supplement for Laura Zimmerman

Year of Birth: 1965

Educational Background and Business Experience

Education: Lewis and Clark College, Portland, Oregon B.S., Business Administration, 1987

Employment for the One Capital Management, LLC, Senior Portfolio Manager, 11/2009 – present

Past Five Years:

Professional Designation: Chartered Financial Analyst – September 1994

The Chartered Financial Analyst® CFA® and Certification Mark (collectively, the "CFA® marks") are professional certification marks granted in the United States and internationally by the CFA Institute.

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 90,000 CFA charter holders working in 135 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards - The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

Place their clients' interests ahead of their own

Maintain independence and objectivity

Act with integrity

Maintain and improve their professional competence

Disclose conflicts of interest and legal matters

Global Recognition - Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and

decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charter holders—often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 19 countries recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge - The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit www.cfainstitute.org.

Disciplinary Information

Laura Zimmerman does not have, nor has she ever had, any disciplinary disclosure.

Other Business Activities

Laura Zimmerman is not actively engaged in any other business or occupation (investment-related or otherwise) beyond her capacity as Senior Portfolio Manager at OCM. Moreover, Mrs. Zimmerman does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Additional Compensation

Laura Zimmerman does not receive any additional compensation for providing advisory services beyond the fee-based compensation she receives through One Capital Management, LLC.

Supervision

Steve Cowley, CFA, Chief Compliance Officer is responsible for supervising Laura Zimmerman's investment advisory activities; he can be reached at 805-409-8150.