



FIDELIS iM

Tracy Richards
Form ADV Part 2B
Brochure Supplement
March 2020

This brochure supplement provides information about Tracy Richards that supplements the FIDELIS iM brochure. You should have received a copy of that brochure. Please contact our Director of Operations if you did not receive the FIDELIS iM brochure or if you have any questions about the contents of this supplement.

Additional information about Tracy Richards is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background & Business Experience

Name, Age (Year of Birth)

Tracy Richards

Year of Birth: 1966

Formal Education

- Southern Oregon University
 - 1984-1986

Business Background

- Cutler Investment Group (1990-2012)
 - Vice President of Operations

Disciplinary Information

Legal or Disciplinary Events

Tracy Richards has no disciplinary history with any regulator, state agency, or law enforcement.

Other Business Activities

Investment Related Activities

None

Other Business or Occupation for Compensation

None

Additional Compensation

Economic Benefit

None

Supervision

Describe Supervision

Tracy Richards is the Director of Operations / Chief Compliance Officer and part owner of FIDELIS iM. She is monitored by her business partner, Keegan Denn. She is monitored through daily meetings and periodic review of client activity. Keegan Denn can be reached at the number on the cover of this brochure supplement.

Requirements for State-Registered Advisers

Additional Information Regarding Disciplinary Events

Tracy Richards has no disciplinary history with any regulator, state agency, or law enforcement.

Bankruptcy

None