



Form ADV Part 2B – Individual Disclosure Brochure

Robert J. Bartolotta

Investment Adviser Representative
CRD #1943239

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This document provides information about Robert J. Bartolotta that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Abdoo, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Robert J. Bartolotta is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Robert J. Bartolotta

Born: 1966

Education: Cornell University
BA Economics, 1988

Business Background (past 5 years)

3/2012 – Present	Investment Adviser Representative Novem Group
8/2011 – Present	Owner & President Novem Group
1/2007 – Present	Investment Adviser Representative American Portfolios Advisors, Inc.
9/2001 – Present	Senior Managing Partner, Registered Principal & Registered Representative American Portfolios Financial Services, Inc.
1/1994 – Present	Insurance Agent APFS Rochester, Inc.

Certifications

Series 6	Investment Company Products/Variable Contracts Representative Exam
Series 22	Direct Participation Programs Representative Exam
Series 24	General Securities Principal Exam
Series 26	Investment Company Products/Variable Contracts Principal Exam
Series 51	Municipal Fund Securities Principal Exam
Series 52	Municipal Securities Representative Exam
Series 62	Corporate Securities Limited Representative Exam
Series 63	Uniform Securities Agent State Law Exam
Series 65	Uniform Investment Adviser Law Exam
Series 72	Government Securities Representative Exam
Series 82	Limited Representative – Private Securities Offerings

Other Business Activities

Robert J. Bartolotta is a Senior Managing Partner, Registered Principal and Registered Representative of American Portfolios Financial Services, Inc., an Investment Adviser Representative of American Portfolios Advisors, Inc., and a licensed insurance agent of APFS Rochester, Inc. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative or licensed insurance agent.

He is also a board member of the Novem Foundation (President), House of Mercy, and the Italian Heritage Foundation, charitable organizations.

Additional Compensation

Robert J. Bartolotta does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Robert J. Bartolotta works closely with his supervisor and all advice provided to clients and account-related transactions are reviewed by his supervisor. Robert J. Bartolotta adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.