



Form ADV Part 2B – Individual Disclosure Brochure

James Gilmore Wood

Investment Adviser Representative

CRD #863331

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This document provides information about James Gilmore Wood that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Abdoo, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on James Gilmore Wood is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: James Gilmore Wood (Gil Wood)

Born: 1949

Education: St. Lawrence University
BS History

Business Background (past 5 years)

8/2015 – Present	Investment Adviser Representative Novem Group
8/2015 – Present	Registered Representative American Portfolios Financial Services, Inc.
9/2005 – 8/2015	Investment Adviser Representative Comprehensive Asset Management, Inc.
9/2005 – 8/2015	Registered Representative Comprehensive Asset Management, Inc.
4/1991 – 8/2015	Insurance Agent Conn. General Life Insurance Co.

Certifications

Series 7	General Securities Representative Exam
Series 63	Uniform Securities Agent State Law Exam
Series 66	Uniform Combined State Law Exam

Other Business Activities

Gil Wood is a Registered Representative of American Portfolios Financial Services, Inc. and a licensed insurance agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative.

Gil Wood is the President of Gil Wood & Associates, which is involved in health and life insurance sales. Gil Wood is engaged in business with iTrust Advisors, which is involved in health and life insurance sales.

Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative or licensed insurance agent.

Additional Compensation

Gil Wood does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Gil Wood works closely with his supervisor and all advice provided to clients and account-related transactions are reviewed by his supervisor. Gil Wood adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.