

## ADV Part 2B (Brochure Supplement)

James Don Vanderbeek  
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Logan, UT 84321  
435-713-4220

Keystone Wealth Advisors  
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This brochure supplement provides information about James Don Vanderbeek that supplements Keystone Wealth Advisors' brochure. You should have received a copy of that brochure. Please contact us at 435-713-4220 if you did not receive KWA's brochure or if you have any questions about the contents of this supplement.

Additional information about James Don Vanderbeek is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## James Don Vanderbeek

### Educational Background and Professional Qualifications

- Year of Birth - 1951
- BS Education, Utah State University – 1975
- Series 6 (7/86), 7 (1/08), 22 (11/86), 63 (7/86) and 65 (8/06) examinations
- Insurance: Resident Producer, Accident & Health, Casualty, Life, Property, Variable Contracts (5/02)

### Business Experience

- 08/2011 – Present
  - Investment Adviser Representative - Keystone Wealth Advisors, LLC
- 04/2007 - 11/2014
  - Registered Representative - LPL Financial LLC
- 01/2002 – Present
  - President Vancor, Inc.
- 04/2007 – 10/2010
  - Managing Member - Vanderbeek Advisors, LLC
- 03/2002 – 04/2007
  - Registered Representative - Equity Services, Inc.

### Disciplinary Information

There is no disciplinary information to report.

### Other Business Activities

James Don Vanderbeek is a licensed insurance agent through Vancor, Inc. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Approximately 10-15 hours per month is spent in this activity.

### Additional Compensation

James Don Vanderbeek receives no additional compensation from any source concerning advisory services offered by him, other members of KWA, or KWA.

### Supervision

Tyler Vanderbeek is the CCO of Keystone Wealth Advisors and is responsible for the firm's policies and procedures. As a co-owner and principal of KWA, James works closely with KWA's other owners to supervise the advisory practice. As a result, supervision at KWA is a shared responsibility

between James Don Vanderbeek, Tyler Vanderbeek and Gordon Nelson. The telephone number for Tyler Vanderbeek is (435) 713-4220.

Requirements for State-Registered Advisors

James Don Vanderbeek has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. James Don Vanderbeek has not been the subject of a bankruptcy petition in the past ten years.