

Ryan Shortridge

APS Advisors "dba"  
APS Associates Investment Management Group  
240 North Main Street  
Jackson, CA 95642

Firm Contact:  
Kevin Z. Kraft  
President and Chief Compliance Officer

This brochure supplement provides information about Ryan Shortridge that supplements our brochure. You should have received a copy of that brochure. Please contact Kevin Kraft if you did not receive APS Advisors' brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Shortridge is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4982603.

## Item 2: Educational Background & Business Experience

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### Ryan Shortridge

Year of Birth: 1975

#### Educational Background:

- 2003: Sacramento State University; BS in Business Administration with a minor in Finance

#### Business Background:

- 05/2010 – Present APS Associates; Investment Adviser Representative
- 05/2012 – Present LPL Financial, LLC; Registered Representative
- 07/2005 – 05/2010 LPL Financial, LLC; Registered Representative & Investment Adviser Representative

#### Exams, Licenses & Other Professional Designations:

- 2005: Series 7 & 66 Exams
- 2005: California Insurance Licensure

## Item 3: Disciplinary Information<sup>1</sup>

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There are no legal or disciplinary events material to the evaluation of Mr. Shortridge.

## Item 4: Other Business Activities

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Mr. Shortridge is a registered representative of LPL Financial, Inc., member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation he may earn.

Mr. Shortridge is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 5: Additional Compensation

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Mr. Shortridge does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

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Mr. Kraft, President and Chief Compliance Officer of APS Associates, supervises and monitors Mr. Shortridge's activities on a regular basis. Mr. Kraft reviews all outgoing correspondence for written financial advice that Mr. Shortridge provides to his clients. Please contact Mr. Kraft if you have any questions about Mr. Shortridge's brochure supplement at (209) 223- 0460.