

Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
April 2020

---



Steven Deppe  
8910 UNIVERSITY CENTER LANE #645  
SAN DIEGO, CA 92122

Firm Contact:  
Richard Nerad  
Chief Compliance Officer

This brochure supplement provides information about Mr. Deppe that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Nerad if you did not receive NDWM LLC *dba* Nerad & Deppe Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Deppe is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4658856.

## Item 2: Educational Background & Business Experience

---

### Steven J. Deppe

Year of Birth: 1981

#### Educational Background:

- 2003: Boston University, Bachelor of Science in Finance

#### Business Background:

- 04/2020 – Present; Nerad + Deppe Wealth Management, Managing Member
- 08/2019 – 04/2020; AlphaCore Capital LLC; Investment Adviser Representative
- 08/2009 – 12/2019; Nerad + Deppe Wealth Management, Managing Member
- 06/2007 – 08/2009; Ameriprise Financial, Financial Advisor

#### Exams, Licenses, & Other Professional Designations:

##### Chartered Market Technician (CMT®)

The CMT® Program requires candidates to demonstrate proficiency in a broad range of topics in the field of [Technical Analysis](#). The Program consists of three levels. CMT® Level 1 and CMT® Level 2 are multiple choice exams while CMT® Level 3 is in short answer form. The CMT® designation is granted by the Market Technicians Association ("MTA").

The objectives of the CMT® Program are:

- To professionalize the field of Technical Analysis.
- To promote high ethical and professional standards.
- To guide candidates in mastering a professional body of knowledge.

In order to be granted the CMT® designation, all candidates must meet the following requirements:

- Successful completion of all 3 levels of the CMT® Exam.
- Obtained 'Member Status' in the MTA.
- Have been gainfully employed in a professional analytical or investment management capacity for a minimum period of 3 years and must be regularly engaged in this capacity at the time of successfully passing all 3 levels of the CMT® Exam.

The CMT® examinations test the critical knowledge and tasks needed to perform the duties as a technical analyst. The three-part examination consists of:

- CMT® Level 1 (Definition). The Level 1 examination measures basic, entry-level competence and understanding of the candidate. The CMT® Level 1 candidate needs to have a working knowledge of the basic tools of the technical analyst.

- CMT® Level 2 (Definition/Application). The CMT® Level 2 examination requires the candidate to demonstrate a greater depth of analysis and competency. The CMT® Level 2 candidate is expected to demonstrate proficiency in applying more advanced analytical techniques. Overall, the candidate is responsible for the theory and application of concepts and techniques.
- CMT® Level 3 (Integration). The CMT® Level 3 examination tests the candidate on the development of logical and consistent research opinions, portfolio strategies and trading decisions based on a wide range of charts and technical data. The CMT® 3 candidate must pass the ethics portion of this exam or risk failure.

To maintain their status as a CMT® charter-holder, an individual must be a member of the MTA in good standing and abide by the MTA Code of Ethics.

### Item 3: Disciplinary Information

---

There are no legal or disciplinary events material to the evaluation of Mr. Deppe.

### Item 4: Other Business Activities

---

Occasionally, Mr. Deppe writes and submits articles to ProVisors – Professional Network Group, Inc., a national professional networking organization, for cash compensation. Mr. Deppe devotes approximately 4 hours per month to this outside business activity.

### Item 5: Additional Compensation

---

Mr. Deppe does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### Item 6: Supervision

---

Mr. Nerad, Managing Member and Chief Compliance Officer of Nerad + Deppe Wealth Management, supervises and monitors Mr. Deppe's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Nerad if you have any questions about Mr. Deppe's brochure supplement at (858) 457-1325.

### Item 7: Requirements for State-Registered Advisers

---

Mr. Deppe has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.