

## Nicholas G. Atkeson

Delta Investment Management, LLC  
708 Montgomery Street  
San Francisco, CA 94111  
(415) 249-6337

Prepared: January 30, 2020

This Brochure Supplement provides information about Nicholas G. Atkeson that supplements the Delta Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Andrew D. Houghton, Managing Member at (415) 249-6335 if you did not receive Delta Investment Management, LLC's Brochure or if you have any questions about the content of this supplement.

Additional information about Nicholas G. Atkeson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Atkeson's CRD number is 2883445.

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### ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Nicholas G. Atkeson was born in 1962. He received a BA in Economics from Haverford College in 1984 and a MBA from Stanford University Graduate School of Business in 1988.

#### Employment Background

Employment Dates: 4/2009 - Present  
Firm Name: Delta Investment Management, LLC  
Type of Business: Investment Advisor  
Job Title & Duties: Chief Investment Officer, oversight of investment process

Employment Dates: 5/2016 – 7/2018  
Firm Name: US Capital Wealth Management, LLC  
Type of Business: Investment Advisor  
Job Title & Duties: Principal, oversight of investment process.

Employment Dates: 4/2006 - 3/2009  
Firm Name: Delta Force Capital, LLC  
Type of Business: Hedge Fund  
Job Title & Duties: Partner, portfolio manager

**Employment Background (continued)**

Employment Dates: 3/2005 - 3/2006  
Firm Name: ThinkEquity LLC  
Type of Business: Investment Bank  
Job Title & Duties: Institutional Sales

Employment Dates: 3/2003 - 3/2005  
Firm Name: Susquehanna International Group, LLC  
Type of Business: Options Market Making Firm  
Job Title & Duties: Institutional Sales

Employment Dates: 1/1997 - 3/2003  
Firm Name: Banc of America Securities, LLC  
Type of Business: Investment Bank  
Job Title & Duties: Managing Director, Institutional Sales

**ITEM 3: DISCIPLINARY INFORMATION**

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

**ITEM 4: OTHER BUSINESS ACTIVITIES**

Mr. Atkeson is not involved in any other business activities.

**ITEM 5: ADDITIONAL COMPENSATION**

Mr. Atkeson does not receive any economic benefit from any non-client for providing advisory services.

**ITEM 6: SUPERVISION**

Andrew Houghton, Managing Member, is responsible for the supervision of Mr. Atkeson. His telephone number is (415) 249-6335.