

# **KCD Financial, Inc.**

Form ADV Part 2B – Individual Disclosure Brochure

for

## **David Wilson**

Investment Adviser Representative

KCD Financial, Inc.

3061 Allied Street, Suite B

Green Bay WI 54304

920-347-3400

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Green Bay, WI 54304

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*This Brochure Supplement provides information about David Wilson that supplements the KCD Financial, Inc. Brochure. You should have received a copy of that Brochure. Please contact our Compliance Department if you did not receive KCD Financial, Inc.'s Brochure or if you have any questions about the contents of this supplement.*

*Additional information about David Wilson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

Dated 2-28-2020

## Item 2- Educational Background and Business Experience

Name: David S Wilson

Year of Birth: 1954

Formal Education: Attended California Christian College – Fresno, CA  
1972 - 1973

### Business Background:

From	To	Firm	CRD Branch Number	NYSE Branch Code Number	Firm Billing Code	Address	Type of Office	Private Residence
12/26/2012	Present	KCD FINANCIAL, INC. ( <a href="#">127473</a> )	<a href="#">212410</a>		000	3061 Allied Street SUITE E Green Bay, WI 54304, United States	Located At	No
02/23/2012	12/31/2012	MML INVESTORS SERVICES, LLC (10409)	238997		041	1977 AMERICAN DR. SUITE 201 NEENAH, WI 54956, United States	Located At	No
08/09/2011	10/12/2011	AMERIPRISE FINANCIAL SERVICES, INC. (6363)	186999		60758	205 CADILLAC CT STE 4 BELVIDERE, IL 61008-1733, United States	Located At	No
01/01/2007	04/07/2011	RIVERSOURCE DISTRIBUTORS, INC. (139135)	299253		210001	707 2ND AVE S MINNEAPOLIS, MN 55402, United States	Located At	No
03/29/2002	01/01/2007	AMERIPRISE FINANCIAL SERVICES, INC. (6363)	BD Main			707 2ND AVENUE SOUTH MINNEAPOLIS, MN 55402, UNITED STATES	Located At	No

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Additional information may be available on FINRA's website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

### **Item 4- Other Business Activities**

David Wilson is a registered representative of KCD Financial, Inc., 3061 Allied St. Suite B, Green Bay, WI 54304. From time to time he will offer clients advice or products from those activities. Client should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. KCD Financial, Inc. always acts in the best interest of the client, including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of KCD Financial, Inc. in their capacity as a registered representative.

Mr. Wilson is also licensed as an insurance agent and is in the business of selling life and accident and health insurance. David Wilson may receive normal commissions through the sale of these insurance products which create a similar potential conflict of interest as in the previous paragraph.

David Wilson also plays bass, guitar, and does vocals in a local cover band.

### **Item 5- Additional Compensation**

Other than salary or commissions from his role as a registered representative, David Wilson does not receive any economic benefit from any person, company, or organization in exchange for providing client advisory services through KCD Financial, Inc.

### **Item 6 - Supervision**

David Wilson, Chief Compliance Officer, (phone 920-347-3400) is the supervising principal, which may be delegated, responsible for supervising David Wilson advisory activities on behalf of KCD Financial, Inc. His duties include reviewing and approving all transactions and paperwork for advisory accounts. On a quarterly basis he reviews all advisory fees that will be charged for advisory accounts. He also approves fees for all accounts managed by the representative directly.

### **Item 7- Requirements for State-Registered Advisers**

No information is applicable to this item.

