

FIRM BROCHURE SUPPLEMENT

(Part 2B of Form ADV)

This brochure supplement provides information about:

Arnold D. Weitz
Tiffany L. Polifka CFP®
Mandy Pickering

This supplements the brochure for Arnold Weitz and Co. You should have received a copy of that brochure. Please contact us at (402)392-4422 if you did not receive both Part 2A and Part 2B of this brochure or if you have any questions about the contents of this supplement.

Additional information about Arnold Weitz is available on the U.S. and Securities and Exchange Commission (SEC) website at www.adviserinfo.sec.gov. Arnold Weitz CRD number is 108026.

Brochure Supplement as of January 31, 2020

ARNOLD WEITZ & Co.

AN INDEPENDENT REGISTERED INVESTMENT ADVISOR

Arnold Weitz and Company

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Arnold.Weitz@Raymondjames.com

<https://www.raymondjames.com/awcfinancial/>

Arnold D. Weitz

Title: President, Chief Investment Officer

Year of Birth: 1946

Licenses: 1, 12, 24, 63 and 65

Educational Background:

- University of Southern California in Los Angeles

Business Experience:

- Arnold Weitz and Co, Owner, 1992-Present
- 1972-1992 Various Brokerage Firms



Other Business Activities:

- Investment Advisor representative(s) of AWC are registered representative(s) of Raymond James Financial Services, Inc. (RJFS), member FINRA/SIPC, which is a wholly owned subsidiary of Raymond James Financial, Inc. RJFS clears its securities transactions on a fully disclosed basis through Raymond James & Associates, Inc. (member NYSE/SPIC), which is also a wholly owned subsidiary of Raymond James Financial, Inc. Notwithstanding the fact that principals and associates of the advisor may be registered representatives of RJFS, the advisor is solely responsible for investment advice rendered. Advisory services are provided separately and independently of the broker/dealer.
- Arnold D. Weitz operates an LLC that receives rental income from the property that Arnold Weitz & Company currently occupies.
- Arnold D. Weitz serves as a Board member for the Olde Towne Elkhorn BID, Mr. Weitz is not compensated for this board Position.

Additional Compensation:

- Arnold D. Weitz may receive commissions, bonuses, and other compensation based on the sale of securities; including trails from mutual funds.

Disciplinary Information:

Regulatory requirements require investment advisers and their representatives to disclose any relevant disciplinary history to investors. Arnold D. Weitz does not have any disciplinary history.

- a) Arnold D. Weitz has never been convicted or party to a criminal or civil action.
- b) Arnold D. Weitz has never been the subject of a federal, state, or foreign regulatory agency administrative proceeding.
- c) Arnold D. Weitz has never been the subject of self-regulatory organization administrative proceeding.
- d) Arnold D. Weitz has never been the subject of any hearing or formal adjudication in which a professional attainment, designation, or license was revoked or suspended for any reason.

Supervision:

All of Arnold Weitz & Co. Officers and Employees are required to abide by the Code of Ethics and compliance policies in place By Arnold Weitz & Co.. Additionally, relevant activities are reviewed by the Chief Compliance Officer, Tiffany L. Polifka, and the President, Arnold D. Weitz. Their phone number is (402) 392-2244.

Arnold D. Weitz, born in 1946, is President of applicant, Arnold Weitz & Co. He attended the University of Southern California in Los Angeles, California and studied Business Administration. Arnie has over 42 years' experience in the securities industry with companies which include Shearson Lehman, Rodman & Renshaw, and Hamilton Investments. Arnie is currently a branch office manager with Raymond James Financial Services, Inc., a subsidiary of Raymond James Financial, Inc. and has been a branch manager with Raymond James Financial Services, Inc. a subsidiary of Raymond James Financial, Inc., since 1992.

Tiffany L. Polifka, CFP®

Title: Senior Financial Planner, Chief Compliance Officer
CERTIFIED FINANCIAL PLANNER™ Professional ¹

Year of Birth: 1980

Licenses: 7,66, Life and Health

Educational Background:

- University of Nebraska (Omaha, NE)
 - Course of Study: Bachelor of Science in Business Administration, with Specializations in Economics, Business Finance, and Investment Science Portfolio Management
- Kaplan Accelerated CFP Program
 - Course of Study: Certified Financial Professional Program



Business Experience:

- Arnold Weitz and Co, Financial Planner, Chief Compliance Officer
- Arnold Weitz and Co, Office Manager and Financial Advisor, 2008-Present
- Securities America Inc., 2004-2008

Other Business Activities:

- Investment Advisor representative(s) of AWC are registered representative(s) of Raymond James Financial Services, Inc. (RJFS), member FINRA/SIPC, which is a wholly owned subsidiary of Raymond James Financial, Inc. RJFS clears its securities transactions on a fully disclosed basis through Raymond James & Associates, Inc. (member NYSE/SIPC), which is also a wholly owned subsidiary of Raymond James Financial, Inc. Notwithstanding the fact that principals and associates of the advisor may be registered representatives of RJFS, the advisor is solely responsible for investment advice rendered. Advisory services are provided separately and independently of the broker/dealer.
- Tiffany L Polifka serves as a Board member for the Olde Towne Elkhorn BID, Ms. Polifka is not compensated for this board position.

Additional Compensation:

- Tiffany L. Polifka may receive commissions, bonuses, and other compensation based on the sale of securities; including trails from mutual funds.

Disciplinary Information:

Regulatory requirements require investment advisers and their representatives to disclose any relevant disciplinary history to investors. Tiffany L. Polifka does not have any disciplinary history.

- a) Tiffany L. Polifka has never been convicted or party to a criminal or civil action.
- b) Tiffany L. Polifka has never been the subject of a federal, state, or foreign regulatory agency administrative proceeding.
- c) Tiffany L. Polifka has never been the subject of self-regulatory organization administrative proceeding.
- d) Tiffany L. Polifka has never been the subject of any hearing or formal adjudication in which a professional attainment, designation, or license was revoked or suspended for any reason.

Supervision:

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Mandy Rohwer

Title: Client Service Associate

Year of Birth: 1990

Education:

- Herzing University • Omaha, Ne
 - Associates of science in Therapeutic Massage Therapy, Oct. 2010

Other Business Activities:

- Licensed Massage Therapist

Supervision:

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1 CERTIFIED FINANCIAL PLANNER™ Professionals

Arnold Weitz and Company employs CERTIFIED FINANCIAL PLANNER™ (CFP®) professionals. The CERTIFIED FINANCIAL PLANNER™ (CFP®) designation is a professional mark conferred to financial planners by the Certified Financial Planner Board of Standards. The CFP® mark is a voluntary certification; earning it demonstrates a practitioner's commitment to ethics and experience in personal financial planning. Working with a financial planner who has earned the CFP® professional certification means you can trust the advice you're receiving is informed and considers the comprehensive nature of your individual financial situation, and that recommendations are based on your best interests.

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Financial Planner Board of Standards Inc. owns the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™, CFP® (with plaque design) and CFP® (with flame design) in the U.S., which it awards to individuals who successfully complete CFP Board's initial and ongoing certification requirements

Source: CFP Board of Standards, CFP Certification Requirements