
Form ADV Part 2A – Disclosure Brochure

Effective: 03/05/2020

Retirement plans that are uniquely designed for your company, flat fees based on an upfront scope, enhanced fiduciary risk protection, and advisors who are genuine partners. These are the advantages you gain when you choose to work with Alliant Retirement Consulting (ARC).

Alliant offers a customized experience and in-depth retirement benefit knowledge to help employers meet the increasingly complex compliance issues and fiduciary risks associated with selecting and managing a retirement plan. The guidance we offer includes:

- Retirement plan design
- Vendor review, search and placement
- Employee education and engagement services
- Fiduciary services
- Investment selection and review as co-fiduciary
- Human resources and finance team support

About Alliant Retirement Consulting

With more than 200 retirement plans and almost \$10 billion under advisement, Alliant serves a broad range of clients – from start-ups to Fortune 500 companies. It is also listed among the top-rated 401(k) teams according to FT 401 (Financial Times) and Plan Sponsor publications.

This Disclosure Brochure provides information about the qualifications and business practices of Alliant Retirement Services, LLC, dba Alliant Retirement Consulting (ARC). If you have any questions about the contents of this Disclosure Brochure, please contact Wendy Conner, Chief Compliance Officer at (713) 470-4208 or by email at wconner@alliant.com.

Alliant Retirement Services, LLC dba Alliant Retirement Consulting is a Registered Investment Advisor with the U.S. Securities and Exchange Commission. The information in this Disclosure Brochure has not been approved or verified by the U.S. Securities and Exchange Commission (“SEC”) or by any state securities authority.

Registration of an investment advisor does not imply any specific level of skill or training. This Disclosure Brochure provides information about ARC to assist you in determining whether to retain the Advisor. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about ARC and its advisory persons are available on the SEC’s website at www.adviserinfo.sec.gov.

Alliant Retirement Consulting CRD No: 167970
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Newport Beach, CA 92660
Phone: (713) 470-4208
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Item 2 – Material Changes

Since our last annual filing on March 5th, 2019, the following material changes have been made: We have changed our location to 1301 Dove Street, Suite 200, Newport Beach, CA 92660 effective February 10th, 2020.

Form ADV 2 is divided into two parts: *Part 2A (the "Disclosure Brochure")* and *Part 2B (the "Brochure Supplement")*. The Disclosure Brochure provides information about a variety of topics relating to an Advisor's business practices and conflicts of interest. The Brochure Supplement provides information about advisory personnel of ARC.

ARC believes that communication and transparency are the foundation of our relationship and continually strive to provide our Clients with complete and accurate information at all times. We encourage all current and prospective Clients to read this Disclosure Brochure and discuss any questions you may have with us. And of course, we always welcome your feedback.

Future Changes

From time to time, we may amend this Disclosure Brochure to reflect changes in our business practices, changes in regulations and routine annual updates as required by the securities regulators. This complete Disclosure Brochure or a Summary of Material Changes shall be provided to each Client annually and if a material change occurs in the business practices of ARC.

At any time, you may view the current Disclosure Brochure on-line at the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

To review the firm information for ARC:

Click Investment Advisor Search in the left navigation menu.

Select the option for **Investment Advisor Firm** and enter 167970 (our firm's CRD number) in the field labeled "Firm IARD/CRD Number".

This will provide access to Latest Form ADV and Part 2 Brochures

Item 11 of the ADV Part 1 lists legal and disciplinary questions regarding the Advisor. In the left navigation menu, Form ADV Part 2 is located near the bottom.

You may also request a copy of this Disclosure Brochure at any time, by contacting us at (713) 470-4208 or by email at wconner@alliant.com.

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Item 4 – Advisory Services

Firm Information

Alliant Retirement Services, LLC, dba Alliant Retirement Consulting (“ARC” or the “Advisor”) is a Registered Investment Advisor with the U.S. Securities and Exchange Commission, which is organized as a Limited Liability Company (LLC) under the laws of the State of Delaware.

This Disclosure Brochure provides information regarding the qualifications, business practices, and the advisory services provided by ARC.

Advisory Services Offered

ARC offers Retirement Plan Consulting and Customized Retirement Plan Services to Pension and Profit Sharing Plans in California and other states (each referred to as a “Client”). These advisory services are in the form of ERISA 3(38) or 3(21) fiduciary service to employers or plan sponsors for the benefit of its employee retirement plan. Unless specifically excluded, we are fiduciaries for all of our Clients Services may include some of the following, in addition to services expressed in the Retirement Consulting Services Agreement (CSA) between ARC and the Client.

Retirement Plan Consulting (“Employers Fiduciary Liability Program”)

ARC provides customized retirement plan consulting services to its plan sponsor Clients. ARC focuses on delivering services to help meet the specific needs of each Client by carefully listening to the client to fully understand their organization’s particular issues, challenges, and goals. Based on each Client’s needs, the Employers Fiduciary Liability Program can include assistance with:

- Plan Trust document review and recommendations for appropriate liability coverage;
- Investment Policy Statement development, including performance measurement standards;
- Vendors and Recordkeeping agreements and services;
- Analysis of current investment options;
- Benchmarking and peer group analysis;
- Formation of an Investment Committee
- Monitoring investment results for compliance with the Investment Policy Statement;
- Selecting new investment alternatives;
- Correcting plan failures and getting plan administration in compliance with plan documents; and,
- Keeping Clients up to date on new legislation and regulatory requirements.

Prior to rendering any recommendations, ARC will ascertain, in conjunction with the Client, the specific issues, needs and objectives of the Client’s retirement plan and its employee participants.

ARC will provide consulting services, but will not provide securities custodial or other administrative services as part of the RIA, but may provide Third Party Administrator services, including 3(16) services under its general service model. At no time will ARC accept or maintain custody of a Client’s funds or securities. All Client assets will be managed within their designated brokerage account or pension account, pursuant to the Client’s CSA.

Customized Retirement Plan Services

ARC offers customized, project-based consulting services for plan sponsors. These services can include:

- Request for Proposal (RFP)
- Fiduciary Risk Due Diligence
- Plan Reviews
- Investment Reviews
- Other Plan-related Reviews

Client Account Management

Prior to engaging ARC to provide Consulting Services, each Client is required to enter into a CSA with the Advisor that defines the terms, conditions, authority and responsibilities of the Advisor and the Client.

These services may include:

- Plan Trust document review and recommendations for appropriate liability coverage
- Investment Policy Statement development, including performance measurement standards
- Analysis of current investment options
- Benchmarking and peer group analysis
- Assistance in the formation of an Investment Committee
- Ongoing assistance and compliance consulting, including performance updates
- Monitoring investment results for compliance with the Investment Policy Statement
- Assistance in selecting new investment alternatives
- Assistance in correcting plan failures and getting plan administration in compliance with plan documents
- Keeping Clients up to date on new legislation and regulatory requirements
- Serve as co-fiduciary for Client's plan

Wrap Fee Programs

ARC does not manage or place Client assets into a wrap fee program.

Assets under Advisement

Assets under advisement shall be reported following the Advisor's December 31, 2019 fiscal year end. Clients may request more current information at any time by contacting the Advisor.

Item 5 – Fees and Compensation

The following paragraphs detail the fee structure and compensation methodology for investment advisement clients.

Each Client shall sign a CSA that details the responsibilities of ARC and the Client.

Fees for Advisory Services

Consulting Services Fees are paid in accordance with the terms of the CSA.

We provide flexibility in how fees are assessed on a plan based the Client's CSA.

Fees will be based on one or more of the following:

- A percentage of assets under advisement
- Fixed quarterly fees
- Number of plan participants

Consulting Services Fees typically fall into the following ranges, depending on the size and complexity of the Client relationship.

Percentage of assets under advisement	0.05% to 0.50% based on plan size
Fixed annual fees billed quarterly	\$5,000 to \$150,000 based on services provided
Number of plan participants	\$35-\$50 per participant, based on plan size and assets

Relationships with multiple objectives, specific reporting requirements, portfolio restrictions and other complexities may be charged a higher fee which will be reflected in the CSA

Consulting Services Fees in the first quarter of service are prorated to the inception date of the account to the end of the first quarter. Fees may be negotiable at the discretion of the Advisor. The Client's fees will take into consideration the aggregate assets under advisement with Advisor. All securities held in accounts under advisement by ARC will be independently valued by the designated Custodian. ARC will not have the authority or responsibility to value portfolio securities.

The Client may be able to attain similar services for a lower fee from other service providers.

Retirement Plan Services

ARC offers customized retirement plan consulting services consulting services on a fixed fee basis ranging from \$5,000 to \$150,000, which is negotiable depending on the nature and complexity of each Client's circumstances.

The Advisor's fee is exclusive of, and in addition to brokerage fees, transaction fees, and other related costs and expenses, which may be incurred by the Client. However, the Advisor shall not receive any portion of these commissions, fees, and costs. The fixed fees are determined after considering many factors, such as the level and scope of the services.

Fee Billing

Consulting Services Fees will be invoiced according to the CSA which can be automatically deducted from the Client Account by the Custodian or paid by the Client.

Clients will be provided with a statement, at least quarterly reflecting deduction of the Consulting Services Fee or for the amount due from the Client. In addition, the Advisor will provide the Client a report at least annually itemizing the fee, including the calculation period covered by the fee, the account value and the methodology used to calculate the fee. It is the responsibility of the Client to verify the accuracy of these fees as listed on the custodian's brokerage statement as the Custodian does not assume this responsibility. Clients provide written authorization permitting ARC to be paid directly from their accounts held by the Custodian as part of the CSA and separate account forms provided by the Custodian.

Other Fees and Expenses

Clients may incur certain fees or charges imposed by third parties, other than ARC, in connection with investments made on behalf of the Client's account[s]. The Client is responsible for all custodial and

securities execution fees charged by the custodian and executing broker-dealer. The Consulting Services Fee charged by ARC is separate and distinct from these custodian and execution fees.

In addition, all fees paid to ARC for Consulting Services are separate and distinct from the expenses charged by mutual funds and exchange-traded funds to their shareholders, if applicable. These fees and expenses are described in each fund's prospectus. These fees and expenses will generally be used to pay management fees for the funds, other fund expenses, account administration (e.g., custody, brokerage and account reporting), and a possible distribution fee. The Client could invest in these products directly, without the services of ARC, but would not receive the services provided by ARC which are designed, among other things, to assist the Client in determining which products or services are most appropriate to each Client's financial situation and objectives.

Accordingly, the Client should review both the fees charged by the fund[s] and the fees charged by ARC to fully understand the total fees to be paid.

Advance Payment of Fees and Termination

ARC is generally compensated for its services quarterly. Clients may request to terminate their CSA with ARC, in whole or in part, by providing advance written notice. The Client shall be responsible for Consulting Services Fees up to and including the effective date of termination.

The Client's CSA with the Advisor is non-transferable without Client's written approval.

Customized Retirement Plan Services

The CSA will provide guidance under which the contract may be cancelled under mutually agreed on terms. In the event that a Client should wish to cancel the consulting service agreement under which any plan is being created, the Client shall be billed based on percentage of work completed. Any surplus paid in advance will be returned to the Client as soon as administratively possible.

Either party may terminate a planning or consulting agreement at any time by providing written notice to the other party. In addition, the Client may terminate the agreement within five (5) days of signing the Advisor's consulting agreement at no cost to the Client. After the five day period, the Client will incur charges for bona fide advisory services rendered to the point of termination and such fees will be due and payable by the Client. Refunds will be given on a pro-rata basis.

Compensation for Sales of Securities

ARC does not buy or sell securities and does not receive any compensation for securities transactions in any Client account.

Item 6 – Performance-Based Fees and Side-by-Side Management

ARC does not charge performance-based fees for its Consulting Services.

ARC does not manage any proprietary investment funds or limited partnerships (for example, a mutual fund or a hedge fund) and has no financial incentive to recommend any particular investment options to its Clients.

Item 7 – Types of Clients

ARC provides Consulting Services to Pension and Profit Sharing Plans (Retirement plan sponsors or company plans).

The relative percentage for each type of Client is available on ARC's Form ADV Part 1. Currently 100% of our clients are employer sponsored retirement plans. These percentages will change over time. ARC generally does not impose a minimum account size for establishing a relationship unless specified in the CSA.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

ARC primarily employs fundamental analysis methods in developing investment strategies for its Clients. Research and analysis from ARC is derived from numerous sources, including financial media companies, third- party research materials, Internet sources, and review of company activities, including annual reports, prospectuses, press releases and research prepared by others.

Risk of Loss

Investing in securities involves certain investment risks. Securities may fluctuate in value or lose value. Clients should be prepared to bear the potential risk of loss. ARC works with Retirement Plans to minimize risk through diversification and risk measurements. Individual investment choices are participant directed being mindful of 404(c) safe harbor protections if available. We do not provide individual advice to participants, but offer education to assist in the overall understanding of risk factors.

Our fundamental analysis utilizes economic and business indicators as investment selection criteria. These criteria are generally ratios and trends that may indicate the overall strength and financial viability of the entity being analyzed. Assets are deemed suitable if they meet certain criteria generally guided by an Investment Policy Statement to indicate that they are an acceptable investments for a retirement plan. While this type of analysis helps the Advisor in evaluating a potential investment, it does not guarantee that the investment will increase in value. Assets meeting the investment criteria utilized in the fundamental analysis may lose value and may have negative investment performance. The Advisor monitors these economic indicators to determine if adjustments to strategic allocations are appropriate. More details on the Advisor's review process are included in Item 13.

Each Client engagement will entail a due diligence review of the Client's goals and plan expenses. Client participation in this process, including full and accurate disclosure of requested information, is essential for the analysis of a Client's account. The Advisor shall rely on the financial and other information provided by the Client or their designees without the duty or obligation to validate the accuracy and completeness of the provided information. It is the responsibility of the Client to inform the Advisor of any changes in financial condition, goals, or other factors that may affect this analysis.

ARC does not employ options strategies to hedge or gain additional exposure to a particular asset class or sector.

Past performance is not a guarantee of future returns. Investing in securities and other investments involve a risk of loss that each Client should understand and be willing to bear. Clients are reminded to discuss these risks with the Advisor. For more

information on our investment management services, please contact us at (713) 470-4208 or via email at wconner@alliantretirementservices.com.

Item 9 – Disciplinary Information

There are no legal, regulatory or disciplinary events involving ARC. Please see the ADV Part 2B individual disclosure for any disciplinary events involving employees of ARC. ARC and its advisory personnel value the trust you place in us. As we advise all Clients, we encourage you to perform the requisite due diligence on any advisor or service provider in which you partner. Our backgrounds are on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. To review the firm information contained in ADV Part 1, select the option for Investment Adviser Firm and enter “167970” in the field labeled “Firm IARD/CRD Number”. This will provide access to Form ADV Parts 1 and 2. Item 11 of the ADV Part 1 lists legal and disciplinary questions. You may also research the background of Wendy Conner by selecting the Investment Adviser Representative and entering Ms. Conner’s Individual CRD **4169248** in the field labeled “Individual CRD Number”.

Item 10 – Other Financial Industry Activities and Affiliations

Broker-Dealer Affiliation

Certain representatives of ARC may also be registered representatives of Cetera Advisor Networks, LLC. Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In their separate capacity as registered representatives, they will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by these representatives. The Advisor will not earn Consulting Services fees in connection with any services implemented in their separate capacity as a registered representative where commissions are earned.

Generally, recommendations made under the ARC Consulting Agreement are implemented by the advisor as an Investment Adviser Representative, not as a Registered Representative. As such, Clients of ARC pay only advisory fees as described above. ARC will not earn an advisory fee and a commission on the same retirement plan held at the same vendor. In the event that the advisor earns a commission on an investment, the advisory fee will be waived by ARC. There may be circumstances that a Client’s retirement plan has assets at two vendors and one pays commission and the other is covered under a CSA. These circumstances are generally limited and disclosed to the client.

Insurance Agency Affiliations

Certain representatives of ARC may serve as sales agents for Alliant Insurance Services, the Advisor’s parent company. This activity is done separate and apart from their role with ARC. As an insurance agent, they may receive customary commissions and other related revenues from the insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by these representatives or the Advisor.

At times, certain advisors may participate in sales contest originating with Alliant Insurance Services for the purpose of cross-selling insurance products to the advisors’ clients. The advisor becomes eligible for the cross-selling Program by referring the account(s) to another producer for new insurance business including employee benefits and property-casualty insurance. These activities do not

adversely affect the pricing or are a required stipulation of any contract written with advisory clients.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics

ARC has implemented a Code of Ethics that defines our fiduciary commitment to each Client. This Code of Ethics applies to all persons associated with ARC. The Code of Ethics was developed to provide general ethical guidelines and specific instructions regarding our duties to you, our Client. ARC and its personnel owe a duty of loyalty, fairness, and good faith towards each Client. It is the obligation of ARC associates to adhere not only to the specific provisions of the Code, but also to the general principles that guide the Code. The Code of Ethics covers a range of topics that may include; general ethical principles, reporting personal securities trading, reportable securities, initial public offerings, and private placements, reporting ethical violations, distribution of the Code of Ethics, review and enforcement processes, amendments to Form ADV and supervisory procedures.

Personal Trading with Material Interest

ARC allows our employees to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients. ARC does not act as principal in any transactions. In addition, the Advisor does not act as the general partner of a fund, or advise an investment company. ARC does not have a material interest in any securities traded in Client accounts.

Personal Trading in Same Securities as Clients

ARC allows our employees to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients. Owning the same securities we recommend (purchase or sell) to you presents a potential conflict of interest that, as fiduciaries, we must disclose to you and mitigate through policies and procedures. As noted above, we have adopted, consistent with Section 204A of the Investment Advisers Act of 1940, a Code of Ethics, which addresses insider trading (material non-public information controls) and personal securities reporting procedures. We have also adopted written policies and procedures to detect the misuse of material, non-public information. We may have an interest or position in certain securities, which may also be recommended to you.

In addition the Code of Ethics governs Gifts and Entertainment given by and provided to the Advisor, outside employment activities of employees, Employee reporting, sanctions for violations of the Code of Ethics, and records retention requirements for various aspects of the Code of Ethics.

Personal Trading at Same Time as Client

ARC does not place trades for clients.

At no time, will ARC or any associated person of ARC, transact in any security to the detriment of any Client.

Item 12 – Brokerage Practices

Recommendation of Custodian[s]

ARC does not have discretionary authority to select the broker-dealer/custodian for custodial and execution services or the administrator for defined contribution accounts. The Client will select the

broker-dealer or custodian (herein the "custodian") to safeguard Client assets and authorize ARC to direct trades to this custodian as agreed in the Consulting Services Agreement. Further, ARC does not have the discretionary authority to negotiate commissions on behalf of our Clients on a trade-by-trade basis.

Where ARC does not exercise discretion over the selection of the custodian, it may recommend the custodian[s] to Clients for execution and/or custodial services. Clients are not obligated to use the recommended custodian and will not incur any extra fee or cost associated with using a broker not recommended by ARC. ARC may recommend a custodian based on criteria such as, but not limited to, reasonableness of commissions charged to the Client, services made available to the Client, and location of the custodian's offices. ARC does not receive research services, other products, or compensation as a result of recommending a particular broker that may result in the Client paying higher commissions than those obtainable through other brokers.

Following are additional details regarding the brokerage practices of the Advisor:

Soft Dollars - Soft dollars are revenue programs offered by broker-dealers whereby an advisor enters into an agreement to place security trades with the broker in exchange for research and other services. **ARC does not participate in soft dollar programs sponsored or offered by any broker-dealer.**

Brokerage Referrals - ARC does not receive any compensation from any third party in connection with the recommendation for establishing a brokerage account.

Aggregating and Allocating Trades

ARC does not have trading discretion and does not place trades for its Clients.

Item 13– Review of Accounts

Frequency of Reviews

Accounts are monitored on a regular and continuous basis. Formal reviews are generally conducted quarterly at least annually or more or less frequently depending on the needs of the Client.

Causes for Reviews

Each Client account shall be reviewed at least annually by ARC. Reviews may be conducted more or less frequently at the Client's request. Reviews may be triggered by significant changes in the market, client situation, or any other factor that may have a significant bearing on the overall investment portfolio.

Review Reports

All Clients are given quarterly reviews of their asset allocation, demographics, investment performance, and benchmarks. These reports may also include regulatory and industry updates, fund manager reports, and other qualitative information.

The Client will receive brokerage statements no less than quarterly from the trustee or custodian. These brokerage statements are sent directly from the custodian to the Client. The Client may also establish electronic access to the custodian's website so that the Client may view these reports and their account activity. Client brokerage statements will include all positions, transactions and fees relating to the Client's account[s]. The Advisor may also provide Clients with periodic reports regarding their holdings, allocations, and performance.

Quarterly invoices provided serve as a regular disclosure of fees.

Item 14 - Client Referrals and Other Compensation

Compensation Received by ARC

As disclosed under the Fees and Compensation section in this brochure, persons providing investment advice on behalf of our firm are licensed insurance agents, and may be registered representatives with Cetera Advisors Network, a securities broker-dealer, and a member of the Financial Industry Regulatory Authority and the Securities Investor Protection Corporation. For information on the conflicts of interest this presents, and how we address these conflicts, refer to the Fees and Compensation section.

Some Investment Advisor Representatives (Advisors) of the ARC may receive compensation for referrals of business outside of the scope of services described in the CSA, but will fully disclose any payments to the clients not disclosed in the CSA as a conflict of interest. These referrals have no impact on our CSA's or pricing. This creates a conflict of interest; however, you are not obligated to retain any recommended firm for services.

Client Referrals from Solicitors

ARC directly compensates non-employee (outside of ARC) consultants, individuals, and/or entities (Solicitors) for client referrals. In order to receive a cash referral fee from our firm, Solicitors must comply with the requirements of the jurisdictions in which they operate. If you were referred to our firm by a Solicitor, you should have received a copy of this brochure along with the Solicitor's disclosure statement at the time of the referral. If you become a client, the Solicitor that referred you to our firm will receive a flat fee or a percentage of the advisory fee you pay our firm for as long as you are a client with our firm, or until such time as our agreement with the Solicitor expires. You will not pay additional fees because of this referral arrangement. Referral fees paid to a Solicitor are contingent upon your entering into an advisory agreement with our firm. Therefore, a Solicitor has a financial incentive to recommend our firm to you for advisory services. This creates a conflict of interest; however, you are not obligated to retain our firm for advisory services. Comparable services and/or lower fees may be available through other firms.

Solicitors that refer business to more than one investment adviser may have a financial incentive to recommend advisers with more favorable compensation arrangements. We request that our Solicitors disclose to you whether multiple referral relationships exist and that comparable services may be available from other advisers for lower fees and/or where the Solicitor's compensation is less favorable.

The Solicitation fees paid have no bearing on the fees paid to us by our clients. Fees are based on the complexity of the plan, amount of assets, number of employees, and services offered only.

Item 15 - Custody

ARC does not accept or maintain custody of any Client accounts. All Clients must place their assets with a qualified custodian. Clients are required to select their own custodian to retain their funds and securities and direct ARC to utilize that custodian for the Client's security transactions. ARC encourages Clients to review statements provided by the account custodian. For more information about custodians and brokerage practices, see Item 10 - Brokerage Practices.

Item 16 – Investment Discretion

ARC can have discretion over the selection and amount of securities to be bought or sold in Client accounts in its capacity as a 3(38). There is an Investment Committee made up of Investment Advisor Representatives (IAR) of ARC. All 3(38) potential investments are reviewed quarterly and in accordance with an Investment Policy Statement which is available to the Client by written request or via email. ARC has the authority to change these investments for the Client through the process established with the record-keeper. The client is required to distribute all necessary employee communications with participants in the retirement plan. The IAR will communicate the changes to the plan in a timely manner to ensure delivery by the client, but does not require the Client to make those changes if the record-keeper allows the IAR to do so. The details of this arrangement are available in the record-keeper's service agreement or the CSA.

Item 17 – Voting Client Securities

ARC does not accept proxy-voting responsibility for any Client. Clients will receive proxy statements directly from the Custodian. The Advisor will assist in answering questions relating to proxies, however, the Client retains the sole responsibility for proxy decisions and voting.

Item 18 – Financial Information

Neither ARC, nor its management has any adverse financial situations that would reasonably impair the ability of ARC to meet all obligations to its Clients. Neither ARC, nor any of its advisory persons, has been subject to a bankruptcy or financial compromise. ARC is not required to deliver a balance sheet along with this Brochure as the firm does not collect advance fees for services to be performed six months or more in advance.

Privacy Policy

Effective March 1st, 2020

Our Commitment to You

Alliant Retirement Consulting (“ARC”) is committed to safeguarding the use of your personal information that we have as your Investment Advisor. ARC (also referred to as “we”, “our” and “us” throughout this notice) protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used for proper business purposes in connection with the management or servicing of our relationship with you. Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything we can to maintain that trust.

ARC does not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and proper business purposes in connection with the servicing and management of our relationship with you as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this Privacy Policy.

Why you need to know?

Registered Investment Advisors (“RIA’s”) share some of your personal information. Federal and State laws give you the right to limit some of this sharing. Federal and State laws require RIA’s to disclose how we collect, share, and protect your personal information.

What information do we collect from you?

Social security or taxpayer identification number	Assets and liabilities
Name, address and phone number(s)	Income and expenses
E-mail address	Investment activity
Account information (including other institutions)	Investment experience and goals

What sources do we collect information from in addition to you?

Custody, brokerage and advisory agreements	Account applications and forms
Other advisory agreements and legal documents	Investment questionnaires and suitability documents
Transactional information with us or others	Other information needed to service account

How we share your information?

RIAs do need to share personal information regarding its clients to effectively implement the RIA’s services. In the section below, we list some reasons we may share your personal information.

Basis for sharing	Sharing	Limitations
<p>Servicing our Clients We may share non-public personal information with non-affiliated third parties (such as brokers, custodians, regulators, credit agencies, other financial institutions) as necessary for us to provide agreed services to you consistent with applicable law, including but not limited to:</p> <ul style="list-style-type: none"> • Processing transactions; • General account maintenance; • Responding to regulators or legal investigations; and • Credit reporting, etc. 	Advisors may share this information.	Clients cannot limit the Advisors ability to share.
<p>Administrators We may disclose your non-public personal information to companies we hire to help administrate our business.</p> <p>Companies we hire to provide services of this kind are not allowed to use your personal information for their own purposes and are contractually obligated to maintain strict confidentiality. We limit their use of your personal information to the performance of the specific service we have requested.</p>	Advisors may share this information.	Clients cannot limit the Advisors ability to share.
<p>Marketing Purposes ARC does not disclose, and does not intend to disclose, personal information with non-affiliated third parties to offer you services. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where ARC or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, not for marketing purposes.</p>	Advisors do not share personal information.	Clients cannot limit the Advisors ability to share.
<p>Authorized Users In addition, your non-public personal information may also be disclosed to you and persons we believe to be your authorized agent or representative.</p>	Advisors do share personal information.	Clients can limit the Advisors ability to share within the terms of the CSA
<p>Information About Former Clients ARC does not disclose, and does not intend to disclose, non- public personal information to non-affiliated third parties with respect to persons who are no longer our clients.</p>	Advisors do not share personal information regarding former clients	Clients can limit the Advisors ability to share within the terms of the CSA

How do we protect your information?

To safeguard your personal information from unauthorized access and use, we maintain physical, procedural and electronic safeguards. These include computer safeguards such as passwords, secured files and buildings.

Our employees are advised about ARC's need to respect the confidentiality of each client's non-public personal information. We train our employees on their responsibilities.

We require third parties that assist in providing our services to you to protect the personal information they receive. This includes contractual language in our third party agreements.

Changes to our Privacy Policy.

We will send you notice of our Privacy Policy annually for as long as you maintain an ongoing relationship with us.

Periodically we may revise our Privacy Policy, and will provide you with a revised policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.

State specific regulations

California

In response to a California law, to be conservative, we assume accounts with California addresses do not want us to disclose personal information about you to non-affiliated third parties except as permitted by California law. We also limit the sharing of personal information about you with our affiliates to ensure compliance with California privacy laws.

Questions: You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting Wendy Conner, ARC Chief Compliance Officer, at (713) 470-4208 or via email at wconner@alliant.com.



Wendy Conner, Vice President, Chief Compliance Officer**Item 1 – COVER PAGE**

Wendy Conner, ChFC®, AIF™
1301 Dove Street, Suite 200 (Office)
Newport Beach, CA 92660*
713.470.4208

This Brochure Supplement provides information about the background and qualifications of Wendy Conner (CRD **4169248**) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Wendy Conner is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

*Ms. Conner currently telecommutes from home in Casper, WY, but offices out of Newport, CA.

Item 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Wendy M. Conner
Born in 1967

Educational Background

University of Central Oklahoma, Bachelor of Arts in English from – 2001
Selma High School, High School Diploma - 1986

Business Experience

Alliant Retirement Services, LLC,
Chief Compliance Officer, 2012 to Present
Account Executive, 2007 - Present
Cetera Advisors Network, 6/2017 to Present
LPL Financial, Managing OSJ, 8/2009 – 6/2017
NFP Securities, Managing OSJ 2007 - 8/2009
Advisor and OSJ, AIG VALIC, 09/2001 to 10/2005

Professional Designations, Securities, and Insurance Licenses

Chartered Financial Consultant ChFC®
Issuing Organization: The American College
Prerequisites/Experience Required: 3 years of full-time business experience within the five years preceding the awarding of the designation
Educational Requirements: 6 core and 2 elective courses, final proctored exam for each course
Continuing Education: 30 hours every 2 years

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 7 – General Securities Representative:

Requirements include passing a 250 multiple choice question examination within two 3 hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 24 – General Securities Principal: Requirements include passing a 150 multiple choice question examination within 3 hours and 45 minutes. This examination qualifies the holder to supervise and manage branch activities. The Series 7 is a pre-requisite.

Series 51- Municipal Fund Securities Limited Principal Requirements is a Municipal Securities Rulemaking Board (MSRB) exam. The exam consists of 60 scored questions. This exam was created by the MSRB to license individuals who have primary responsibility for overseeing the municipal fund securities activities of a broker, dealer or municipal securities dealer with a focus on 529 plans.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 66 – Uniform Combined State Law Examination: Requirements include passing a 130 multiple choice question

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Conner.

Ms. Conner has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Conner.

However, we do encourage you to independently view the background of Ms. Conner on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4169248** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Conner is also a registered representative of Cetera Advisor Networks, LLC. Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC.

In her separate capacity as a registered representative, Ms. Conner will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any

recommendation provided by Ms. Conner. Neither the Advisor nor Ms. Conner will earn Consulting Services fees in connection with any services implemented in Ms. Conner’s separate capacity as a registered representative where commissions are earned or as employee of LPL Financial.

Generally, recommendations made by ARC to its Clients are communicated to the plan sponsor by Ms. Conner in her role as an Investment Advisor Representative of ARC, not in her role as a Registered Representative. As such, Clients of ARC pay only advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Ms. Conner earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Ms. Conner, Chief Compliance Officer of ARC, may serve as sales agent for various insurance companies. This activity is done separate and apart from her role with ARC. As an insurance agent, Ms. Conner may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Conner or the Advisor.

Item 5 – Additional Compensation

Ms. Conner has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Conner serves as the Chief Compliance Officer of ARC. Ms. Conner can be reached at (713) 470-4208. ARC has implemented a Code of Ethics and internal compliance guide that each employee must abide by to meet their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



Effective: July 15th, 2017
Form ADV Part 2B – Individual Disclosure Brochure

James Laschinger, Senior Vice President, National Practice Leader**Item 1 – COVER PAGE**

James Laschinger, AIF®, C(k)P®
1125 Sanctuary Parkway, Suite 300
Alpharetta, GA 30009
770.35.1114

This Brochure Supplement provides information about the background and qualifications of James A. Laschinger (CRD 2960596) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about James Laschinger is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

James A. Laschinger
Born in 1974

Educational Background

Mr. Laschinger earned a Bachelor of Arts in Finance from University of Georgia in 1997. Additional information regarding Mr. Laschinger’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Senior Vice President, National Practice Leader, 12/2007 to Present
Cetera Advisors Network, Registered Representative, 06/2017 to Present
Mercer, Registered Representative, 02/2003 to 12/2007
LPL Financial, Registered Representative, 12/2009 to 06/2017

Professional Designations, Securities, and Insurance Licenses

Series 7 – General Securities Representative:
Requirements include passing a 250 multiple choice question examination within two 3 hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser:
Requirements include passing a 130 multiple choice questions

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Certified 401(k) Professional (C(k)P®) is designed to be a comprehensive designation created specifically for retirement plan professionals, offering a curriculum designed to systematically move the candidate from the early stages of acquiring knowledge to the application of that knowledge, with over 70 courses which cover everything from basic topics such as plan design, industry

mechanics, fiduciary considerations, due diligence, industry trends, sales & marketing strategies to advanced topics, such as leadership, team building, management and business skills, advanced behavioral finance theory & techniques and best practices of successful financial advisors in the defined contribution industry.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Laschinger.

Mr. Laschinger has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or

Broker-Dealer Affiliation

If Mr. Laschinger earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Laschinger, Senior Vice President of ARC, may serve as sales agent for various insurance companies and Alliant Insurance Services. This activity is done separate and apart from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Laschinger may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Laschinger or the Advisor.

administrative proceedings against Mr. Laschinger.

However, we do encourage you to independently view the background of Mr. Laschinger on the Investment Adviser Public Disclosure website at

www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **2960596** in the field labeled "Individual CRD Number".

Item 4 – Other Business Activities

Item 5 – Additional Compensation

Mr. Laschinger has additional business activities were compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Laschinger is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Benjamin Sithens, Vice President, Investment Consultant**Item 1 – COVER PAGE**

Benjamin Sithens
1125 Sanctuary Parkway, Suite 300
Alpharetta, GA 30009
770.325.6686

This Brochure Supplement provides information about the background and qualifications of Benjamin A Sithens (CRD 4186714) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Benjamin Sithens is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Benjamin A. Sithens
Born in 1976

Educational Background

Mr. Sithens earned a Bachelor of Science in Economics from Auburn University in 1999. Additional information regarding Mr. Sithens’ employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Vice President, Investment Consultant 04/2010 to Present
Cetera Advisors Network, Registered Representative, 06/2017 to Present
ING Retirement Services, Registered Representative, 04/2007 to 04/2010
LPL Financial, Registered Representative, 04/2010 to 06/2017

Professional Designations, Securities, and Insurance Licenses

Series 7 – General Securities Representative
Requirements include passing a 250 multiple choice question examination within two 3 hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice questions

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Sithens.

Mr. Sithens has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Sithens.

However, we do encourage you to independently view the background of Mr. Sithens on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4186714** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities**Broker-Dealer Affiliation**

If Mr. Sithens earns a commission on an investment, the advisory fee will be waived by ARC.



Effective: March 27th, 2020

1301 Dove Street, Suite 200, Newport, CA 92660, 713-470-4208

Insurance Agency Affiliations

Mr. Sithens, Vice President of ARC, may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Sithens may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Sithens or the Advisor.

Item 5 – Additional Compensation

Mr. Sithens has no additional business activities where compensation is received. These business

activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Sithens is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



Aaron Pottichen, Senior Vice President

Item 1 – COVER PAGE

Aaron Pottichen
3600 North Capital of Texas Highway, Bldg. B, St. 200
Austin, TX 78746
(512) 306-9300
aaron.pottichen@alliant.com

This Brochure Supplement provides information about the background and qualifications of John A. Pottichen (CRD 2359267) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Pottichen is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

John A. Pottichen
Born in 1979

Educational Background

Mr. Pottichen earned a Bachelor of Science in Marketing/Finance from Bachelors of Science, San Diego State University in 2002. Additional information regarding Mr. Pottichen’s employment history is included below.

Business Experience

Alliant Insurance Services, Senior Vice President, 7/2018 to Present
CLSRS, LLC d/b/a CLS Partners Retirement Services, Principal & Chief Compliance Officer, 03/2015 to 7/2018.
C.L. Scott Corporate Insurance Services, LLC d/b/a CLS Partners, Retirement Consultant/Licensed Insurance Agent, 12/2014 to 7/2018
CLS Insurance Services Holdings, LLC d/b/a CLS Partners, Business Development Representative, 12/2014 to 7/2018.
Raymond James Financial Services Advisors Inc. / Hanvey Financial, Investment Adviser Representative and Registered Representative, 01/2014 to 10/2016.

Raymond James & Associates, Financial Advisor,
08/2012 - 01/2014.
Merrill Lynch, Financial Advisor, 07/2008 - 08/2012.

Professional Designations, Securities, and Insurance Licenses

Series 65 – Uniform Investment Adviser: Requirements include passing a 100 multiple choice questions.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Pottichen.

Mr. Pottichen has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Pottichen.

However, we do encourage you to independently view the background of Mr. Pottichen on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4890583** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Pottichen does not affiliate with any Broker-Dealers

Insurance Agency Affiliations

Mr. Pottichen, Senior Vice President of ARC, may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with the ARC. As an insurance agent, Mr. Pottichen may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Pottichen or the Advisor.

Item 5 – Additional Compensation



Mr. Pottichen has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Pottichen serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Drew Whitney, Account Executive**Item 1 – COVER PAGE**

Drew Whitney, MBA
3600 North Capital of Texas Highway, Bldg. B, St. 200
Austin, TX 78746
(512) 306-9300
Drew.Whitney@alliant.com

This Brochure Supplement provides information about the background and qualifications of Drew P. Whitney (CRD 5918906) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Whitney is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Drew Whitney
Born in 1987

Educational Background

Mr. Whitney earned a Bachelor of Art Economics, Principia College in 2009 and a Master’s in Business Administration in 2016 from Baker University. Additional information regarding Mr. Whitney’s employment history is included below.

Business Experience

Alliant Retirement Services, Account Executive, 7/2018 to Present
CLSRs, LLC d/b/a CLS Partners Retirement Services, Investment Advisor Representative and Account Manager, 09/2016 to 7/2018.
Great West Financial, Business Process Analyst, 09/2014 - 06/2016

J.P. Morgan, Retirement Education Specialist, 04/2011 - 08/2014

Ignite Inc., Sales Associate, 01/2010 - 01/2014
Huntington Learning Center, Teacher, 08/2009 - 05/2010
Unemployed, 06/2009 to 08/2009
Principia College, Student, 08/2005 to 06/2009
The Principia, Assistant Mechanic, 03/2008 - 03/2008
League City Outdoor Power Equipment, Assistant Mechanic, 07/2007 - 08/2007
Macy’s, Sales Associate, 11/2006 - 12/2006

Professional Designations, Securities, and Insurance Licenses

Series 65 – Uniform Investment Adviser: Requirements include passing a 100 multiple choice questions.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Whitney.

Mr. Whitney has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Whitney.

However, we do encourage you to independently view the background of Mr. Whitney on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **5918906** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Mr. Whitney is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5 – Additional Compensation

Mr. Whitney does not receive any economic benefit from any person, company, or organization, other than Alliant Retirement Consulting in exchange for providing clients advisory services.

Item 6 – Supervision



Effective: March 27th, 2020

Form ADV Part 2B – Individual Disclosure Brochure

Mr. Whitney is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is

subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Jonathan Taporco, Investment Consultant**Item 1 – COVER PAGE**

Jonathan Taporco, CFA
3600 North Capital of Texas Highway, Bldg. B-200
Austin, TX 78746
737 236 5134
Jonathan.Taporco@alliant.com

This Brochure Supplement provides information about the background and qualifications of John A. Taporco (CRD 2359267) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Taporco is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Jonathan Taporco
Born in 1983

Educational Background

Mr. Taporco earned a Bachelor of Science in Finance at, Sacred Heart University in 2014. Additional information regarding Mr. Taporco’s employment history is included below.

Business Experience

Alliant Insurance Services, Lead Account Manager, 7/2018 to Present
CLSRs, LLC d/b/a CLS Partners Retirement Services, Investment Advisor Representative, 04/2018 to 7/2018.
NFP, Plan Consultant, 10/2013 - 03/2018
Barnum Financial Group, Financial Analyst, 06/2012 - 05/2013
First Allied Securities, Financial Analyst, 02/2011 - 08/2011
Chase Investment Services Corp, Personal Banker, 03/2010 - 01/2011
AXA Advisor, Financial Advisor, 07/2009 - 04/2010

Ameriprise Financial, Financial Advisor, 06/2006 - 05/2009
Morgan Stanley Financial Advisor, 09/2005 - 04/2006

Professional Designations, Securities, and Insurance Licenses

Series 66 – Uniform Investment Adviser: Requirements include passing a 100 multiple choice questions.

CFA Charter holder – The Chartered Financial Analyst (CFA) designation is a globally-recognized professional designation given by the CFA Institute, that measures and certifies the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas, such as accounting, economics, ethics, money management, and security analysis. The CFA charter is one of the most respected designations in finance and is widely considered to be the gold standard in the field of investment analysis. To become a charter holder, candidates must pass three difficult exams, have a bachelor’s degree, and have at least four years of relevant professional experience. Passing the CFA Program exams requires strong discipline and an extensive amount of studying.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Taporco.

Mr. Taporco has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Taporco.

However, we do encourage you to independently view the background of Mr. Taporco on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4857239** in the field labeled “Individual CRD Number”.



Item 4 – Other Business Activities

Mr. Taporco is not engaged in any investment-related business or occupation (other than this advisory firm).

Mr. Taporco spends one to two weekends per year consulting for the CFA Institute as part of the CFA Council of Examiners. His activities do not represent a conflict of interest, but are investment related.

Item 5 – Additional Compensation

Mr. Taporco Jonathan Taporco does not receive any economic benefit from any person, company, or organization, other than Alliant Retirement Consulting in exchange for providing clients advisory services.

Item 6 – Supervision

Mr. Taporco is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Sean Waggoner, Senior Vice President, Advisor**Item 1 – COVER PAGE**

Sean Waggoner
5444 Westheimer Road, Suite 900
Houston, TX 77056
713.470.4262

This Brochure Supplement provides information about the background and qualifications of Sean Waggoner (CRD 2748574) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor, not affiliated with LPL Financial, LLC.

Additional information about Sean Waggoner is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Sean Waggoner Senior Vice President of Alliant Retirement Consulting
Born in 1972

Educational Background

Mr. Waggoner earned a Bachelor of Arts in Finance from University of Texas at Austin in 1996.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Senior Vice President, 02/2002 to Present
Cetera Advisors Network, Registered Representative, 06/2017 to Present
LPL Financial, Registered Representative, 12/2009 to 06/2017
NFP Securities, Inc., Registered Representative, 02/2002 to 12/2009

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser: Requirements include passing a 100 multiple choice questions.

Item 3 – Disciplinary Information

As it is material to advisory business, Mr. Waggoner has never been involved in any civil or criminal action. There have been no Client complaints, lawsuits, or administrative proceedings against Mr. Waggoner.

Without admitting or denying the findings, Waggoner consented to the sanctions and to the entry of findings that he participated in private securities transactions without providing prior written notice to his member firm. The findings stated that the purchases, which Waggoner made on his own behalf, were outside the scope of his employment with his firm. The findings also stated that Waggoner failed to provide prior written notice to his firm about personal brokerage accounts. When Waggoner became associated with his firm he disclosed that he had brokerage accounts held at another firm. His broker for the accounts moved to a different firm and Waggoner opened brokerage accounts at that firm. Waggoner signed and submitted annual compliance questionnaires to his firm in which he inaccurately represented that he did not have any undisclosed outside securities accounts.

Resolution: Acceptance, Waiver & Consent (AWC)

Sanctions:

Civil and Administrative Penalties/Fine(s): Amount \$10,000.00

Sanctions: Suspension



Registration Capacities Affected: All Capacities
Duration: Two months
Start Date: 2/18/2020
End Date: 4/17/2020

Sanctions

20 hours of continuing education concerning registered representatives' regulatory responsibilities by a provider not unacceptable to FINRA.

However, we do encourage you to independently view the background of Mr. Waggoner on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 2748574 in the field labeled "Individual CRD Number".

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Waggoner is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In his separate capacity as a registered representative, Mr. Waggoner will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Waggoner.

Neither the Advisor nor Mr. Waggoner will earn Consulting Services fees in connection with any services implemented in Mr. Waggoner's separate capacity as a registered representative where commissions are earned.

Generally, recommendations made by ARC to its Clients are implemented by Mr. Waggoner in his role as an Investment Adviser Representative of ARC, not in his role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Mr. Waggoner earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Waggoner, Senior Vice President of ARC, may serve as sales agent for various insurance companies and Alliant Insurance Services. This activity is done separate and apart from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Waggoner may receive customary commissions and other related revenues from the various insurance

Effective: July 15th, 2017

Form ADV Part 2B – Individual Disclosure Brochure

companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Waggoner or the Advisor.

Item 5 – Additional Compensation

Mr. Waggoner has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Waggoner serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

John Cunningham, Senior Vice President, Advisor

Item 1 – COVER PAGE

John Cunningham, AIF™
101 Park Avenue, 12th floor
New York, NY 10178
212. 603.0284

This Brochure Supplement provides information about the background and qualifications of John A. Cunningham (CRD 2359267) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Cunningham is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

John A. Cunningham
Born in 1970

Educational Background

Mr. Cunningham earned a Bachelor of Science in Marketing/Finance from St. John’s University New York in 1992. Additional information regarding Mr. Cunningham’s employment history is included below.

Business Experience

Alliant Insurance Services, Senior Vice President, 1/2012 to Present
Vicis Capital, Inc., Advisor, 9/2013 to Present
Cetera Advisor Networks LLC, Registered Rep/Investment Adviser Rep, 9/3/2013 to Present
Thesco Retirement Planning Services, President, 12/1/2001 to present
Assistant Vice President, 01/2013 to Present
Walnut Street Securities, Inc. Registered Rep, 8/2003 to 8/2013

Professional Designations, Securities, and Insurance Licenses

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 26 - the investment company products/variable contracts limited principal (series 26) qualifies an individual who will function as a principal for the solicitation, purchase, and/or sale of redeemable securities of companies registered pursuant to the investment company act of 1940; securities of closed-end companies registered pursuant to the investment company act of 1940 during the period of original distribution only; and variable contracts and insurance premium funding programs and other contracts issued by an insurance company.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser: Requirements include passing a 100 multiple choice questions.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Cunningham.

Mr. Cunningham has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Cunningham.

However, we do encourage you to independently view the background of Mr. Cunningham on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **2359267** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Cunningham is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In his separate capacity as a registered representative, Mr. Cunningham will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Cunningham. Neither the Advisor nor Mr. Cunningham will earn Consulting Services fees in connection with any services implemented in Mr. Cunningham’s separate capacity as a registered representative where commissions are earned.

Generally, recommendations made by ARC to its Clients are implemented by Mr. Cunningham in his role as an Investment Advisor Representative of ARC, not in his role as a Registered Representative. As such, Clients of ARC only pay only advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Mr. Cunningham earns a commission on an investment, the

advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Cunningham, Assistant Vice President of ARC, may serve as sales agent for various insurance companies and Alliant Insurance Services. This activity is done separate and apart from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. This activity is done separate and apart from his role with the ARC. As an insurance agent, Mr. Cunningham may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Cunningham or the Advisor.

Item 5 – Additional Compensation

Mr. Cunningham has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Cunningham serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Max Denler, Alliant Retirement Consulting**Item 1 – COVER PAGE**

Max Denler, AIF®
101 Park Avenue, 12th Floor
New York, NY 10178
212 603 0116

This Brochure Supplement provides information about the background and qualifications of Andrew Maxwell Denler (CRD 2960596) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Andrew Maxwell Denler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Andrew Maxwell Denler
Born in 1981

Educational Background

Mr. Denler earned a Bachelor of Arts in Music from the University of Rochester in 2003. Additional information regarding Mr. Denler’s employment history is included below.

Business Experience

Alliant Insurance Services, Account Executive, 4/2013 to Present
Cetera Advisor Networks LLC, Registered Rep/Investment Adviser Rep, 9/3/2013 to Present
Walnut Street Securities, Inc. Registered Rep, 6/2013 to 8/2013
Transamerica Investors Securities Corporation, Registered Rep, 10/2011 to 4/2013
Paychex Inc., 3/2007 to 6/2011
HSBC Bank, 10/2003 to 3/2007

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Denler.

Mr. Denler has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Denler.

However, we do encourage you to independently view the background of Mr. Denler on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **6001478** in the field labeled "Individual CRD Number".

Item 4 – Other Business Activities

Broker-Dealer Affiliation

If Mr. Denler earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Denler may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC. As an insurance agent, Mr. Denler may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Denler or the Advisor.

Item 5 – Additional Compensation

Mr. Denler has additional business activities were compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Denler is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Sarah Keibler, Advisor

Item 1 – COVER PAGE

Sarah Keibler
1420 5th Ave, Suite 1500,
Seattle, WA 98101-2424
770.35.1114

This Brochure Supplement provides information about the background and qualifications of Sarah Keibler (CRD **4420243**) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting.

Additional information about Sarah Keibler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Sarah Keibler
Born in 1974

Educational Background

Ms. Keibler earned a Bachelor of Arts from University of Northern Iowa.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services, 12/2005 to Present
LPL Financial, Registered Representative, 12/2009 to 07/2017
NRP Financial, Inc., 11/2006 to 11/2010
Northwestern Mutual Investment Services, LLC, 01/2006 to 08/2006
Princor Financial Services Corporation, 07/2001 - 08/2002

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law

Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser:

Requirements include passing a 130 multiple choice questions

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Keibler.

Ms. Keibler has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Keibler.

However, we do encourage you to independently view the background of Ms. Keibler on the Investment Adviser Public Disclosure website at

www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **2960596** in the field labeled "Individual CRD Number".

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Keibler is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In her separate capacity as a registered representative, Ms. Keibler will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Ms. Keibler. Neither the Advisor nor Ms. Keibler will earn Consulting Services fees in connection with any services implemented in Ms. Keibler's separate capacity as a registered representative where commissions are earned.

Generally, recommendations made by ARC to its Clients are managed by Ms. Keibler in her role as an Investment Advisor Representative of ARC, not in her role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Ms. Keibler earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Ms. Keibler may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC. As an insurance agent, Ms. Keibler may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Keibler or the Advisor.

Item 5 – Additional Compensation

Ms. Keibler has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Keibler serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Kate Reed, Account Executive

Item 1 – COVER PAGE

Kate Reed
1420 5th Ave, Suite 1500,
Seattle, WA 98101-2424
206.204.9116

This Brochure Supplement provides information about the background and qualifications of Kate Reed (CRD **5752815**) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting.

Additional information about Sarah Keibler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Kate Reed
Born in 1986

Educational Background

Ms. Reed earned a Bachelor of Arts from The Ohio State University

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services 6/2019 – Present
Cetera Advisor Networks LLC 6/2019 - Present
Morgan Stanley 10/2016 – 05/2019
Alliant Insurance Services, 2/2013 – 07/2016
LPL Financial, 2/2013 – 07/2016
Lamon & Stern 08/2012 – 01/2013
Nationwide Investment Services Corporation, 02/2010 – 07/2012

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 7 – General Securities Representative:

Requirements include passing a 250 multiple choice question examination within two 3 hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 63 – Uniform Securities Agent State Law

Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 66 – Uniform Combined State Law

Examination: Requirements include passing a 100 multiple choice questions within 150 minutes. This examination qualifies candidates as investment advisor representatives or securities agents.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Reed.

Ms. Reed has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Reed.

However, we do encourage you to independently view the background of Ms. Reed on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **5752815** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Reed is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In her separate capacity as a registered representative, Ms. Reed will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Ms. Reed. Neither the Advisor nor Ms. Reed will earn Consulting Services fees in connection with any services implemented in Ms. Keibler's separate capacity as a registered representative where commissions are earned.

Generally, recommendations made by ARC to its Clients are managed by Ms. Reed in her role as an Investment Advisor Representative of ARC, not in her role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Ms. Reed earns a commission on an investment, the advisory fee will be waived by ARC.

Item 5 – Additional Compensation

Ms. Reed has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Reed serves is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.