

**Item 1. Cover Page**

**Part 2B of Form ADV: *Brochure Supplement***

**Donald W. Phillips**  
155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
(312) 277-2020



155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
Telephone: (312) 277-1300  
Facsimile: (312) 277-2011

March 29, 2020

**This brochure supplement provides information about Donald W. Phillips that supplements the WP Global Partners LLC (“WP Global”) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer Rick Myers at (312) 277-2018 or [rlm@wpglobalpartners.com](mailto:rlm@wpglobalpartners.com) if you did not receive WP Global’s brochure or if you have any questions about the contents of this supplement.**

## **Item 2. Educational Background and Business Experience**

### **Name**

Donald W. Phillips

### **Year of Birth**

1948

### **Educational Background**

B.A. from Western Illinois University  
M.B.A. from Northern Illinois University

### **Business Background**

Chairman and Chief Executive Officer of WP Global Partners LLC (“WP Global”) (2013-Present); Founder, Chairman and Chief Executive Officer of WP Global Partners Inc. (2005-February 2013); Founder (February 2013-Present); Chief Executive Officer (February 2014-Present)

## **Item 3. Disciplinary Information**

Without admitting or denying the allegations contained in the Order, on March 8, 2013 advisory affiliate Donald W. Phillips (“Phillips”) consented to the entry of a settled administrative proceeding filed by the Securities and Exchange Commission, naming Ranieri Partners LLC (“Ranieri Partners”) and Mr. Phillips, formerly a senior official of Ranieri Partners, as respondents. The Order alleged that William M. Stephens (“Stephens”), an independent consultant working for, and soliciting investors on behalf of, Ranieri Partners, violated applicable regulation by failing to register as a broker or dealer. Ranieri Partners and Mr. Phillips, the Order alleged, provided materials to Stephens, or did not take adequate steps to prevent Stephens from having substantive contacts with potential investors in Ranieri Partners’ funds. As a result, Ranieri Partners was alleged to have caused, and Mr. Phillips was alleged to have caused and aided and abetted, Stephens’ violations. Mr. Phillips consented to the imposition of sanctions consisting of suspension from association with a regulated entity in a supervisory capacity for a period of nine (9) months, payment of a \$75,000 civil monetary penalty, and a cease and desist order. The Order contained no allegations of client harm or loss, and WP Global was not a party to the proceeding.

## **Item 4. Other Business Activities**

Mr. Phillips is a member of WP Management Equity LLC (“WP Management”). WP Management is the vehicle through which its members, including Mr. Phillips, own the majority of the voting stock of WP Global. Mr. Phillips is also a member of WPGP Equity Partners LLC, a vehicle through which its members own additional voting stock in WP Global.

Mr. Phillips is also a member of Ranieri Partners LLC, a financial services advisory firm, and of Ranieri Partners Management LLC, a management company providing financial and administration services to affiliates of Ranieri Partners LLC. Several WP Management members, including Mr. Phillips, have ownership and economic interests in Ranieri Partners and some, including Mr. Phillips, are members of Ranieri Partners Management LLC.

**Item 5. Additional Compensation**

Mr. Phillips shares economically in the various investment platforms under Ranieri Partners through his interests in the general partners or in the equity of the management company.

**Item 6. Supervision**

Mr. Phillips is the Founder and Chief Executive Officer of WP Global. Mr. Phillips works collectively as part of a team to set the investment protocol for all clients of WP Global. Mr. Phillips reports to the board of managers of WP Global, as well as the Executive Committee of WP Global which is comprised of Mr. Phillips, Lloyd B. Thompson and Gregory S. Oberholtzer; as well as other members of WP Management, who collectively control the majority of the voting stock of WP Global. The Executive Committee and members of WP Management meet regularly with Mr. Phillips through the weekly conference calls, monthly members' meeting, and investment committee meetings; and through other daily meetings based on need.

In addition, Mr. Phillips is subject to WP Global's code of ethics and the provisions of WP Global's compliance policies and procedures manual. Mr. Rick Myers, WP Global's Chief Compliance Officer, is responsible for administering and monitoring the compliance policies at WP Global. Mr. Myers can be reached at (312) 277-2018.

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**Celia A. Chapman**

199 S. Los Robles Avenue, Suite 535  
Pasadena, CA 91101  
(626) 465-3695  
(312) 277-2032



155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
Telephone: (312) 277-1300  
Facsimile: (312) 277-2011

March 29, 2020

**This brochure supplement provides information about Celia A. Chapman that supplements the WP Global Partners LLC (“WP Global”) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer Rick Myers at (312) 277-2018 or [rlm@wpglobalpartners.com](mailto:rlm@wpglobalpartners.com) if you did not receive WP Global’s brochure or if you have any questions about the contents of this supplement.**

## **Item 2. Educational Background and Business Experience**

### **Name**

Celia A. Chapman

### **Year of Birth**

1953

### **Educational Background**

B.A. from Pepperdine University

M.B.A. from the Wharton School of Business at the University of Pennsylvania

CFA Institute designation as a Chartered Financial Analyst (“CFA”)<sup>1</sup>

### **Business Background**

Senior Managing Director of WP Global Partners LLC (“WP Global”) (2013-Present); Senior Managing Director of WP Global Partners Inc. (2005 – Present)

## **Item 3. Disciplinary Information**

No information is applicable to this Item.

## **Item 4. Other Business Activities**

Ms. Chapman is a member of WP Management Equity LLC (“WP Management”). WP Management is the vehicle through which its members, including Ms. Chapman, own the majority of the voting stock of WP Global.

Ms. Chapman is also a member of Ranieri Partners LLC, a financial services advisory firm.

## **Item 5. Additional Compensation**

Ms. Chapman shares economically in the various investment platforms under Ranieri Partners through her interests in the general partners or in the equity of the management company.

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<sup>1</sup> The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**Item 6.           Supervision**

Ms. Chapman works collectively as part of a team to set the investment protocol for all clients of WP Global. Ms. Chapman reports to the board of managers of WP Global, as well as the Executive Committee of WP Global which is comprised of Donald W. Phillips, Lloyd B. Thompson and Gregory S. Oberholtzer; as well as other members of WP Management, who collectively control the majority of the voting stock of WP Global. The Executive Committee and members of WP Management meet regularly with Ms. Chapman through the weekly conference calls, monthly members' meeting, and investment committee meetings; and through other daily meetings based on need. Mr. Phillips can be reached at (312) 277-2020.

In addition, Ms. Chapman is subject to WP Global's code of ethics and the provisions of WP Global's compliance policies and procedures manual. Mr. Rick Myers serves as WP Global's Chief Compliance Officer and is responsible for administering and monitoring the compliance policies at WP Global. Mr. Myers can be reached at (312) 277-2018.

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**Gregory S. Oberholtzer**  
650 Madison Avenue, 20<sup>th</sup> Floor  
New York, NY 10022  
(212) 558-2010



155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
Telephone: (312) 277-1300  
Facsimile: (312) 277-2011

March 29, 2020

**This brochure supplement provides information about Gregory S. Oberholtzer that supplements the WP Global Partners LLC (“WP Global”) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer Rick Myersat (312) 277-2018 or [rlm@wpglobalpartners.com](mailto:rlm@wpglobalpartners.com) if you did not receive WP Global’s brochure or if you have any questions about the contents of this supplement.**

## **Item 2. Educational Background and Business Experience**

### **Name**

Gregory S. Oberholtzer

### **Year of Birth**

1959

### **Educational Background**

B.B.A. from The George Washington University  
A.S.B.A. from Central Pennsylvania Business School

### **Business Background**

Senior Managing Director of WP Global Partners LLC (“WP Global”) (2013-Present); Senior Managing Director of WP Global Partners Inc. (2005 – Present); Co-Chief Executive Officer (February 2013-February 2014)

## **Item 3. Disciplinary Information**

No information is applicable to this Item.

## **Item 4. Other Business Activities**

Mr. Oberholtzer is a member of WP Management Equity LLC (“WP Management”). WP Management is the vehicle through which its members, including Mr. Oberholtzer, own the majority of the voting stock of WP Global. Mr. Oberholtzer is also a member of WPGP Equity Partners LLC, a vehicle through which its members own additional voting stock in WP Global.

Mr. Oberholtzer is also a member of Ranieri Partners LLC, a financial services advisory firm, and of Ranieri Partners Management LLC, a management company providing financial and administration services to affiliates of Ranieri Partners LLC. Several WP Management members, including Mr. Oberholtzer, have ownership and economic interests in Ranieri Partners and several members including Mr. Oberholtzer are members of Ranieri Partners Management LLC.

Mr. Oberholtzer is a board member and also has an interest in STOBRO LP, a real estate holding company managing real estate interests in Pennsylvania related to his family’s retail business.

Mr. Oberholtzer’s involvement with the foregoing entities may create a potential conflict of interest regarding his obligations to WP Global’s clients. Specifically, Mr. Oberholtzer’s roles with these entities may limit the amount of time he spends providing advice to WP Global’s clients. To address this conflict of interest, Mr. Oberholtzer spends the majority of his time each day on both WP Global portfolios and interacting with the WP Global investment team. Additionally, Donald W. Phillips, the Chief Executive Officer of WP Global, monitors the time Mr. Oberholtzer

devotes to WP Global to assure that adequate time is devoted by Mr. Oberholtzer to WP Global's clients and investments.

**Item 5. Additional Compensation**

Mr. Oberholtzer shares economically in the various investment platforms under Ranieri Partners through his interests in the general partners or in the equity of the management company.

**Item 6. Supervision**

Mr. Oberholtzer works collectively as part of a team to set the investment protocol for all clients of WP Global. Mr. Oberholtzer reports to the board of managers of WP Global, as well as the Executive Committee of WP Global which is comprised of Donald W. Phillips, Lloyd B. Thompson and Mr. Oberholtzer; as well as other members of WP Management, who collectively control the majority of the voting stock of WP Global. The Executive Committee and members of WP Management meet regularly with Mr. Oberholtzer through the weekly conference calls, monthly members' meeting, and investment committee meetings; and through other daily meetings based on need. Mr. Phillips, along with the rest of the investment team, reviews client portfolios at least on a monthly basis in person. Mr. Phillips can be reached at (312) 277-2020.

In addition, Mr. Oberholtzer is subject to WP Global's code of ethics and the provisions of WP Global's compliance policies and procedures manual. Mr. Rick Myers, WP Global's Chief Compliance Officer, is responsible for administering and monitoring the compliance policies at WP Global. Mr. Myers can be reached at (312) 277-2018.

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**Lloyd B. Thompson**

155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
(312) 277-2040



155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
Telephone: (312) 277-1300  
Facsimile: (312) 277-2011

March 29, 2020

**This brochure supplement provides information about Lloyd B. Thompson that supplements the WP Global Partners LLC (“WP Global”) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer Rick Myers at (312) 277-2018 or [rlm@wpglobalpartners.com](mailto:rlm@wpglobalpartners.com) if you did not receive WP Global’s brochure or if you have any questions about the contents of this supplement.**

**Item 2. Educational Background and Business Experience**

**Name**

Lloyd B. Thompson

**Year of Birth**

1946

**Educational Background**

B.A. from Bowdoin College

J.D. from Indiana University Law School

**Business Background**

Senior Managing Director of WP Global Partners LLC (“WP Global”) (2013-Present); Senior Managing Director of WP Global Partners Inc. (2005 – Present); Chairman and Co-Chief Executive Officer of WP Global (February 2013-February 2014)

**Item 3. Disciplinary Information**

No information is applicable to this Item.

**Item 4. Other Business Activities**

Mr. Thompson is a member of WP Management Equity LLC (“WP Management”). WP Management is the vehicle through which its members, including Mr. Thompson, own the majority of the voting stock of WP Global. Mr. Thompson is also a member of WPGP Equity Partners LLC, a vehicle through which its members own additional voting stock in WP Global.

Mr. Thompson is also a member of Ranieri Partners LLC, a financial services advisory firm. Several WP Management members, including Mr. Thompson, have ownership and economic interests in Ranieri Partners and several members including Mr. Thompson are members of Ranieri Partners Management LLC.

**Item 5. Additional Compensation**

Mr. Thompson shares economically in the various investment platforms under Ranieri Partners through his interests in the general partners or in the equity of the management company.

**Item 6. Supervision**

Mr. Thompson works collectively as part of a team to set the investment protocol for all clients of WP Global. Mr. Thompson reports to the board of managers of WP Global, as well as the

Executive Committee of WP Global which is comprised of Donald W. Phillips, Gregory S. Oberholtzer and Mr. Thompson; as well as other members of WP Management, who collectively control the majority of the voting stock of WP Global. The Executive Committee and members of WP Management meet regularly with Mr. Thompson through the weekly conference calls, monthly members' meeting, and investment committee meetings; and through other daily meetings based on need. Mr. Phillips can be reached at (312) 277-2020.

In addition, Mr. Thompson is subject to WP Global's code of ethics and the provisions of WP Global's compliance policies and procedures manual. Mr. Rick Myers, WP Global's Chief Compliance Officer, is responsible for administering and monitoring the compliance policies at WP Global. Mr. Myers can be reached at (312) 277-2018.

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**Joseph F. Berry**  
650 Madison Avenue, 20<sup>th</sup> Floor  
New York, NY 10022  
Telephone: (212) 558-2320



155 N. Wacker Dr., Suite 4400  
Chicago, IL 60606  
Telephone: (312) 277-1300  
Facsimile: (312) 277-2011

March 29, 2020

**This brochure supplement provides information about Joseph F. Berry that supplements the WP Global Partners LLC (“WP Global”) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer Rick Myers at (312) 277-2018 or [rlm@wpglobalpartners.com](mailto:rlm@wpglobalpartners.com) if you did not receive WP Global’s brochure or if you have any questions about the contents of this supplement.**

**Item 2. Educational Background and Business Experience**

**Name**

Joseph F. Berry

**Year of Birth**

1970

**Educational Background**

B.A. from Franklin and Marshall College  
M.B.A. from New York University

**Business Background**

Managing Director of WP Global Partners LLC (“WP Global”) (2013-Present); Managing Director of WP Global Partners Inc. (2005 – Present)

**Item 3. Disciplinary Information**

No information is applicable to this Item.

**Item 4. Other Business Activities**

Mr. Berry is a member of WP Management Equity LLC (“WP Management”). WP Management is the vehicle through which its members, including Mr. Berry, own the majority of the voting stock of WP Global.

Mr. Berry is also a member of Ranieri Partners LLC, a financial services advisory firm.

Mr. Berry is also a member of Canaan Natural Gas Associates, LLC; Canaan Natural Gas Associates X, LLC; and Canaan Resource Associates XI, LLC; the general partners to upstream energy private partnerships.

Mr. Berry’s involvement with the foregoing entities may create a potential conflict of interest regarding his obligations to WP Global’s clients. Specifically, Mr. Berry’s roles with these entities may limit the amount of time he spends providing advice to WP Global’s clients. To address this conflict of interest, Mr. Berry spends time each day on both WP Global portfolios and interacting with the WP Global investment team. Additionally, Donald W. Phillips, the Chief Executive Officer of WP Global, monitors the time Mr. Berry devotes to WP Global to assure that adequate time is devoted by Mr. Berry to WP Global’s clients.

**Item 5. Additional Compensation**

Mr. Berry shares economically in the various investment platforms under Ranieri Partners through his interests in the general partners or in the equity of the management company.

**Item 6. Supervision**

Mr. Berry works collectively as part of a team to set the investment protocol for all clients of WP Global. Mr. Berry reports to the board of managers of WP Global, as well as the Executive Committee of WP Global which is comprised of Donald W. Phillips, Lloyd B. Thompson and Gregory S. Oberholtzer; as well as other members of WP Management, who collectively control the majority of the voting stock of WP Global. The Executive Committee and members of WP Management meet regularly with Mr. Berry through the weekly conference calls, monthly members' meeting, and investment committee meetings; and through other daily meetings based on need. Mr. Phillips can be reached at (312) 277-2020.

In addition, Mr. Berry is subject to WP Global's code of ethics and the provisions of WP Global's compliance policies and procedures manual. Mr. Rick Myers, WP Global's Chief Compliance Officer, is responsible for administering and monitoring the compliance policies at WP Global. Mr. Myers can be reached at (312) 277-2018.