

This brochure supplement, dated March 30, 2020, provides information about Ronald T. Bates that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Bates is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Ronald T. Bates

## Form ADV Brochure Supplement

**Ronald (Ron) T. Bates** is a Managing Director and Portfolio Manager with 1919ic. Ron entered the Financial Services Industry in 1984. He is the primary portfolio manager for the 1919 Socially Responsive Balanced Fund. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 1997, Ron was Vice President and Director of Portfolio Management with Fifth Third Trust and Investment Advisors. Ron was born in 1962 and earned his Bachelor of Arts in economics as well as a Bachelor of Arts in political science from Hope College.

### DISCIPLINARY INFORMATION

Ron has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919 Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410- 454-5438.

This brochure supplement, dated March 30, 2020, provides information about Paul J. Benziger that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Benziger is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Paul J. Benziger, CFA

## Form ADV Brochure Supplement

**Paul J. Benziger** is a Managing Director and Portfolio Manager with 1919ic. Paul entered the Financial Services Industry in 1981. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 1983, Paul worked for Brown Brothers Harriman, where his responsibilities ranged from equity research and corporate finance to money market trading. Paul was born in 1959 and graduated cum laude from Middlebury College in 1981 with a B.A. in economics. He is a member of the New York Society of Securities Analysts and earned his Chartered Financial Analyst (“CFA”) designation in 1989.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Paul has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

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Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410- 454-5438.

This brochure supplement, dated March 30, 2020, provides information about Alison Bevilacqua that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

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## **Alison Bevilacqua**

## **Form ADV Brochure Supplement**

**Alison Bevilacqua** is a Principal and Head of Social Research with 1919ic. Alison entered the Financial Services Industry in 1996. Her contact information is listed above.

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Prior to joining 1919ic in 1996, Alison served on the staff of a multi-disciplinary university-based group pursuing development of an undergraduate business school curriculum that embraced sustainability. Alison was born in 1968 and earned her Bachelor of Arts in economics from the University of Arizona. She is also a graduate of the graduate studies program in Economics at Miami University of Ohio.

### **DISCIPLINARY INFORMATION**

Alison has no reportable legal or disciplinary events.

### **OTHER BUSINESS ACTIVITIES**

Not Applicable.

### **ADDITIONAL COMPENSATION**

Not Applicable.

### **SUPERVISION**

Ron Bates, a 1919ic Managing Director and Portfolio Manager, supervises Ms. Bevilacqua and regularly reviews her investment recommendations. Mr. Bates can be reached at 513-562-8522. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Elana Brutman that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Brutman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Elana Brutman

## Form ADV Brochure Supplement

**Elana Brutman** is a Client Advisor with 1919ic. Elana entered the Financial Services Industry in 2005. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2018, Elana was a Relationship Manager at Rand Wealth, LLC from 2012. Prior to that Elana was a Registered Associate at Raymond James & Associates, Inc. Elana was born in 1982 and earned her B.S. degree in Finance from DePaul University.

### DISCIPLINARY INFORMATION

Elana has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Andrew Rand, a 1919ic Managing Director and Portfolio Manager, supervises Ms. Brutman by holding regular meetings with her to review and discuss 1919ic client relationships she oversees or assists with. Mr. Rand's telephone number is 415-500-6702. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Mel Casey that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## Mel Casey, CFA, CAIA

## Form ADV Brochure Supplement

**Mel Casey** is a Vice President and Portfolio Manager with 1919ic. Mel entered the Financial Services Industry in 2002. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2016, Mel was a Vice President, Equity Sales and Trading at Compass Point Research. Mel was born in 1980 and earned his Bachelor of Commerce in Marketing from University College Dublin. He earned his Chartered Financial Analyst (“CFA”) designation in 2018 and his Chartered Alternative Investment Analyst (“CAIA”) designation in 2016.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

The CAIA Program is administered by the Chartered Alternative Investment Analyst Association and requires a comprehensive understanding of core and advanced concepts regarding alternative investments, structures, and ethical obligations. To qualify for the CAIA designation, candidates must complete a self-directed, comprehensive course of study on risk-return attributes of institutional quality alternative assets; pass both the Level I and Level II CAIA examinations; attest annually to the terms of a CAIA Member Agreement; and hold a US bachelor’s degree (or equivalent) plus have at least one year of professional experience or have four years of professional experience within the regulatory, banking, financial or related fields.

### DISCIPLINARY INFORMATION

Mel has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

## **Mel Casey (continued)**

### **SUPERVISION**

Meredith Mowen, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Casey and regularly reviews his investment recommendations. Ms. Mowen can be reached at 410-454-5719. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Michelle Chan that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

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## Michelle Chan

## Form ADV Brochure Supplement

**Michelle Chan** is an Investment Associate with 1919ic. Michelle entered the Financial Services Industry in 2003. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2010, Michelle was an Equity Research Associate at First Republic Investment Management. Michelle was born in 1981 and earned her Bachelor of Science in Business Administration from Binghamton University

### DISCIPLINARY INFORMATION

Michelle has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Paul Benziger, a 1919ic Managing Director and Portfolio Manager, supervises Ms. Chan and regularly reviews her investment recommendations. Mr. Benziger can be reached at 212-554-7101. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at weekly investment meetings. The IPC also reviews the investment universe, composite performance and trading relationships. The firm maintains various systems and procedures to monitor investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Michael O. Clark that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Clark is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Michael O. Clark

## Form ADV Brochure Supplement

**Michael O. Clark** is a Senior Advisor with 1919ic. Michael entered the Financial Services Industry in 1978. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 1995, he was a Managing Director for PNC Financial Corporation and was Chief Investment Officer for Del-Vest, Inc., an investment advisory firm. He was born in 1943 and is a graduate of Defiance College. Michael attended graduate studies programs in international relations and economics at the College of William and Mary and the University of Delaware.

### DISCIPLINARY INFORMATION

Michael has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

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Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about William J. Constantine that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Constantine is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## William J. Constantine

## Form ADV Brochure Supplement

**William (Bill) J. Constantine** is a Senior Adviser with 1919ic. Bill entered the Financial Services Industry in 1972. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 1978, he spent six years as a Portfolio Manager for personal and trust accounts with Fiduciary Trust Company of New York. Bill was born in 1944 and graduated from the University of Pennsylvania. He served four years as a Lieutenant in the Supply Corps of the U.S. Navy and earned his M.B.A. from the Wharton School of Finance & Commerce.

### DISCIPLINARY INFORMATION

Bill has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

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Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Erika De La Rosa Hennessey that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (888) 770-5642 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. De La Rosa Hennessey is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Erika De La Rosa Hennessey

## Form ADV Brochure Supplement

Erika De La Rosa Hennessey is a Vice President and Client Advisor with 1919ic. Erika entered the Financial Services Industry in 2014. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2018, Erika was a Portfolio Associate at Rand Wealth, LLC from 2014. Prior to that Erika was a Law Clerk at White & Case, LLP from 2010-2012. Erika was born in 1970 and earned a Master of Arts in International Business Law and Economic Development from The Fletcher School of Law and Diplomacy at Tufts University, where she was a Fulbright Scholar, and a Ph.D. in Legal and Economic Latin American Studies from Boston University. She holds a degree in Law from the University of Coahuila, Mexico.

### DISCIPLINARY INFORMATION

Erika has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Andrew Rand, a 1919ic Managing Director and Portfolio Manager, supervises Ms. De La Rosa Hennessey by holding regular meetings with her to review and discuss 1919ic client relationships she oversees or assists with. Mr. Rand’s telephone number is 415-500-6702. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Aimee M. Eudy that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Eudy is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Aimee M. Eudy

## Form ADV Brochure Supplement

**Aimee M. Eudy** is a Principal, Portfolio Manager and Credit Analyst with 1919ic. Aimee entered the Financial Services Industry in 1989. She also co-manages the 1919 Socially Responsive Balanced Fund. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Aimee joined a 1919ic predecessor firm in 2003 and became a portfolio manager in May 2012. Since 2003, she has been a Credit Analyst responsible for corporate credit analysis in the taxable fixed income market for institutional separate account portfolios. Aimee was born in 1965 and earned a B.A. in Economics from the University of Maryland. She also earned an M.B.A. from Loyola College.

### DISCIPLINARY INFORMATION

Aimee has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Scott Pierce, a 1919ic Managing Director and Portfolio Manager, supervises Aimee by reviewing her performance in terms of meeting client objectives as well as investment performance. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Jennifer Evans that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## **Jennifer Evans**

## **Form ADV Brochure Supplement**

**Jennifer Evans** is a Principal and Client Advisor with 1919ic. Jennifer has more than 13 years of financial services experience. Her contact information is listed above.

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Prior to joining 1919ic in 2015, Jennifer was a Trust Officer and Vice President with The Trust Company of Sterne Agee, Inc. since 2009. Jennifer was born in 1970 and earned her Bachelor of Arts in English from the University of the South (Sewanee).

### **DISCIPLINARY INFORMATION**

Jennifer has no reportable legal or disciplinary events.

### **OTHER BUSINESS ACTIVITIES**

Not Applicable.

### **ADDITIONAL COMPENSATION**

Not Applicable.

### **SUPERVISION**

Hanson Slaughter, a 1919ic Managing Director and Senior Client Advisor, supervises Ms. Evans by holding regular meetings with her to review and discuss 1919ic client relationships Ms. Evans oversees, including portfolio positioning and performance. Mr. Slaughter’s telephone number is 205-949-3534.

This brochure supplement, dated March 30, 2020, provides information about Gary L. Getman that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (888) 770-5642 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Getman is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Gary L. Getman, CFP®

## Form ADV Brochure Supplement

**Gary L. Getman** is a Principal and Portfolio Manager with 1919ic. Gary entered the Financial Services Industry in 1992. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2018, Gary was a Portfolio Manager at Rand Wealth, LLC. Gary was born in 1959 and attended the New Mexico Institute of Mining and Technology. He has earned the Certified Planner (“CFP”) designation.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board’s code of ethics and professional responsibility and financial planning standards.

### DISCIPLINARY INFORMATION

Gary has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Andrew Rand, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Getman by holding regular meetings with him to review and discuss client accounts for which Mr. Getman performs investment advisory services. Mr. Rand’s telephone number is 415-500-6702. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity. Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Ann Haas that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Haas is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Ann Haas

## Form ADV Brochure Supplement

**Ann Haas** is a Managing Director and Senior Client Advisor with 1919ic. Ann entered the Financial Services Industry in 1980. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2015, Ann was a Managing Director with The Trust Company of Sterne Agee, Inc., which she joined in 2011. Prior to that, Ann was a Financial Planner with Arlington Partners, LLC. Ann was born in 1958 and earned her Bachelor of Science in Accounting from the University of Alabama and her M.B.A from Harvard University.

### DISCIPLINARY INFORMATION

Ann has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Hanson Slaughter, a 1919ic Managing Director and Senior Client Advisor, supervises Ms. Haas by holding regular meetings with her to review and discuss 1919ic client relationships Ms. Haas oversees, including portfolio positioning and performance. Mr. Slaughter's telephone number is 205-949-3534.

This brochure supplement, dated March 30, 2020, provides information about Lu Han that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## Lu Han, CFA, CFP®

## Form ADV Brochure Supplement

**Lu Han** is a Principal, Financial Planner and Senior Client Advisor with 1919ic. Lu entered the Financial Services Industry in 2008. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2013, Lu was a Financial Planning Specialist with UBS Financial Services, Inc. Lu was born in 1986 and earned her Bachelor of Arts degree in English and Psychology from Barnard College. She earned her Chartered Financial Analyst (“CFA”) designation in 2017 and her Certified Financial Planner (“CFP”) designation in 2014.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring about 250 hours of study. Also, candidates must have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board’s code of ethics and professional responsibility and financial planning standards.

### DISCIPLINARY INFORMATION

Lu has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Paul Benziger, a 1919ic Managing Director and Portfolio Manager, supervises Ms. Han by holding regular meetings with her to review and discuss 1919ic client relationships she oversees or assists with. Also, Mr. Benziger from time to time accompanies Ms. Han at client and prospect meetings. Mr. Benziger’s telephone number is 212-554-7101.



This brochure supplement, dated March 30, 2020, provides information about Robert Huesman that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Huesman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Robert Huesman, CFA, CFP®

## Form ADV Brochure Supplement

**Robert Huesman** is a Vice President and Portfolio Manager with 1919ic. Robert entered the Financial Services Industry in 2007. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Robert joined the firm in 2007 as an Investment Associate. Robert was born in 1987 and earned his B.S. degree in Business Administration from the College of Mount Saint Joseph and his M.B.A from Xavier University. He earned his Chartered Financial Analyst ("CFA") designation in 2015 and his Certified Financial Planner ("CFP") designation in 2013.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring about 250 hours of study. Also, candidates must have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board's code of ethics and professional responsibility and financial planning standards.

### DISCIPLINARY INFORMATION

Robert has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Ron Bates, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Huesman and regularly reviews his investment recommendations. Mr. Bates can be reached at 513-562-8522. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity. Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Julie G. Jackson that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Jackson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Julie G. Jackson

## Form ADV Brochure Supplement

**Julie G. Jackson** is a Managing Director and Portfolio Manager with 1919ic. Julie entered the Financial Services Industry in 1981. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2009, Julie was a Senior Vice President and Senior Portfolio Manager at U.S. Trust Company. She started her career in the financial business in 1981 as a government bond broker for RMJ Securities, a division of Security Pacific Bank. Julie was born in 1959 and holds a B.A. in Economics from the University of Delaware and an M.A. in Economics from New York University.

### DISCIPLINARY INFORMATION

Julie has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Yvonne Kierlanczyk that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## Yvonne Kierlanczyk

## Form ADV Brochure Supplement

**Yvonne Kierlanczyk** is Vice President and Investment Associate with 1919ic. Yvonne entered the Financial Services Industry in 1990. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2003, Yvonne was an Alternative Investments Sales Associate at J.P Morgan Private Bank. Prior to that, Yvonne was a M&A Associate at Knox Partners, a subsidiary of La Compagnie Financiere Edmond De Rothschild Banque. Yvonne was born in 1956, and received an M.S. in Economics from the Warsaw School of Economics in Warsaw, Poland. Yvonne earned her M.B.A in Finance and Marketing from the Graduate School of Business at Columbia University.

### DISCIPLINARY INFORMATION

Yvonne has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Paul Benziger, a 1919ic Managing Director and Portfolio Manager, supervises Ms. Kierlanczyk and regularly reviews her investment recommendations. Mr. Benziger can be reached at 212-554-7101. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at weekly investment meetings. The IPC also reviews the investment universe, composite performance and trading relationships. The firm maintains various systems and procedures to monitor investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the Investment Policy Committee; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Charles C. King that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. King is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Charles C. King, CFA

## Form ADV Brochure Supplement

**Charles (Charlie) C. King** is a Managing Director, Portfolio Manager and Chief Investment Officer with 1919ic. Charlie entered the Financial Services Industry in 1984. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel in 1998, Charlie was a Senior Portfolio Manager with CoreStates Family Wealth Group in Philadelphia. Charlie was born in 1962 and received his B.A. in Biology and Philosophy from Wittenberg University in 1984. He also earned his M.B.A. from Villanova University in 1995 and his Chartered Financial Analyst ("CFA") designation in 1993.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Charlie has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Miller Kreider that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Kreider is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Miller Kreider, CFA

## Form ADV Brochure Supplement

**Miller Kreider** is a Principal and Portfolio Manager with 1919ic. Miller entered the Financial Services Industry in 2008. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2015, Miller was a Vice President of Institutional Equity Sales with Sterne Agee from 2010. Prior to that Miller was an analyst and regional sales manager with FireRock Products. Miller was born in 1985 and earned his Bachelor of Science degrees in Finance and Economics from the Goizueta Business School at Emory University. He earned his Chartered Financial Analyst ("CFA") designation in 2015.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Miller has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Hanson Slaughter, a 1919ic Managing Director and Senior Client Advisor, supervises Mr. Kreider by holding regular meetings with him to review and discuss client accounts for which Mr. Kreider performs investment advisory services. Mr. Slaughter's telephone number is 205-949-3534. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Lauren Krieger that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Krieger is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Lauren Krieger, CFA

## Form ADV Brochure Supplement

**Lauren Krieger** is a Vice President and Portfolio Manager with 1919ic. Lauren entered the Financial Services Industry in 2008. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2012, Lauren was a Senior Associate in KPMG’s Structured Finance Group. Lauren was born in 1986 and earned her Bachelor of Science in Finance from the University of Maryland Robert H. Smith School of Business in 2008. She earned her Chartered Financial Analyst (“CFA”) designation in 2014.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Lauren has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Scott Pierce, a 1919ic Managing Director and Portfolio Manager, supervises Lauren and regularly reviews her investment recommendations. Mr. Pierce can be reached at 410-454-5062. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Thomas Krygowski that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

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## Thomas Krygowski, PhD, CFA

## Form ADV Brochure Supplement

**Thomas (Tom) Krygowski** is a Managing Director, Equity Research Analyst and Portfolio Manager with 1919ic. Tom entered the Financial Services Industry in 2000. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2006, Tom was a Financial Analyst at General American Investors Company, Inc. Tom was born in 1968 and earned his B.S. in Physics and M.S. in Electrical Engineering from Rensselaer Polytechnic Institute. He earned his PhD in Electrical Engineering from the Georgia Institute of Technology. Tom earned his Chartered Financial Analyst ("CFA") designation in 2006.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Tom has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Charles King, 1919ic's Chief Investment Officer and a Managing Director, supervises Tom and regularly reviews his investment decisions. Mr. King can be reached at 215-854-7244. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Lorinda J. Laub that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Laub is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Lorinda J. Laub, CFA

## Form ADV Brochure Supplement

**Lorinda (Lori) J. Laub** is a Managing Director and Portfolio Manager with 1919ic. Lori entered the Financial Services Industry in 1986. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2007, Lori was a Senior Vice President and Senior Portfolio Manager at U.S. Trust, Bank of America Private Wealth Management and was responsible for managing assets for high-net-worth individuals, families and foundations. Previously, Lori was a portfolio manager at Brown Brothers Harriman & Company, where she was a member of their tax-efficient asset management team that managed all of the firm’s fully discretionary US private client money. Lori also spent several years at Donaldson Lufkin & Jenrette in the equity research department. Lori was born in 1964 and received her B.A. from Trinity College and her M.B.A. from the University of North Carolina’s Kenan-Flagler Business School at Chapel Hill. She earned her Chartered Financial Analyst (“CFA”) designation in 1993 and is a member of the NY Society of Security Analysts.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Lori has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Desislava Locher that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Locher is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Desislava Locher, CFA

## Form ADV Brochure Supplement

**Desislava (Dessie) Locher** is a Principal and Portfolio Manager with 1919ic. Dessie entered the Financial Services Industry in 2001. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2011, Dessie was an Associate Analyst at Ashmore EMM LLC. Dessie was born in 1978 and earned her Bachelor of Science in Finance from Slippery Rock University. She earned her Chartered Financial Analyst (“CFA”) designation in 2007.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Dessie has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Meredith Mowen, a 1919ic Managing Director and Portfolio Manager, supervises Ms. Locher and regularly reviews her investment decisions and recommendations. Ms. Mowen can be reached at 410-454-5719. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Michael McAndrew that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## Michael McAndrew, CFA

## Form ADV Brochure Supplement

**Michael (Mike) McAndrew** is an Senior Investment Associate with 1919ic. Mike entered the Financial Services Industry in 2012. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2014, Mike was a Trade Support Analyst at Morgan Stanley. Mike was born in 1988 and earned his Bachelor of Science in Finance & Economics from the University of Delaware. He earned his Chartered Financial Analyst (“CFA”) designation in 2017.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Mike has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Meredith Mowen, a 1919ic Managing Director and Portfolio Manager, supervises Mr. McAndrew and regularly reviews his investment recommendations. Ms. Mowen can be reached at 410-454-5719. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Ian McEneaney that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

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## **Ian McEneaney**

## **Form ADV Brochure Supplement**

**Ian McEneaney** is a Vice President and Client Advisor with 1919ic. Ian entered the Financial Services Industry in 2014. His contact information is listed above.

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Prior to joining 1919ic in 2015, Ian was a Research Associate at Cognolink Ltd. (now Third Bridge), where he worked with private equity firms and credit funds on investment process due diligence. Also, Ian was an intern at 1919ic (then named Legg Mason Investment Counsel) in 2014. Ian was born in 1992 and earned his B.A. degree in Political Science and a double minor in Corporate Strategy and Financial Economics from Vanderbilt University. Ian is a Level II CFA candidate.

### **DISCIPLINARY INFORMATION**

Ian has no reportable legal or disciplinary events.

### **OTHER BUSINESS ACTIVITIES**

Not Applicable.

### **ADDITIONAL COMPENSATION**

Not Applicable.

### **SUPERVISION**

Lu Han, a 1919ic Vice President, Client Advisor and Financial Planner, supervises Mr. McEneaney by holding regular meetings with him to review and discuss 1919ic client relationships he oversees or assists with. Also, Ms. Han from time to time accompanies Mr. McEneaney at client and prospect meetings. Ms. Han's telephone number is 212-554-7111.

This brochure supplement, dated March 30, 2020, provides information about Meredith A. Mowen that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Mowen is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Meredith A. Mowen

## Form ADV Brochure Supplement

**Meredith (Merrie) A. Mowen** is a Managing Director and Portfolio Manager with 1919ic. Merrie entered the Financial Services Industry in 1986. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Legg Mason, a 1919ic predecessor firm, in 2003, Merrie was a Vice President and Portfolio Manager at Mercantile Safe Deposit & Trust Company. Merrie was born in 1959 and received B.S. degrees from Springfield College and Villa Julie College and an M.B.A. from the Johns Hopkins University.

### DISCIPLINARY INFORMATION

Merrie has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about James J. O'Connor that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (888) 770-5642 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. O'Connor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## James J. O'Connor, CFA, CFP®

## Form ADV Brochure Supplement

**James (Jim) O'Connor** is a Vice President and Portfolio Manager with 1919ic. Jim entered the Financial Services Industry in 2000. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2018, Jim was a Portfolio Manager at Rand Wealth, LLC from 2016. Prior to that Jim was a Portfolio Manager and Investment Analyst at Arrowpoint Partners. Jim was born in 1974 and earned his B.A. in Rhetoric from UC Berkeley and M.B.A. from the University of Southern California. He earned his Chartered Financial Analyst ("CFA") designation in 2006 and his Certified Financial Planner ("CFP") designation in 2016.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board's code of ethics and professional responsibility and financial planning standards.

### DISCIPLINARY INFORMATION

Jim has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

## **James J. O'Connor (continued)**

### **SUPERVISION**

Andrew Rand, a 1919ic Managing Director and Portfolio Manager, supervises Mr. O'Connor by holding regular meetings with him to review and discuss client accounts for which Mr. O'Connor performs investment advisory services. Mr. Rand's telephone number is 415-500-6702. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Monika M. Panger that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Panger is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Monika M. Panger, CFA

## Form ADV Brochure Supplement

**Monika M. Panger** is a Managing Director and Portfolio Manager with 1919ic. Monika entered the Financial Services Industry in 1987. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 2002, Monika was a Portfolio Manager at Brown Brothers Harriman. Her previous experience is with The Vanguard Group and SEI Investments. Monika was born in 1960 and received her degree in Hotel and Restaurant Business from Hotelfachschule Villingen-Schwenningen. She earned her Chartered Financial Analyst (“CFA”) designation in 1996.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Monika has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about R. Scott Pierce that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Pierce is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## R. Scott Pierce, CFA

## Form ADV Brochure Supplement

**R. Scott Pierce** is a Managing Director, Portfolio Manager and Head of Fixed Income Management with 1919ic. Scott entered the Financial Services Industry in 1992. He oversees 1919ic’s fixed income group, and is portfolio manager of the 1919 Maryland Tax-Free Income Fund. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Legg Mason, a 1919ic predecessor firm, in 1994, Scott spent two years at T. Rowe Price Associates in a marketing role. Scott was born in 1967 and received his B.A. from Washington & Lee University. He earned his Chartered Financial Analyst (“CFA”) designation in 1999.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Scott has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the Investment Policy Committee; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Andrew J. Rand that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (888) 770-5642 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Rand is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Andrew J. Rand, CFA, CFP®

## Form ADV Brochure Supplement

**Andrew J. Rand** is a Managing Director and Portfolio Manager with 1919ic. Andrew entered the Financial Services Industry in 2000. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2018, Andrew was Managing Member and a Portfolio Manager at Rand Wealth, LLC. Andrew was born in 1963 and earned his B.A. in Accounting and M.B.A. from the University of Washington. He earned his Chartered Financial Analyst ("CFA") designation in 2002 and his Certified Financial Planner ("CFP") designation in 2003.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board's code of ethics and professional responsibility and financial planning standards.

### DISCIPLINARY INFORMATION

Andrew has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.



## **Andrew J. Rand (continued)**

### **SUPERVISION**

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about John V. Reilly that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Reilly is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## John V. Reilly, CFA

## Form ADV Brochure Supplement

**John V. Reilly** is a Principal and Portfolio Manager with 1919ic. John entered the Financial Services Industry in 1998. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 2003, John was an Associate with Deutsche Bank Private Banking. John was born in 1976 and received his B.S. in Finance from Lehigh University. John received his M.B.A. from Villanova University in 2005. He earned his Chartered Financial Analyst (“CFA”) designation in 2011.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

John has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Charles King, 1919ic’s Chief Investment Officer and a Managing Director, supervises Mr. Reilly and regularly reviews his investment decisions. Mr. King can be reached at 215-854-7244. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Lee Robertson that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Robertson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Lee Robertson, CFA

## Form ADV Brochure Supplement

**Lee Robertson** is a Managing Director and Portfolio Manager with 1919ic. Lee entered the Financial Services Industry in 1985. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Scudder Private Investment Counsel (a predecessor firm) in 1990, Lee worked in the banking industry for five years and, before that, worked for a management consulting firm. Lee was born in 1951 and earned his B.A. in economics from Southern Methodist University and M.B.A. in finance from Wharton. He earned his Chartered Financial Analyst (“CFA”) designation in 1999 and is a member of the NY Society of Security Analysts.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Lee has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Matthew P. Robinson that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## Matthew P. Robinson, CTFA®

## Form ADV Brochure Supplement

**Matthew (Matt) Robinson** is a Principal and Senior Client Advisor with 1919ic. Matt entered the Financial Services Industry in 1994. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining Legg Mason Trust, a 1919ic predecessor firm, in 1996, Matt was a Trust Associate at Pennsylvania National Bank. Matt was born in 1972 and earned his B.A. degree in Finance from The Pennsylvania State University. In addition, Matt is a graduate of Cannon Financial Institute’s Trust School and Cannon’s Personal Trust Graduate School. He earned his Certified Trust Financial Advisor designation in 2014.

The CTFA Program is issued by the American Bankers Association (“ABA”). Candidates must have a minimum of ten years experience in wealth management; or five years experience in wealth management and a bachelor’s degree; or a minimum three years experience in wealth management and completion of one of the ABA’s approved wealth management training programs. After earning the designation, the holder must complete 45 credits of continuing education every three years.

### DISCIPLINARY INFORMATION

Matt has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Meredith Mowen, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Robinson and regularly reviews his investment recommendations. Ms. Mowen can be reached at 410-454-5719. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about Adrian Schau that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## **Adrian Schau, CFP®, AIF®**

## **Form ADV Brochure Supplement**

**Adrian Schau** is a Vice President and Client Advisor with 1919ic. Adrian entered the Financial Services Industry in 2006. His contact information is listed above.

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Prior to joining 1919ic in 2019, Adrian was the CIO and Director of Investments at Legacy Financial Advisors from 2010 to 2019. Prior to that Adrian was an Investment Analyst at Brighton Jones from 2006 to 2010. Adrian was born in 1982 and earned his B.S. degree in Finance from Villanova University. He earned his Certified Financial Planner (“CFP”) designation in 2014 and his Accredited Investment Fiduciary (“AIF”) designation in 2015.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board’s code of ethics and professional responsibility and financial planning standards.

The AIF certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 company). The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF Designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits.

### **DISCIPLINARY INFORMATION**

Adrian has no reportable legal or disciplinary events.

### **OTHER BUSINESS ACTIVITIES**

Adrian is an institutional investment consultant to my529, a nonprofit 529 plan established and sponsored by the State of Utah. Adrian has been a consultant to my529 since 2011 and was awarded his role via completion of a RFP and a national search performed by the selection committee at my529. As an investment consultant to my529, Adrian provides guidance to the overall plan assets on a variety of topics including, but not limited to, investment allocation, investment strategies, messaging, and LPOA functionality. Adrian also sits on the Investment Advisory Committee which meets quarterly and is governed by a Board of Outside Independent

### **Adrian Schau (continued)**

Advisors. From time to time 1919ic personnel may recommend my529 to its clients. Adrian receives a flat hourly fee for his services to my529, which alleviates many conflicts. He anticipates providing approximately five hours of service each month to my529. Adrian's activities are routinely reviewed by his supervisor. It could be assumed that due to Adrian's relationship with my529, there could be a conflict of interest if a recommendation is made to his clients to use my529 versus another state sponsored plan.

### **ADDITIONAL COMPENSATION**

Not Applicable.

### **SUPERVISION**

Ron Bates, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Schau by holding regular meetings with him to review and discuss 1919ic client relationships he oversees or assists with. Mr. Bates can be reached at 513-562-8522. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Matthew Scher that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

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## Matthew Scher

## Form ADV Brochure Supplement

**Matthew (Matt) Scher** is a Vice President and Senior Investment Associate with 1919ic. Matt entered the Financial Services Industry in 2012. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Matt joined 1919ic in 2012 as a Portfolio Associate. Matt was born in 1989 and earned his Bachelor of Science in Business Administration from Towson University. Matt is a Level III CFA candidate.

### DISCIPLINARY INFORMATION

Matt has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Paul Benziger, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Scher and regularly reviews his investment recommendations. Mr. Benziger can be reached at 212-554-7101. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at weekly investment meetings. The IPC also reviews the investment universe, composite performance and trading relationships. The firm maintains various systems and procedures to monitor investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.

This brochure supplement, dated March 30, 2020, provides information about B. Hanson Slaughter that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Slaughter is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## B. Hanson Slaughter

## Form ADV Brochure Supplement

**B. Hanson Slaughter** is a Managing Director and Senior Client Advisor with 1919ic. Hanson entered the Financial Services Industry in 1994. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2015, Hanson was Executive Managing Director with The Trust Company of Sterne Agee, Inc., which he joined in 2011. Prior to that, Hanson was a Managing Director with Sterne, Agee & Leach, Inc. Hanson was born in 1972 and earned his Bachelor of Science degree from the University of Virginia and his M.B.A from Duke University.

### DISCIPLINARY INFORMATION

Hanson has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Harry O’Mealia, 1919ic’s President and Chief Executive Officer, supervises Hanson by holding regular meetings with him at which they review 1919ic client relationships Hanson oversees. Also, from time to time Mr. O’Mealia accompanies Hanson at client and prospect meetings. In addition, the 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Mr. O’Mealia is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Ashley Tabb that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Tabb is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Ashley Tabb, CFP®

## Form ADV Brochure Supplement

**Ashley Tabb** is a Principal and Client Advisor with 1919ic. Ashley entered the Financial Services Industry in 1996. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2015, Ashley was a Trust Officer and Private Banker with The Trust Company of Sterne Agee, Inc. from 2011. Prior to that Ashley was a Private Banker with Wachovia Bank, N.A. Ashley was born in 1974 and earned his Bachelor of Arts in History from Davidson College and his M.B.A from Georgia State University. He earned his Certified Financial Planner ("CFP") designation in 2005.

The CFP Program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP certification exam, candidates must also complete qualifying work experience and agree to the CFP Board's code of ethics and professional responsibility and financial planning standards.

### DISCIPLINARY INFORMATION

Ashley has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

Hanson Slaughter, a 1919ic Managing Director and Senior Client Advisor, supervises Mr. Tabb by holding regular meetings with him to review and discuss 1919ic client relationships Mr. Tabb oversees, including portfolio positioning and performance. Mr. Slaughter's telephone number is 205-949-3534.

This brochure supplement, dated March 30, 2020, provides information about Elizabeth B. Walton that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Walton is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Elizabeth B. Walton, CFA

## Form ADV Brochure Supplement

**Elizabeth B. Walton** is a Managing Director and Portfolio Manager with 1919ic. Elizabeth entered the Financial Services Industry in 1979. Her contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Elizabeth joined Scudder Private Investment Counsel (a predecessor firm) in 1979, after pursuing interests in classical radio and fine arts, and continues her involvement with community singing and photography. Elizabeth was born in 1946 and earned her Bachelor of Arts from Vassar College and her M.B.A. from the Anderson School of Management, UCLA. She earned her Chartered Financial Analyst (“CFA”) designation in 1985 and is a member of the NY Society of Security Analysts.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates must pass three exams, each requiring approximately 250 hours of study. In addition, candidates must either have an undergraduate degree and 4 years of professional experience involving investment decision making, or 4 years of qualified work experience (full time, but not necessarily investment related). There are no continuing education/experience requirements.

### DISCIPLINARY INFORMATION

Elizabeth has no reportable legal or disciplinary events.

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee (“IPC”) is responsible for creating and supervising committees to develop and communicate the firm’s guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O’Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Stephen W. Worobel that supplements the 1919 Investment Counsel, LLC (“1919ic”) brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or Compliance@1919ic.com if you did not receive 1919ic’s brochure or if you have any questions about the contents of this supplement.

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## **Stephen W. Worobel**

## **Form ADV Brochure Supplement**

**Stephen (Steve) W. Worobel** is a Managing Director and Portfolio Manager with 1919ic. Steve entered the Financial Services Industry in 1993. His contact information is listed above.

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Prior to joining 1919ic in 2010, Steve was an equity analyst at Legg Mason, Inc. affiliates Barrett Associates, Inc. and Gray, Seifert & Co. Inc. Steve was born in 1969 and received his B.A. in Economics and Political Science from the University of Delaware and obtained a M.A. in Financial Economics from Fairleigh Dickinson University. He is also a member of the New York Society of Security Analysts.

### **DISCIPLINARY INFORMATION**

Steve has no reportable legal or disciplinary events.

### **OTHER BUSINESS ACTIVITIES**

Not Applicable.

### **ADDITIONAL COMPENSATION**

Not Applicable.

### **SUPERVISION**

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This brochure supplement, dated March 30, 2020, provides information about Thomas Vandeventer that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (844) 200-1919 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

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## Thomas Vandeventer

## Form ADV Brochure Supplement

**Thomas Vandeventer** is a Managing Director and Portfolio Manager with 1919ic. Thomas entered the Financial Services Industry in 1983. His contact information is listed above.

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Prior to joining 1919ic in 2019, Thomas was a Portfolio Manager at Tocqueville Asset Management L.P. Prior to that, he was a Senior Managing Director and Head of the Institutional Large Cap Growth Group at Clearbridge Advisors (Legg Mason) and Citigroup Global Asset Management. Thomas was born in 1956 and earned his B.A. from the University of Virginia and his M.B.A from Columbia University.

### DISCIPLINARY INFORMATION

Thomas has no reportable legal or disciplinary events

### OTHER BUSINESS ACTIVITIES

Not Applicable.

### ADDITIONAL COMPENSATION

Not Applicable.

### SUPERVISION

The 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.



This brochure supplement, dated March 30, 2020, provides information about Lawrence V. Zartarian that supplements the 1919 Investment Counsel, LLC ("1919ic") brochure. You should have received a copy of that brochure. Please contact 1919ic Compliance at (410) 454-2171/ (888) 770-5642 or [Compliance@1919ic.com](mailto:Compliance@1919ic.com) if you did not receive 1919ic's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Zartarian is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Lawrence V. Zartarian**

## **Form ADV Brochure Supplement**

**Lawrence (Larry) V. Zartarian** is a Principal and Portfolio Manager with 1919ic. Larry entered the Financial Services Industry in 1990. His contact information is listed above.

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Prior to joining 1919ic in 2018, Larry was a Portfolio Manager at Rand Wealth, LLC. Larry was born in 1958 and earned his B.A. in Philosophy from San Francisco State University.

### **DISCIPLINARY INFORMATION**

Larry has no reportable legal or disciplinary events.

### **OTHER BUSINESS ACTIVITIES**

Not Applicable.

### **ADDITIONAL COMPENSATION**

Not Applicable.

### **SUPERVISION**

Andrew Rand, a 1919ic Managing Director and Portfolio Manager, supervises Mr. Zartarian by holding regular meetings with him to review and discuss client accounts for which Mr. Zartarian performs investment advisory services. Mr. Rand's telephone number is 415-500-6702. In addition, the 1919ic Investment Policy Committee ("IPC") is responsible for creating and supervising committees to develop and communicate the firm's guidelines and views. These committees meet regularly and communicate with the portfolio managers at investment meetings. The IPC also reviews the investment universe, composite performance, trading relationships, and investment-related policies and procedures. The firm maintains various systems and procedures to assist with its monitoring of investment performance and trading activity.

Harry O'Mealia, CEO, is the Chairman of the IPC; his telephone number is 410-454-5438.