

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
March 2020**



**F I N A N C I A L
C O R N E R S T O N E S**

**1095 Evergreen Circle, Suite 200
The Woodlands, TX 77380
www.investcornerstones.com**

Mark Lasseigne

This brochure supplement provides information about Mr. Lasseigne that supplements our brochure. You should have received a copy of that brochure. Please contact Mark Lasseigne if you did not receive Financial Cornerstones, LLC's brochure or if you have any questions about the contents of this supplement. We may be contacted at 832-400-2400. Additional information about Mr. Lasseigne is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #5316062.

Item 2: Educational Background & Business Experience

Mark Lasseigne

Year of Birth: 1963

Educational Background:

- 1987: University of Louisiana at Lafayette; Bachelors of General Studies

Business Background:

- 10/2019 – Present Financial Cornerstones, LLC; Managing Member & Chief Compliance Officer
- 09/2010 – 10/2019 Raymond James Financial Services, Inc.; Financial Advisor
- 06/2009 – 09/2010 Morgan Stanley Smith Barney; Financial Advisor
- 06/2007 – 06/2009 CitiGroup Global Markets Inc.; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 2018: Chartered Market Technician (CMT®)
- 2017: Series 9 & Series 10 Exams
- 2015: CERTIFIED FINANCIAL PLANNER™, CFP®
- 2014: Chartered Kingdom Advisor (CKA®)
- 2007: Series 7 & Series 66 Exams

Chartered Market Technician (CMT®)

The CMT® Program requires candidates to demonstrate proficiency in a broad range of topics in the field of Technical Analysis. The Program consists of three levels. CMT® Level 1 and CMT® Level 2 are multiple choice exams while CMT® Level 3 is in short answer form. The CMT® designation is granted by the Market Technicians Association (“MTA”).

The objectives of the CMT® Program are:

- To professionalize the field of Technical Analysis.
- To promote high ethical and professional standards.
- To guide candidates in mastering a professional body of knowledge.

In order to be granted the CMT® designation, all candidates must meet the following requirements:

- Successful completion of all 3 levels of the CMT® Exam.
- Obtained 'Member Status' in the MTA.
- Have been gainfully employed in a professional analytical or investment management capacity for a minimum period of 3 years and must be regularly engaged in this capacity at the time of successfully passing all 3 levels of the CMT® Exam.

The CMT® examinations test the critical knowledge and tasks needed to perform the duties as a technical analyst. The three-part examination consists of:

- CMT® Level 1 (Definition). The Level 1 examination measures basic, entry-level competence and understanding of the candidate. The CMT® Level 1 candidate needs to have a working knowledge of the basic tools of the technical analyst.

- CMT® Level 2 (Definition/Application). The CMT® Level 2 examination requires the candidate to demonstrate a greater depth of analysis and competency. The CMT® Level 2 candidate is expected to demonstrate proficiency in applying more advanced analytical techniques. Overall, the candidate is responsible for the theory and application of concepts and techniques.
- CMT® Level 3 (Integration). The CMT® Level 3 examination tests the candidate on the development of logical and consistent research opinions, portfolio strategies and trading decisions based on a wide range of charts and technical data. The CMT® 3 candidate must pass the ethics portion of this exam or risk failure.

To maintain their status as a CMT® charter-holder, an individual must be a member of the MTA in good standing and abide by the MTA Code of Ethics.

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP® Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Chartered Kingdom Advisor (CKA®)

The central mission of Kingdom Advisors ("KA") is to benefit the public by granting the Certified Kingdom Advisor® designation and upholding it as the standard of excellence for biblically wise financial advice. To earn the CKA® designation, candidates must: 1) Already hold an industry approved designation such as a CFP®, ChFC®, CPA, etc. or hold 10 years of experience in the disciplines of insurance, investments, accounting, law, and/or financial planning. 2) Complete the University-based CKA® Educational Program and pass a national, proctored exam. 3) A pastoral reference and two client references from non-family members who have known the candidate for at least two years as well as have served as the client of the candidates within the past two years. 4) Complete 10 hours of Kingdom Advisor continuing Education prior to CKA® renewal date each year. To learn more about the CKA® designation, visit www.kingdomadvisors.com.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Lasseigne.

Item 4: Other Business Activities

Mr. Lasseigne does not have any outside business activities to report.

Item 5: Additional Compensation

Mr. Lasseigne does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Lasseigne is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. Mr. Lasseigne may be contacted at 832-400-2400.

Item 7: Requirements for State-Registered Advisers

Mr. Lasseigne has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.