

WELLINGTON ALTERNATIVE INVESTMENTS LLC

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PART 2A OF FORM ADV: FIRM BROCHURE 30 March 2020

This brochure provides information about the business practices of Wellington Alternative Investments LLC (WAI). If you have any questions about the contents of this brochure, please contact WAI at alternatives@wellington.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any state securities authority.

Additional information about WAI also is available on the SEC's website at www.adviserinfo.sec.gov. WAI is a registered investment adviser under the Investment Advisers Act of 1940; however, this registration does not imply a certain level of skill or training.

Item 2 – Summary of Material Changes

We review our policies and procedures on a regular basis to evaluate their continuing effectiveness. As a result of that process or due to changes in our firm and business, we may amend our policies and procedures and/or this document from time to time. We will describe material changes in this space.

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Item 4 – Advisory Business

Wellington Alternative Investments LLC (WAI) is an indirect subsidiary of Wellington Management Group LLP (WMG), which is the parent holding company of the Wellington Management group of companies (collectively, Wellington Management). WAI is a Delaware limited liability company that acts as the general partner or manager of several privately-offered pooled investment vehicles exempt from registration under the Investment Company Act of 1940 (collectively, the Funds). The Funds pursue a range of investment strategies, including equity long / short, market neutral, quantitative equity, macro, relative value, multi-strategy, credit long / short and private equity. WAI is registered as a commodity pool operator (CPO) with the Commodity Futures Trading Commission (CFTC).

WAI provides discretionary investment management services directly to the Funds employing a multi-strategy investment approach (the Multi-Strategy Funds). The Multi-Strategy Funds invest in other Funds and accounts managed by Wellington Management affiliates. WAI manages each Multi-Strategy Fund in accordance with the investment strategies and restrictions set forth in its limited partnership agreement or management agreement, as applicable.

WAI also provides discretionary investment management services and non-discretionary portfolio advisory services to other clients (Accounts) who seek to pursue an investment strategy similar to one or more of the Multi-Strategy Funds. Accounts are often customized to meet a client's specific requirements. For example, WAI may recommend or select different allocations for an Account than those of a similar Multi-Strategy Fund based on that client's requirements or guidelines. Initial account requirements or guidelines are generally established by agreement between WAI or an affiliate and the Account client and are typically stated in the portfolio advisory or management agreement. From time to time, WAI or its affiliate negotiates changes to these agreements with an Account client.

WAI is the general partner or manager to the other Funds (the Single Strategy Funds). In that capacity it sets the investment objective, guidelines and restrictions for the Single Strategy Funds. WAI has appointed its affiliate, Wellington Management Company LLP (WMC), a Delaware limited liability partnership and indirect subsidiary of WMG, as investment manager to provide discretionary investment management and related services to the Single Strategy Funds. WMC is registered as an investment adviser with the Securities and Exchange Commission (SEC) and as a commodity trading advisor with the CFTC.

WAI may appoint other Wellington Management affiliates as investment manager to one or more Funds in the future, including Wellington Management International Ltd, a limited company registered in England and Wales and an indirect subsidiary of WMG, Wellington Management Hong Kong Limited, a private limited company organized under the laws of the Hong Kong Special Administrative Region of the People's Republic of China and an indirect subsidiary of WMG, Wellington Management Japan Pte Ltd, a limited liability company organized under Singapore law, and Wellington Management Singapore Pte Ltd, a limited liability company organized under Singapore law. WMC delegates certain discretionary investment management and related services to these affiliates in accordance with the terms of the relevant investment management or other agreement. Details on these affiliates have been provided in the Appendix.

As of 31 December 2019, WAI had approximately \$17.1 billion in assets under management on a discretionary basis.

Discussions of the Funds in this brochure, including but not limited to their investments, the strategies used in managing the Funds, the fees and other costs associated with an investment in the Funds, and conflicts of interest faced by WAI and its affiliates in connection with management of the Funds and Accounts, are summaries intended only to provide required information on our business and practices to our advisory clients. These discussions are not intended for use in evaluating an investment in a Fund. Investors and prospective investors in a Fund should read the Fund's confidential offering memorandum and governing documents ("offering documents") for complete information on these matters.

Item 5 – Fees and Compensation

WAI receives asset-based fees from the Multi-Strategy Funds. It also receives asset-based fees from some Funds with regard to illiquid securities held by those Funds in side pocket accounts. WMC receives an asset-based fee from each Single Strategy Fund.

WAI receives performance-based compensation from the Funds.

WAI also receives asset-based fees and performance-based compensation from Account clients. WAI negotiates fees and compensation in light of a client's special circumstances, asset levels, service requirements or other factors. Asset-based fees for Account clients are typically calculated in arrears based on the portfolio value. Account clients generally do not pay asset-based fees to underlying Funds and accounts in which they maintain an investment. These clients can choose to receive an invoice generated by WAI or they can calculate and remit fee payments directly to the firm.

See Item 6 for a description of the performance-based compensation applicable to both Funds and Account clients.

Each Fund and account also bears the fees and expenses charged by its custodian and other service providers, brokerage costs related to investments, and other expenses incurred in operating the Fund or account. For more information, please see Item 12 – Brokerage Practices.

In some circumstances, a WMG client may purchase interests in a Fund for which fees are waived, reduced or otherwise not charged because that client's account is charged fees outside the Fund based on the aggregate assets and/or performance of that account, including its investment in the Fund. In other cases, WMG affiliates may receive fees from both a client's accounts outside the Fund and that client's interest in the Fund. WMG affiliates may agree to calculate fees paid directly by a client outside the Fund based on the aggregate assets managed on that client's behalf, including assets attributable to its investment in the Fund, or some other aggregate metric.

Fees and expenses are charged to and deducted from the Funds in arrears. Additional information on each Fund's fees and expenses is provided in the offering documents.

Item 6 – Performance-Based Fees and Side-By-Side Management

WAI receives performance-based compensation from most of the Funds and Accounts. The performance compensation typically is a percentage of the total net increase in the value of the Fund or Account, including both realized and unrealized profits, subject to recoupment of previous losses. Some Funds or Accounts calculate the performance allocation based on the excess return above a specified benchmark. Other Funds calculate the performance allocation based on realized net profits only. If earned, the performance compensation typically is paid annually (or on another agreed-upon performance period) in arrears or, with respect to the Funds, upon a withdrawal or redemption.

WAI has an incentive to favor Funds and Accounts that pay it performance-based compensation over Funds and other clients that either do not pay performance-based compensation or that pay performance-based compensation at lesser rates. For all Multi-Strategy Funds and for Account clients, WAI also has an incentive to invest in (or recommend) riskier or more speculative underlying Funds and accounts. WAI manages these conflicts through internal review processes that are designed to provide for allocations to other Funds and accounts managed by Wellington Management affiliates based on the investment strategy and objective of the Multi-Strategy Funds and Accounts, and not on the fee structures.

If a Single Strategy Fund holds an investment with respect to which market quotations and/or other independent pricing information are not readily available, WMC recommends a valuation to the Funds based on fair valuation methodologies. WAI faces a conflict of interest in accepting these valuations for the Funds because these valuations affect WAI's compensation. WAI manages this conflict through internal review and oversight processes and policy.

Multiple Funds and accounts may incur expenses related to their participation in a particular investment or otherwise become subject to costs in connection with their operation that benefit or are allocable to more than one Fund or account. WAI seeks to allocate those common expenses among the applicable Funds and accounts in a manner that is fair and reasonable over time. Allocation methodologies may differ by expense type, including pro rata based on net asset value, equal allocation across all Funds and accounts, or other methodologies that are appropriate for the expense type as determined by WAI in its reasonable discretion. These expense allocation decisions involve potential conflicts of interest (e.g., WAI has an interest in favoring an account that pays it an incentive fee versus one that does not). Expense allocations will often depend on inherently subjective determinations, and the relative expense amounts allocated in connection with a particular product or service will not be exactly proportional to the relative benefits derived in any particular instance. WAI manages this conflict through internal review and oversight processes and policy.

Item 7 – Types of Clients

WAI provides discretionary advisory services only to investment vehicles that are private investment funds exempt from registration under the Investment Company Act of 1940 and discretionary Accounts. WAI also provides non-discretionary, portfolio advisory services to public funds and other large institutions.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

WAI manages the Multi-Strategy Funds using a multi-strategy allocation approach involving both strategic and active asset allocation decisions. Some Multi-Strategy Funds include diversified exposure to a range of asset classes and strategies, while others are concentrated on specific asset classes or strategies. WAI implements its investment approach by allocating the assets of each Multi-Strategy Fund to various Funds and accounts managed by Wellington Management affiliates.

WAI also provides discretionary investment management services and non-discretionary investment advice to Accounts that seek to pursue an investment strategy similar to one or more of the Multi-Strategy Funds. Services to discretionary Accounts generally consist of allocating assets among various Funds and accounts managed by Wellington Management affiliates to seek to achieve an Account's investment objective. Non-discretionary investment advice to portfolio advisory Accounts generally consists of recommendations on the allocation of assets among various Funds and accounts managed by Wellington Management affiliates to seek to achieve an Account's investment objective. Underlying Funds and accounts selected or recommended by WAI for a particular Account may

provide exposure to a range of asset classes and strategies or may be concentrated on specific asset classes or strategies.

Investing in securities and other financial instruments involves risk of loss that clients should be prepared to bear. The Multi-Strategy Funds and Accounts are subject to all of the risks associated with each of the underlying Funds and accounts in which they invest, as well as the risk that the asset classes or strategies do not perform as expected. Additional information on each Fund's risks, and the types of investments it may hold, is provided in the offering documents.

Item 9 – Disciplinary Information

There are no legal or disciplinary events that are material to WAI's clients' or prospective clients' evaluation of WAI's advisory business.

Item 10 – Other Financial Industry Activities and Affiliations

The following is a list of WAI's investment advisory affiliates:

- o Wellington Management Company LLP (WMC), manages Wellington Management's business in the United States and Latin America and coordinates global operations.
- o Wellington Management Australia Pty Ltd manages Wellington Management's business in Australia and New Zealand.
- o Wellington Management Canada ULC manages Wellington Management's business in Canada.
- o Wellington Management Europe GmbH manages certain business in the European Union.
- o Wellington Management Hong Kong Limited manages Wellington Management's business in greater China and Korea.
- o Wellington Management International Ltd manages certain business in Europe, the Middle East and Africa.
- o Wellington Management Japan Pte Ltd manages Wellington Management's business in Japan.
- o Wellington Management Singapore Pte Ltd manages Wellington Management's business in the remainder of Asia ex-Japan.
- o Wellington Management Switzerland GmbH services clients in Switzerland.

In addition to WAI, the following affiliates sponsor pooled investment vehicles.

- o Wellington Funds (US) LLC
- o Wellington Luxembourg S.à r.l.
- o Wellington Alternative Investments GP Late Stage Growth Luxembourg S.à r.l.
- o Wellington Management Funds LLC
- o Wellington Trust Company, NA

Wellington Fund Distributors, Inc. (WFD) a limited-scope broker/dealer registered with the US Financial Industry Regulatory Authority (FINRA), introduces prospects to the Funds, to other investment vehicles sponsored by Wellington Management affiliates and to certain SEC-registered investment companies. WFD does not execute securities transactions or engage in any other business.

For additional information on the Wellington Management group companies, please refer to the *Appendix*.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics / Personal Securities Trading

WAI has adopted the Wellington Management Code of Ethics, which applies to all Wellington Management personnel worldwide. The Code describes the standard of conduct Wellington Management requires of its personnel and sets forth certain restrictions on activities, including with regard to personal trading and gifts and entertainment. Compliance with the Code is a condition of employment for all personnel. A serious violation of the Code or related policies may result in dismissal. Key provisions of the Code are summarized below. A copy of the entire document is available upon request.

All Wellington Management personnel are considered “access persons” under the Code. They must preclear their personal transactions in covered securities prior to execution, except as specifically exempted under the Code. Some personal securities transactions that are not subject to pre-clearance must nonetheless be reported, including transactions in open-end mutual funds and variable insurance products that Wellington Management manages. The Code’s restrictions on personal trading apply to accounts over which an access person and/ or certain immediate family members have investment discretion, or from which they enjoy economic benefits. Portfolio managers are subject to additional restrictions on their personal transactions.

The pre-clearance process tests proposed transactions against a number of substantive restrictions designed to prevent Wellington Management personnel from taking advantage of the firm’s investment activity on behalf of its clients. Wellington Management prohibits all personnel from buying or selling securities issued by broker/dealers that are approved for execution of client trades or by securities markets or exchanges on which Wellington Management affiliates trade on behalf of clients. Likewise, Wellington Management does not allow its personnel to engage in personal transactions involving the direct purchase of any security in an initial public offering. All personnel are required to provide quarterly reports and certifications regarding their securities transactions and, at initial hire and annually, reports regarding their securities holdings. The WMC Chief Compliance Officer (or their designee) may grant an exception from pre-clearance, other trading restrictions, and certain reporting requirements on a case-by-case basis, if he or she determines that the proposed conduct involves no opportunity for abuse and does not conflict with client interests.

Gifts and entertainment

The Code places restrictions on receipt of gifts, travel, and entertainment opportunities by Wellington Management personnel. Wellington Management personnel occasionally participate in entertainment opportunities that are for legitimate business purposes, subject to limitations set forth in the Code.

Investments by Wellington Management personnel in the Funds

Wellington Management personnel, including portfolio managers and other investment personnel, often invest in the Funds. WAI does not limit the percentage of a Fund that Wellington Management personnel can own. Wellington Management personnel are at times the first participants in a new Fund and in some instances will be the only participants for one or more years. However, the vast majority of total assets in the Funds belong to unaffiliated investors. In some cases, a portfolio manager owns a substantial portion of the interests in a Fund that he or she manages. While personnel who invest in Funds have an incentive to favor such Funds in order to obtain a personal benefit, these investments also help to align those individuals’ interests with those of the applicable Fund. Where Wellington Management thinks it appropriate, it has adopted procedures to manage conflicts that arise from Wellington Management personnel’s investment in the Funds.

By virtue of their responsibilities, Wellington Management personnel have access to information that is not available to other participants in the Funds. WAI has adopted policies and procedures to identify

and disclose material information to all investors in the Funds and to manage conflicts that arise from Wellington Management personnel's investment in the Funds. Those procedures include information barriers and restrictions on transactions in Funds by Wellington Management personnel.

Investments by WAI and its affiliates in the Funds

WAI, in its capacity as general partner, invests capital in each Fund that is a partnership.

From time to time, a WMG affiliate provides seed capital in connection with the launch of a Fund. WMG affiliates also invest capital in one or more Funds that are designed to develop new investment approaches and do not accept external investments.

Wellington Management offers a deferred compensation plan to some employees through which the employees can make a notional investment in the Funds. A WMG affiliate invests in the Funds in order to hedge the liabilities associated with the deferred compensation plan.

These investments by WAI or WMG's affiliates are not significant (either individually or collectively) to WAI's or WMG's financial position.

Client investments in the Funds and other Accounts

WAI also recommends investments in the Funds and other accounts managed by Wellington Management affiliates. WAI monitors these arrangements to ensure that allocation recommendations are based solely upon an account's investment objectives, guidelines, and risk tolerance.

Item 12 – Brokerage Practices

The Multi-Strategy Funds and portfolio advisory accounts invest in other Funds and accounts managed by Wellington Management. Consequently, WAI does not directly engage brokers or execute trades.

WAI has authorized the investment manager to select brokers and dealers to place and execute portfolio transactions on behalf of the Single Strategy Funds. WAI receives information from the investment manager regarding its exercise of that discretion, including its research and soft dollar practices.

WAI selects prime brokers for the Single Strategy Funds. The services to be performed under each prime broker agreement include the provision of leverage, margin financing, clearing, settlement, securities lending, short selling facilities and foreign exchange facilities. Prime brokers also will provide custody services for investments held on the books of the prime brokers in accordance with the terms of the respective agreements and applicable law.

Additional information on each Fund's brokerage practices is provided in the offering documents.

Item 13 – Review of Accounts

WAI management and members of a risk team supporting WAI periodically review performance and exposures for each Fund and Account, including leverage and margin, different exposure and diversification metrics, transaction activity, and liquidity. WAI's goal is to understand the exposures in each Fund to confirm that the exposures are in line with WAI's expectations.

WAI provides Fund Investors and Account clients a variety of reporting, including monthly written reporting on performance and holdings. WAI also periodically provides commentary on current allocations and positioning, and portfolio manager views on the economy, markets and other investment topics. Clients may receive different levels of service from WAI and have varying access to investment and other personnel.

Item 14 – Client Referrals and Other Compensation

WAI does not enter into arrangements with third parties to solicit new advisory clients.

WAI utilizes the business development and marketing activities of its affiliates to solicit investors for all Funds. In addition, WAI and some Funds have also entered into arrangements to pay third parties to distribute interests in those Funds.

From time to time, the prime brokers to the Funds introduce potential investors for the Funds. Introductions are made either at conferences sponsored by the prime broker or through private meetings. Neither WAI nor the Funds pay the prime brokers additional fees for making these introductions.

Item 15 – Custody

The assets of each Fund are generally maintained in accounts held at third-party custodians.

WAI generally does not maintain physical possession of the assets of any Fund. Because WAI serves as general partner of, or holds another comparable position with respect to, the Funds, it takes additional measures to safeguard Fund assets.

Each Fund provides audited financial statements prepared in accordance with US generally accepted accounting principles to all investors in the Fund, typically within 90 days of the applicable fiscal year end or as otherwise required to meet certain regulatory obligations. Investors should review these statements carefully.

Item 16 – Investment Discretion

WAI exercises investment discretion on behalf of each Multi-Strategy Fund and discretionary Accounts in accordance with such fund's or account's particular investment objectives, policies and strategies as set forth in its limited partnership agreement, management agreement, or other relevant governing agreement, as applicable. WAI has delegated investment discretion for the Single Strategy Funds to the investment manager, which in turn may delegate some of its portfolio management responsibilities to another Wellington Management affiliate.

Item 17 – Voting Client Securities

WAI does not vote proxies directly on behalf of the Funds. It has delegated proxy voting responsibility for the Funds to WMC.

WAI does not have authority to vote securities held in Accounts. These clients will receive proxies and other solicitations with respect to the Funds from WAI or the Fund's transfer agent and may reach out to their relationship team with questions about a particular solicitation.

Item 18 – Financial Information

Not applicable.

Appendix:

Description of WAI's Affiliates

WELLINGTON MANAGEMENT GROUP LLP (the Partnership), the parent company of the Wellington Management global organization, is a Massachusetts limited liability partnership.

WELLINGTON MANAGEMENT COMPANY LLP (WMC) is a Delaware limited liability partnership and a registered investment adviser with the US Securities and Exchange Commission (SEC). WMC is also registered with the US Commodity Futures Trading Commission (CFTC) as a commodity trading advisor (CTA) and serves as a CTA to certain clients including registered commodity pools and their operators. WMC provides commodity trading advice to all other clients in reliance on exemptions from CTA registration. WMC is an indirect subsidiary of the Partnership.

WMC serves as the investment manager for our clients in the US and Latin America and for some clients in other regions. WMC may delegate some functions, including portfolio management, trading and/or account administration in whole or in part to another investment advisory affiliate.

WELLINGTON INVESTMENT MANAGEMENT (SHANGHAI) LIMITED (WIM Shanghai) is a Shanghai wholly-owned foreign enterprise established in Shanghai under the laws of the People's Republic of China. WIM Shanghai is an unregulated entity and an indirect subsidiary of the Partnership.

WIM Shanghai provides research to Wellington Management and its clients. WIM Shanghai may also engage in client liaison and business development services in mainland China.

WELLINGTON MANAGEMENT AUSTRALIA PTY LTD (WM Australia) is an Australian proprietary limited company. WM Australia is an indirect subsidiary of the Partnership and is authorized to provide investment management services in Australia under an Australian Financial Services Licence.

WM Australia serves as the contractually named manager for certain clients in Australia and New Zealand. In those cases, certain functions, including portfolio management, trading and/or account administration are delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT CANADA ULC (WM Canada ULC) is a Delaware limited liability company and is registered in ten Canadian provinces (Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Nova Scotia, Ontario, Prince Edward Island, Québec, and Saskatchewan) in the categories of Portfolio Manager and Exempt Market Dealer. WM Canada ULC is an indirect subsidiary of the Partnership.

WM Canada ULC provides client liaison, investment monitoring and business development services for Wellington Management and its clients in Canada. WM Canada ULC also serves as the contractually named investment manager for our Canadian clients. Certain functions, including portfolio management, trading and/or account administration are delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT HONG KONG LIMITED (WM Hong Kong) is a private limited company organized under the laws of the Hong Kong Special Administrative Region of the People's Republic of China. WM Hong Kong is an indirect subsidiary of the Partnership. WM Hong Kong is authorized to provide investment management services in Hong Kong by the Securities and Futures Commission. WM Hong Kong is also registered with the SEC as an investment adviser.

WM Hong Kong provides client liaison, investment monitoring and business development services for Wellington Management and its clients in Northern Asia including Hong Kong, mainland China, Taiwan and Korea. WM Hong Kong also provides research, portfolio management and trading services for Wellington Management and its clients.

WM Hong Kong serves as the contractually named investment manager for certain clients in Hong Kong and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT EUROPE GMBH (WM Europe) is a limited company registered in Germany and an indirect subsidiary of the Partnership. WM Europe is authorized and regulated by the German Federal Financial Supervisory Authority (*Bundesanstalt für Finanzdienstleistungsaufsicht – BaFin*).

WM Europe provides client liaison and business development services for Wellington Management and certain of its clients in the European Union. WM Europe also provides portfolio management services to Wellington Management and its clients. WM Europe serves as the contractually named investment manager for certain clients in the European Union. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT INTERNATIONAL LTD (WMIL) is a limited company registered in England and Wales and an indirect subsidiary of the Partnership. WMIL is authorized to provide investment management services in the UK by the Financial Conduct Authority (FCA) and is also authorized to provide similar services in numerous other European jurisdictions. WMIL has a branch in Dublin, Ireland, which is authorized and regulated by the FCA and, in respect of certain of its activities, by the Central Bank of Ireland. WMIL is also registered with the SEC as an investment adviser.

WMIL provides client liaison, investment monitoring and business development services for Wellington Management and its clients in Europe (ex-Switzerland), the Middle East, and Africa (EMEA). WMIL also provides research, portfolio management and trading services to Wellington Management and its clients.

WMIL serves as the contractually named investment manager for certain EMEA clients and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT JAPAN PTE LTD (WM Japan) is a limited liability company organized under Singapore law, with its main office in Tokyo, Japan. WM Japan is an indirect subsidiary of the Partnership. WM Japan is authorized in Japan by the Financial Services Agency to conduct investment management, investment

advisory and agency business and investment trust management, as well as limited marketing of sponsored funds. WM Japan is also registered with the SEC as an investment adviser.

WM Japan serves as the contractually named manager for certain clients in Japan and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT SINGAPORE PTE LTD (WM Singapore) is a limited liability company organized under Singapore law. WM Singapore is an indirect subsidiary of the Partnership. WM Singapore is regulated by the Monetary Authority of Singapore under a Capital Markets Services Licence to conduct fund management activities and is an exempt financial adviser. WM Singapore is also registered with the SEC as an investment adviser. WM Singapore provides client liaison, investment monitoring and business development services for Wellington Management and its clients in the Asia-Pacific region ex-Japan. WM Singapore also provides research and portfolio management services to Wellington Management and its clients.

WM Singapore serves as the contractually named manager for certain clients in Singapore and for certain Sponsored Funds. In those cases, certain functions, including portfolio management, trading and/or account administration may be delegated in whole or in part to WMC or another investment advisory affiliate.

WELLINGTON MANAGEMENT SWITZERLAND GMBH is a limited liability company incorporated under Swiss law and an indirect subsidiary of the Partnership. It provides client liaison and business development services for Wellington Management and its clients in Switzerland.

WELLINGTON ALTERNATIVE INVESTMENTS GP LATE STAGE GROWTH LUXEMBOURG SÀRL (WAI GP Lux) is a company in the form of a Société à responsabilité limitée organized under the laws of the Grand Duchy of Luxembourg and an indirect subsidiary of the Partnership. WAI GP Lux serves as a general partner of privately offered pooled investment vehicles. WAI GP Lux is owned by WAI.

WELLINGTON FUNDS SERVICES LLC (WFS) is a Delaware limited liability company which provides administrative and operational support, including trading settlement, account reconciliation and performance reporting, to certain of our Sponsored Funds. WFS is owned by WMFH.

WELLINGTON FUNDS (US) LLC (WFUS) is a Delaware limited liability company and an indirect subsidiary of the Partnership. WFUS is registered with the SEC as an investment adviser. WFUS is the general partner or manager of privately offered pooled investment vehicles. WFUS is owned by WMFH.

WELLINGTON GLOBAL ADMINISTRATOR, LTD. (WGA) is a limited liability company organized under the laws of Bermuda and an indirect subsidiary of the Partnership. WGA serves as the distributor for certain Wellington Management-sponsored investment funds.

WELLINGTON LUXEMBOURG SÀRL (Wellington Luxembourg) is a company in the form of a Société à responsabilité limitée organized under the laws of the Grand Duchy of Luxembourg and an indirect subsidiary of the Partnership. Wellington Luxembourg is authorized under Luxembourg law as a management company and AIFM for mutual investment funds domiciled in Luxembourg. Several Wellington Management affiliates serve as

investment managers for certain portfolios domiciled in Luxembourg and, upon the request of Wellington Luxembourg, may provide other general management services for those portfolios.

WELLINGTON FUNDS DISTRIBUTORS INC. (WFD) is a limited-scope broker/dealer registered with FINRA and organized under the laws of Delaware. WFD is an indirect subsidiary of the Partnership. WFD's sole line of business is introducing prospects to certain SEC-registered investment companies and Wellington Management-sponsored investment funds offered within the United States.

WELLINGTON TRUST COMPANY, NA (WTC) is a limited-purpose nationally chartered trust company and a subsidiary of the Partnership. WTC provides a range of trust services, including asset management, asset allocation and account custody and administration. WTC sponsors common trust funds and collective investment funds that are exempt from registration under the US Investment Company Act of 1940, as amended. Several Wellington Management affiliates provide investment advice on a nondiscretionary basis to WTC with respect to client assets managed by WTC.

WELLINGTON MANAGEMENT FUNDS LLC (WMF LLC) is a Delaware limited liability company and an indirect subsidiary of the Partnership. WMF LLC is the manager of privately offered pooled investment vehicles. WMF LLC is owned by WMFH.

WELLINGTON FINANCE & TREASURY LLC (WFT) is a Delaware limited liability company which serves in a cash management function for certain of its affiliates. WFT is owned by the Partnership.

WELLINGTON GROUP HOLDINGS LLP (WGH) is a Delaware limited liability partnership which serves as the managing partner of WIAH and WMFH. WGH is owned by the Partnership.

WELLINGTON HOLDINGS, INC. (WH, Inc.) is a corporation organized under the laws of Massachusetts. WH, Inc. is owned by the Partnership.

WELLINGTON INVESTMENT ADVISORS HOLDINGS LLP (WIAH) is a Delaware limited liability partnership which serves as the managing partner of WMC, the sole member of WM Canada LLC and the majority owner of WMGH. WIAH is owned by WGH and the Partnership.

WELLINGTON MANAGEMENT CANADA LLC (WM Canada LLC) is a Delaware limited liability company. WM Canada LLC is the sole owner of WM Canada ulc. WM Canada LLC is owned by WIAH.

WELLINGTON MANAGEMENT FUNDS HOLDINGS LLP (WMFH) is a Delaware limited liability partnership which serves as the owner of WMA and WMF Inc., sole member of WFS, member of WMF LLC and WAI and majority owner of WGA and Wellington Luxembourg. WMFH is owned by WGH and the Partnership.

WELLINGTON MANAGEMENT GLOBAL HOLDINGS, LTD. (WMGH) is a Bermuda limited company. WMGH serves as a holding company for operating entities organized in Australia, Germany, Hong Kong, Singapore, Switzerland and the United Kingdom. WMGH is owned by WIAH and WH, Inc.

WELLINGTON MANAGEMENT INVESTMENT, INC. (WMI) is a corporation organized under the laws of Delaware. WMI serves as the manager of WAI and WFT and holds common shares of WGA. WMI is owned by the Partnership.

WELLINGTON MANAGEMENT FUNDS INC. (WMF Inc.) is a corporation organized under the laws of Delaware. WMF Inc. serves as the manager of WMF LLC and WFUS. WMF Inc. is owned by WMFH.