

Part 2B Form ADV Brochure Supplement

Princeton Fund Advisors, LLC

1580 Lincoln Street, Suite 680
Denver, CO 80203

1-888-862-3690

www.princetonfundadvisors.com



This brochure supplement provides information that supplements the Princeton Fund Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at 888-862-3690 or AdvisReqA@princetonfundadvisors.com if you did not receive the Princeton Fund Advisors, LLC brochure or if you have any questions about the contents of this supplement.

John L. Sabre

Item 2

Educational Background and Business Experience

Year of Birth: 1957

Education

University of Minnesota, Minneapolis, MN; B.S. Business, 1979

Wharton School, University of Pennsylvania, Philadelphia, PA; M.B.A. 1984

Business Background

PFA- Managing Member, 2010 to present.

MYIA – Managing Member – 2003 to present

Bear Stearns & Co., Senior Managing Director, Head of Mezzanine Capital Group, 2000 to 2001.

First Dominion, Managing Director, 1997 to 2000.

Item 3

Disciplinary Information

Mr. Sabre has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Sabre or the company.

Item 4

Other Business Activities

Mr. Sabre is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Sabre does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Executive Committee is responsible for the supervision of all employees and the Investment Committee is responsible for the oversight of all products and strategies. Mr. Sabre is a member of both committees and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. John Sabre's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@princetonfundadvisors.com if you have any questions.

Greg D. Anderson

Item 2

Educational Background and Business Experience

Year of Birth: 1959

Education

Hamline University, St. Paul, MN; B.A. Business, 1981.

University of Minnesota School of Law, Minneapolis, J.D., 1984.

Business Background

PFA - Managing Member - 2010 to present.

MYIA – Managing Member – 2002 to present

Various positions with Mount Yale affiliates and predecessors – 1998 to 2002

Portfolio Management Consultants, Inc. - Managing Director, Sr. Vice President and

Director of Investment Manager Search, Evaluation and Due Diligence, 1997-1998.

Deloitte & Touche, Sr. Tax Manager, 1996-1997 (Denver, CO) and 1985-1992 (Minneapolis, MN).

Colorado Commodities Corp., Chief Operating Officer, 1992 to 1996.

Item 3

Disciplinary Information

Mr. Anderson has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Anderson or the company.

Item 4

Other Business Activities

Mr. Anderson is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Anderson does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Executive Committee is responsible for the supervision of all employees and the

Investment Committee is responsible for the oversight of all products and strategies. Mr.

~~Anderson is a member of both committees and is subject to the Firm's written compliance~~

and supervisory procedures and the related ongoing compliance monitoring and testing.

Mr. Anderson's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or

AdvisReqA@princetonfundadvisors.com if you have any questions.

David B. Gottesman

Item 2

Educational Background and Business Experience

Year of Birth: 1973

Education

Lawrence University - BA Psychology 1996

Business Background

PFA- Senior Vice President – Sales and Marketing - 2010 to present.

MYIA- Senior Vice President – Sales and Marketing - 2007 to present.

ING Life Design – various Sales and National Support positions – 2003 to 2007

Family Wealth Counselors – Director of Operations – 1999 to 2003

Risk Protection of Virginia – Agent and Administrator VIP Accounts – 1996 to 1999

Licenses: Series 6 and 7

Item 3

Disciplinary Information

Mr. Gottesman has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Gottesman or the company.

Item 4

Other Business Activities

Mr. Gottesman is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Gottesman does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Investment Committee is responsible for the oversight of all products and strategies. Mr. Gottesman is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Gottesman's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@princetonfundadvisors.com if you have any questions.

Eric G. Englebrecht

Item 2

Educational Background and Business Experience

Year of Birth: 1974

Education

Tulane University – A.B. Freeman School of Business - BS Management 1997

Business Background

PFA- Senior Vice President – Sales and Marketing - 2010 to present

MYIA – Vice President - Business Development - 2003 to present

Mount Yale affiliates – Client Services Manager - 2001 to 2003

Merrill, Lynch, Pierce, Fenner & Smith – 1997 to 2001

Licenses: Series 7 and 63

Item 3

Disciplinary Information

Mr. Englebrecht has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Englebrecht or the company.

Item 4

Other Business Activities

Mr. Englebrecht is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Englebrecht does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Investment Committee is responsible for the oversight of all products and strategies.

Mr. Englebrecht is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Englebrecht's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@princetonfundadvisors.com if you have any questions.

Theodore B. Smith

Item 2

Educational Background and Business Experience

Year of Birth: 1986

Education

Lehigh University – BS Finance, Real Estate and Accounting

Business Background

PFA- Vice President – Business Development – August 2014 to present

MYIA - Vice President – Business Development – August 2014 to present

Fortigent, LLC – External Practice Management Consultant – January 2013 to August 2014

Fortigent, LLC – Sales Associate – August 2011 to January 2013

Licenses: Series 7

Chartered Alternative Investment Analyst “CAIA” designation – September 2013

Item 3

Disciplinary Information

Mr. Smith has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Smith or the company.

Item 4

Other Business Activities

Mr. Smith is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Smith does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA’s Investment Committee is responsible for the oversight of all products and strategies. Mr. Smith is subject to the Firm’s written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Smith’s activities are also overseen by the Firm’s Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** [or AdvisReqA@princetonfundadvisors.com](mailto:AdvisReqA@princetonfundadvisors.com) if you have any questions.

Adam T. Duff

Item 2

Educational Background and Business Experience

Year of Birth: 1981

Education

University of Minnesota

Business Background

PFA- Sales and Marketing - 2010 to present

MYIA - Sales and Marketing - 2010 to present

Octavus Group LLC – Wholesaler - 2006 to 2010

Piper Jaffray – Fixed Income Specialist - 2004 to 2006

RMM Corporation – National Service and Sales Representative - 2002 to 2004

Licenses: Series 7 and 63

Item 3

Disciplinary Information

Mr. Duff has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Duff or the company.

Item 4

Other Business Activities

Mr. Duff is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Duff does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Investment Committee is responsible for the oversight of all products and strategies.

Mr. Duff is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Duff's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at 888-862-3690 [or AdvisReqA@princetonfundadvisors.com](mailto:AdvisReqA@princetonfundadvisors.com) if you have any questions.

Mike Klever

Item 2

Educational Background and Business Experience

Year of Birth: 1974

Education

University of Colorado, Boulder – BA Degree Political Science, History

Business Background

PFA- Director, Internal Business Development - 2010 to present

MYIA - Director, Internal Business Development - 2008 to present

Merrill Lynch – Client Service Manager – 2003 to 2008

Merrill Lynch – Priority One Service Rep, Group Relationship Partner, Service Analyst - 2000 to 2003

Licenses: Series 7 and 66

Item 3

Disciplinary Information

Mr. Klever has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Klever or the company.

Item 4

Other Business Activities

Mr. Klever is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Klever does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Investment Committee is responsible for the oversight of all products and strategies. Mr. Klever is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Klever's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@princetonfundadvisors.com if you have any questions.

Yona Klein

Item 2

Educational Background and Business Experience

Year of Birth: 1974

Education

St. John's University – B.A. Finance

Certified Investment Management Analyst (CIMA) designation issued by IMCA in conjunction with the Wharton School of Business. 2008

Business Background

Lincoln Financial Advisors, Director of Investment Services, 2002-2018

Lincoln National Life Insurance, 2002- 2006

Salomon Smith Barney Inc. - 2002

Licenses: Series 7 and 63. Life Insurance

Item 3

Disciplinary Information

Mr. Klein has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Klein or the company.

Item 4

Other Business Activities

~~Mr. Klein is not engaged in any other investment-related businesses outside the company.~~

Item 5

Additional Compensation

Mr. Klein does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

PFA's Investment Committee is responsible for the oversight of all products and strategies. Mr. Klein is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Klein's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at 888-862-3690 [or AdvisReqA@princetonfundadvisors.com](mailto:AdvisReqA@princetonfundadvisors.com) if you have any questions.