

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
December 2020

Marten Mullins, CFP®

Black Horse Wealth Management, LLC

Firm Contact:
Marten Mullins, CFP®
Chief Compliance Officer

This brochure supplement provides information about Mr. Mullins that supplements our brochure. You should have received a copy of that brochure. Please contact Marten Mullins at 310-330-7900 if you did not receive Black Horse Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Mullins is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6126388.

Item 2: Educational Background & Business Experience

Marten Mullins

Year of Birth: 1988

Educational Background:

- 2010: University of California, Santa Barbara; Bachelor of Science in Business Economics
- 2008: West Valley College; Associate of Arts
- 2007: Loyola Marymount University; Undergraduate Study in Business

Business Background:

- 05/2020 – Present Black Horse Wealth Management, LLC; Managing Member & IAR
- 05/2015 – 05/2020 JPMorgan Chase; Private Client Advisor
- 04/2012 – 05/2015 JPMorgan Chase; Private Client Banker
- 02/2011 – 04/2012 Bank of America; Sales & Service Specialist

Exams, Licenses & Other Professional Designations:

- 2020: CERTIFIED FINANCIAL PLANNER™, CFP®
- 2018: Securities Industry Essentials Exam (SIE®)
- 2015: Series 7 & Series 66 Exams
- 2014: Series 63 & Variable Life, Accident & Health (License#: 0I86757)
- 2012: Series 6 Exam

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Mullins.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 4: Other Business Activities

Mr. Mullins is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Mullins, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Mullins does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Mullins is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. Mr. Mullins can be reached at (408) 316-6364.

Item 7: Requirements for State-Registered Advisers

Mr. Mullins has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.