

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
December 10th, 2020**

Benjamin Pettigrew



REDWOOD PARK
— ADVISORS —

**3 Twin Dolphin Drive, Suite 210
Redwood City, CA 94065**

**Firm Contact:
Benjamin Pettigrew
Chief Compliance Officer**

This brochure supplement provides information about Mr. Pettigrew that supplements our brochure. You should have received a copy of that brochure. Please contact Benjamin Pettigrew at (650) 779-5822 if you did not receive Redwood Park Advisors, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Pettigrew is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #4798476.

Item 2: Educational Background & Business Experience

Benjamin Pettigrew

Year of Birth: 1980

Educational Background:

- 2003: University of California at Davis; B.S. in Managerial Economics

Business Background:

- 06/2020 – Present Redwood Park Advisors; Member and Investment Advisor Representative
- 05/2012– 07/2020 LPL Financial LLC; Registered Representative & Investment Advisor Representative
- 09/2009 – 05/2012 Morgan Stanley Smith Barney, LLC; Investment Adviser
- 06/2009 – 05/2012 Morgan Stanley Smith Barney; Senior Registered CSA

Exams, Licenses & Other Professional Designations:

- 11/2018: CA Insurance License (No. 0E50109)
- 09/2009: Series 66 Exam
- 03/2009: CERTIFIED FINANCIAL PLANNER™, CFP®
- 06/2005: Series 7 Exam
- 05/2004: Series 6 & Series 63 Exams

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

Item 3: Disciplinary Information¹

Mr. Pettigrew has not been the subject to any criminal actions, revocations or suspension. There is no disciplinary history to disclose regarding Mr. Pettigrew.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 4: Other Business Activities

Mr. Pettigrew is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Pettigrew, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Pettigrew does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

David Smith is the other principal of Redwood Park Advisors and as such supervises and monitors Mr. Pettigrew's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Smith if you have any questions about Mr. Pettigrew's brochure supplement at 1-866-579-8808 .

Item 7: Requirements for State-Registered Advisers

Mr. Pettigrew has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.