

Wealth Advisory Solutions, LLC

8910 Purdue Road, Suite 240  
Indianapolis, IN 46268

ADV Brochure Supplement Part 2 B For

## **Comprehensive Financial Planning Services**

3909 W. 193<sup>rd</sup> Street

Westfield, IN 46074

317-228-6222

[www.FinPlanSvcs.com](http://www.FinPlanSvcs.com)

November 24<sup>th</sup>, 2020

**Item 1- Cover Page**

**Brent L. Emerick, CFP™**

**Comprehensive Financial Planning Services**

**3909 W. 193<sup>rd</sup> Street**

**Westfield, IN 46074**

**317 228-6222**

**November 24<sup>th</sup>, 2020**

**This Brochure Supplement provides information about Brent L. Emerick, CFP™ that supplements the Comprehensive Financial Planning Services Brochure. You should have received a copy of that Brochure. Please contact Brent L. Emerick, CFP™ if you did not receive the Comprehensive Financial Planning Services Brochure or if you have any questions about the contents of this supplement.**

**Additional information account Brent L. Emerick CFP™ is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2- Educational Background and Business Experience**

**Education and Business Background**

**Brent L. Emerick, CFP®** (CFP Board ID #008133 and CRD #1021905)  
Year of birth: 1950

**Education:**

Valparaiso University/ Valparaiso Technical Institute

Indiana University Purdue University Indianapolis

Graduated: B.S.E.E., 1973

College for Financial Planning/CFP Board of Standards

Graduated: Certified Financial Planner designation, 1986

Granted: Registry of CFP Licensed Practitioners, ICFP 1987

Securities Licensed: IN 1971, NASD General Securities Principle (S-24)-(1987-2010)

Mr. Emerick has continuously maintained the annual continuing education requirements of the **CFP International Board of Standards** and the **Indiana Department of Insurance**.

**Business Background:**

Mr. Brent Emerick, CFP®, served as President of Comprehensive Financial Planning Services (registered 1980 as a DBA, incorporated 1984), and Registered Investment Adviser (RIA) in Indiana 1987. In 2018 Mr. Emerick joined Wealth Advisory Solutions, LLC as a Investment Adviser Representative operating under the DBA of Comprehensive Financial Planning Services.

Mr. Emerick became a registered **General Securities PRINCIPAL** 1987-2010. **Voluntary termination of B/D relationship made yr ending 2008.** He is **licensed** to provide **Life and Health Insurance** and is **certified** for the **Indiana Long Term Care Partnership Program** and passed **Indiana Teachers Retirement Fund** Financial Adviser exam Mar'10.

Mr. Emerick serves as a Board Member for the Indiana Center for M.S. and has also served on the Finance Advisory Board for the Miller College of Business - Ball State University.

Mr. Emerick was a founding officer of the Financial Planning Association of Greater Indiana (FPA). He served as President-elect, President, Chairman, and is a member of the "Old Chair Cabinet" for the Association. Prior to the merger of the national IAFP and ICFP, Mr. Emerick served as the founding President, Board Chairman, and Advisory Board member of the Central Indiana Society of the Institute of Certified Financial Planners for a total period of 13 years.

From 1976 to 1980, Mr. Emerick served as State Director for Carnegie International Corporation as state director for GILCO Investment Corporation and State Sales Director for their Insurance Company, developing the "Financial & Estate Planning Services" division for the company.

**Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. **No information is applicable to this Item.**

**Item 4- Other Business Activities**

Mr. Emerick is not actively engaged in any outside business activity.

**Item 5- Additional Compensation**

Brent L. Emerick, CFP™ does not receive any additional compensation at this time.

**Item 6 - Supervision**

Mr. Emerick is an Investment Adviser Representative of Comprehensive Financial Planning Services. Comprehensive Financial Planning Services has and provides investment advisory and supervisory services in accordance with its policies and procedures manual. The Firm's Chief Compliance Officer, Matthew Swendiman, is primarily responsible for implementation of the Firm's policies and procedures.

## Item 1- Cover Page

**Ivy Emerick, CFP™**

**Comprehensive Financial Planning Services**

3909 W. 193<sup>rd</sup> Street

Westfield, IN 46074

317 228-6222

November 24<sup>th</sup>, 2020

**This Brochure Supplement provides information about Ivy Emerick, CFP™ that supplements the Comprehensive Financial Planning Services Brochure. You should have received a copy of that Brochure. Please contact Brent L. Emerick, CFP™ if you did not receive the Comprehensive Financial Planning Services Brochure or if you have any questions about the contents of this supplement.**

**Additional information account Ivy Emerick CFP™ is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

**Ivy Emerick, CFP®, (CFP Board #035725 and CRD #1315322)**

**Year of birth: 1945**

**Education:** B.S. Degree from Philadelphia College of Bible

**Certified Financial Planner designation - College for Financial Planning**

### **Business Background:**

Mrs. Emerick, CFP®, served as an Investment Adviser Representative with Comprehensive Financial Planning Services Corp. from September of 1990 till the end of 2017. Mrs. Emerick is now and Investment Adviser Representative for Wealth Advisory Solutions, LLC operating under the DBA Comprehensive Financial Planning Services.

She received the **CFP® designation** from the CFP Board of Standards in 1990. She has exceeded the required continuing education requirement to maintain certification for over 21 years. Mrs. Emerick was a former Board of Directors member for the Central Indiana Chapter of the Institute of Certified Financial Planners serving as Membership Co-Chairperson. She also has participated in the Estate Planning Council of Indianapolis and the Institute for Investment Management Consultants.

Mrs. Emerick was also a Registered Securities Representative (1984-2005) last licensed with Multi-Financial Securities Corporation, member NASD/SIPC; branch office located in Zionsville, Indiana. Her securities licenses were allowed to expire unblemished, as our firm moved to fee only services after December 2005. She is also **Life and Health Insurance** licensed by the Indiana Department of Insurance and certified for the **Indiana Long Term Care Partnership Program**.

From September 1984 to August 1990, Mrs. Emerick served as an **Account Executive and Vice President for Circle Financial Planning** DBA Money Concepts, providing financial planning services for individuals, families, and small businesses. She was recognized for "outstanding international leadership in all areas of professional management, sales, and persistency" and was a featured speaker at MCI's International and Regional Financial Planning Congresses. She has served as a seminar presenter and speaker to numerous churches, clubs, and businesses.

Prior experience in the financial services industry includes serving as **Administrative Assistant in the Financial Aid Department at Taylor University** and as Administrator of the **Family Estate Planning Institute in Annapolis, Maryland**.

Before entering the financial services profession, Mrs. Emerick was a **Director of Christian Education** and also **served on the mission field in Europe, the Caribbean, Africa, and Hong Kong**.

Mrs. Emerick holds a **B.S. Degree from Philadelphia College of Bible** and served as Secretary for the **Central Indiana Youth for Christ Board of Directors**.

### **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4- Other Business Activities**

Mrs. Emerick is not actively engaged in any outside business activity.

### **Item 5- Additional Compensation**

Ivy Emerick, CFP does not receive any additional compensation at this time.

### **Item 6 - Supervision**

Mrs. Emerick is an Investment Adviser Representative of Comprehensive Financial Planning Services. Comprehensive Financial Planning Services has and provides investment advisory and supervisory services in accordance with its policies and procedures manual. The Firm's Chief Compliance Officer, Matthew Swendiman, is primarily responsible for implementation of the Firm's policies and procedures.