

*This brochure supplement provides information about Allen C. Dembski that supplements the Buffalo First Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Allen C. Dembski if you did not receive Buffalo First Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Allen C. Dembski is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Buffalo First Wealth Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Allen C. Dembski**

Personal CRD Number: 5215092

Investment Adviser Representative

Buffalo First Wealth Management, LLC  
7606 Transit Rd. Suite 100  
Williamsville, NY 14221  
(716) 204-8369  
[adembski@buffalofirstllc.com](mailto:adembski@buffalofirstllc.com)

UPDATED: 12/02/2020

## Item 2: Educational Background and Business Experience

**Name:** Allen C. Dembski

**Born:** 1975

### **Educational Background and Professional Designations:**

#### **Education:**

- Bachelor of Science Business Studies, Buffalo State College – 1998
- Associates of Applied Science Business Administration, Erie Community College – 1996
- Accredited Wealth Management Advisor (AWMA), College of Financial Planning - 2017

#### **Business Background:**

04/2014 - Present	Investment Adviser Representative Buffalo First Wealth Management, LLC
04/2014 – Present	Managing Partner Buffalo First Advisory Services, LLC
04/2006 – 04/2014	Financial Advisor Waddell & Reed, Inc.
11/1998 - 04/2006	Sales Manager Combined Life Insurance Co. of NY

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Buffalo First Advisory Services LLC, is an independent company which handles the insurance needs for clients. This business excludes any insurance product that contains investments. Allen Dembski is a licensed insurance agent through Buffalo First Advisory Services LLC, of which he owns 50%. From time to time, he will offer clients advice or products from those activities.

Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser.

Buffalo First Advisory Services LLC, always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the services through any representative of Buffalo First Wealth Management, LLC in such individual's outside capacities.

Allen Dembski receives compensation for a part time high school coach.

Allen Dembski is also involved in various charitable and volunteer activities, primarily focusing on the area of health, education and wellness.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Allen C. Dembski does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Buffalo First Wealth Management, LLC.

### **Item 6: Supervision**

Allen C. Dembski is a co-owner and co-supervisor of Buffalo First Wealth Management, LLC, and works closely with co-supervisor Kevin R. Connolly. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Kevin Connolly can be reached at (716) 861-7371.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by New York securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Allen C. Dembski has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;

- d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
- B. Allen C. Dembski has **NOT** been the subject of a bankruptcy petition in the past ten years.