

**Item 1: Cover Page**  
**Part 2B of Form ADV: Brochure Supplement**  
**May 2020**

**Marten Mullins**

**Black Horse Wealth Management, LLC**

**Firm Contact:**  
**Marten Mullins**  
**Chief Compliance Officer**

This brochure supplement provides information about Mr. Mullins that supplements our brochure. You should have received a copy of that brochure. Please contact Marten Mullins if you did not receive Black Horse Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Mullins is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #6126388.

## Item 2: Educational Background & Business Experience

**Marten Mullins**

**Year of Birth:** 1988

### **Educational Background:**

- 2010: University of California, Santa Barbara; Bachelor of Science in Business Economics
- 2008: West Valley College; Associate of Arts
- 2007: Loyola Marymount University; Undergraduate Study in Business

### **Business Background:**

- 05/2020 – Present      Black Horse Wealth Management, LLC; Managing Member & IAR
- 05/2015 – 05/2020      JPMorgan Chase ; Private Client Advisor
- 04/2012 – 05/2015      JPMorgan Chase; Private Client Banker
- 02/2011 – 04/2012      Bank of America; Sales & Service Specialist

### **Exams, Licenses & Other Professional Designations:**

- 2018: Securities Industry Essentials Exam (SIE®)
- 2015: Series 7 Exam
- 2014: Series 63 & Series 66 Exams & Variable Life, Accident & Health (License#: 0186757)
- 2012: Series 6 Exam

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Mullins.

## Item 4: Other Business Activities

Mr. Mullins is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Mullins, as a fiduciary, will act in the client's best interest.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 5: Additional Compensation**

Mr. Mullins does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Mr. Mullins is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

### **Item 7: Requirements for State-Registered Advisers**

Mr. Mullins has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.