

# **Sound Legacy Wealth Advisors, LLC.**

## **Form ADV, Part 2B Brochure Supplement**

**Joel S. Petersen**

Office Location:

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This Brochure supplement provides information about Joel S. Petersen that supplements the Sound Legacy Wealth Advisors, LLC (“Sound Legacy” or “the Firm”) Brochure. If you have any questions about the contents of this Brochure, please contact the Firm at the telephone number listed above. For compliance specific requests, please call 971-371-3450. Additional information about Joel S. Petersen is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Joel S. Petersen

Born 1961

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### *Education:*

- 1984 – Western Washington University | B.A. | Business Administration

### *Business Background:*

01/2020 – Present	Sound Legacy Wealth Advisors, LLC   Partner, Senior Wealth Advisor
06/2011 – 10/2019	Bank of America, N.A./US Trust   Senior Vice President, Private Client Advisor
02/2011 – 10/2019	Merrill Lynch, Pierce, Fenner & Smith, Inc.   Sr Vice President, Private Client Advisor
09/2005 – 12/2010	Bernstein Global Wealth Management   Financial Advisor
09/2005 – 12/2010	Sanford C. Bernstein   Vice President   Financial Advisor
08/2003 – 09/2005	Ascential/IBM   Sr Account Executive
01/2002 – 10/2003	Stellent   Account Executive
04/1996 – 01/2002	Onyx Software   Sr. Account Executive
01/1993 – 08/1996	Greendisk   Vice President

## ITEM 3 - DISCIPLINARY INFORMATION

Sound Legacy Wealth Advisors, LLC is required to disclose information regarding any disciplinary information on Joel S. Petersen. Mr. Peterson has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Sound Legacy Wealth Advisors, LLC is required to disclose information regarding any investment-related business or occupation in which Joel S. Petersen is actively engaged. Mr. Peterson is not involved in any outside business activities.

## ITEM 5 - ADDITIONAL COMPENSATION

Sound Legacy Wealth Advisors, LLC is required to disclose information regarding any additional compensation received by Joel S. Petersen. Mr. Petersen receives no additional compensation in connection with outside business activities.

## ITEM 6 - SUPERVISION

Stacy Sizemore, Chief Compliance Officer, supervises and monitors activities of Joel S. Peterson on a regular basis. Ms. Sizemore reviews outgoing correspondence for written financial advice provided to clients. Please contact Ms. Sizemore at 971-371-3450 if you have any questions about this brochure supplement.