

# **Sound Legacy Wealth Advisors, LLC.**

## **Form ADV, Part 2B Brochure Supplement**

### **Brendan M. Sullivan**

Office Location:

10900 NE 4<sup>th</sup> Street, Suite 2300

Bellevue, WA 98004

[www.soundlegacy.com](http://www.soundlegacy.com)

January 1, 2020

This Brochure supplement provides information about Brendan M. Sullivan that supplements the Sound Legacy Wealth Advisors, LLC (“Sound Legacy” or “the Firm”) Brochure. If you have any questions about the contents of this Brochure, please contact the Firm at the telephone number listed above. For compliance specific requests, please call 971-371-3450. Additional information about Brendan M. Sullivan is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Brendan M. Sullivan

Born 1971

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### *Education:*

- 1995 – Roger Williams University | B.S. | History

### *Business Background:*

|                   |                                                             |
|-------------------|-------------------------------------------------------------|
| 01/2020 – Present | Sound Legacy Wealth Advisors, LLC   Chief Operating Officer |
| 08/2018 – 07/2019 | The Bahnsen Group   Chief Operations Officer                |
| 07/2015 – 08/2018 | Hightower Advisors, LLC   Executive Director                |
| 04/2010 – 07/2015 | Charles Schwab & Co., Inc.   Relationship Manager           |
| 04/2008 – 3/2010  | Bank of America, N.A.   Financial Advisor                   |
| 04/2008 – 02/2010 | Merrill Lynch   Financial Advisor                           |

## ITEM 3 - DISCIPLINARY INFORMATION

Sound Legacy Wealth Advisors, LLC is required to disclose information regarding any disciplinary information on Brendan M. Sullivan. Mr. Sullivan has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Sound Legacy Wealth Advisors, LLC is required to disclose information regarding any investment-related business or occupation in which Brendan M. Sullivan is actively engaged. Mr. Sullivan is not involved in any outside business activities.

## ITEM 5 - ADDITIONAL COMPENSATION

Sound Legacy Wealth Advisors, LLC is required to disclose information regarding any additional compensation received by Brendan M. Sullivan. Mr. Sullivan receives no additional compensation in connection with outside business activities.

## ITEM 6 - SUPERVISION

Stacy Sizemore, Chief Compliance Officer, supervises and monitors activities of Brendan M. Sullivan on a regular basis. Ms. Sizemore reviews outgoing correspondence for written financial advice provided to clients. Please contact Ms. Sizemore at 971-371-3450 if you have any questions about this brochure supplement.