

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
February 2020**

Carson Sanford



**420 Nichols Road, 2nd Floor
Kansas City, Missouri 64112
www.deltawealthsolutions.com**

**Firm Contact:
Matthew Seidel
Chief Compliance Officer**

This brochure supplement provides information about Mr. Sanford that supplements our brochure. You should have received a copy of that brochure. Please contact Matt Seidel if you did not receive Delta Wealth Solutions, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Sanford is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6262578.

Item 2: Educational Background & Business Experience

Carson Sanford

Year of Birth: 1992

Educational Background:

- 2013: University of Missouri – Columbia; B.S. in Business Administration & Finance
- 2012: University of Alicante; Completed one semester of International Finance Studies

Business Background:

- 02/2020 – Present Delta Wealth Solutions, LLC; Managing Member & Investment Adviser Representative
- 06/2014 – 02/2020 UBS Financial Services; Financial Advisor & CSA
- 12/2013 – 04/2014 T3 Trading Group; Proprietary Trader
- 05/2013 – 08/2013 Federal Reserve Bank of KC; Analyst Intern

Exams, Licenses & Other Professional Designations:

- 12/2016: CERTIFIED FINANCIAL PLANNER™, CFP®
- 11/2015: MO State Life & Health Insurance License
- 10/2015: Series 66 Exam
- 09/2015: Series 7 Exam

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP® Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Sanford.

Item 4: Other Business Activities

Mr. Sanford is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Sanford, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Sanford does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Matt Seidel, Managing Member and Chief Compliance Officer of Delta Wealth Solutions, LLC, supervises and monitors Mr. Sanford's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Seidel if you have any questions about Mr. Sanford's brochure supplement at 314-761-9104.

Item 7: Requirements for State-Registered Advisers

Mr. Sanford has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.