

Item 1: Cover Page

This brochure supplement provides information about Mary C. Slane that supplements the TCP Asset Management, LLC's firm Brochure. You should have received a copy of that brochure. Please contact Julianne Call, Chief Compliance Officer, if you did not receive TCP Asset Management, LLC's firm Brochure or if you have any questions about the content of this supplement. Additional information about Mary C. Slane is also available on the Securities and Exchange Commission's website at www.adviserinfo.sec.gov. You may also call 614-761-9378 X 402 or send an email to Ms. Call, Chief Compliance Officer, at the following email address: Julie@TCPasset.com.

TCP Asset Management, LLC

Form ADV, Part 2B – Individual Disclosure Brochure

for

Mary C. Slane

Investment Advisor Representative

CRD No. 4050817

8415 Pulsar Place, Suite 210

Columbus, Ohio 43240

Tele: 614-761-9378 | Fax: 614-441-9945

Email: mary@tcpasset.com

Website: www.tcpasset.com

Date: 04/16/2020

Item 2: Educational Background and Business Experience

Name: Mary C. Slane
Born: 1949
Title: Investment Advisor Representative of
TCP Asset Management, LLC

Education: St. John College; Attended the Nursing Program

Examinations / Licenses: Series 6 – Investment Company Products/Variable
Contracts Representative Examination

Series 63 – Uniform Securities Agent State Law
Examination

Business Background		
Employer	Title	Dates
TCP Asset Management, LLC Dublin, OH	Investment Advisor Representative	01/2017 – Present
United Planners Financial Services Scottsdale, AZ	Registered Representative	01/2017 – Present
Self Employed Dublin, Ohio	Owner	01/1993 – Present
Tendency Capital Management, LLC Dublin, OH	Investment Advisor Representative	12/2015 – 01/2017
Securities America, Inc. Columbus, OH	Registered Representative	12/2015 – 12/2016
Sterne Agee Investment Advisor Services, Inc. Birmingham, AL	Registered Representative	01/2015 – 12/2015
Sterne Agee Financial Services, Inc. Dublin, OH	Registered Representative	09/2014 – 12/2015
WRP Investments, Inc. Youngstown, OH	Registered Representative	05/2003 – 01/2015
Waddell & Reed, Inc. Dublin, OH	Independent Contractor	10/1999 – 05/2003

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

Item 4: Other Business Activities

Ms. Slane is a licensed insurance agent with various insurance companies. In addition, Ms. Slane is a Registered Representative of United Planners Financial Services, an unaffiliated broker-dealer.

Item 5: Additional Compensation

Ms. Slane does not receive any economic benefit from any person, company, or organization, in exchange for providing Client advisory services through TCP Asset Management, LLC other than his compensation paid by the Company, i.e., salary and/or bonuses.

Ms. Slane receives compensation as a licensed insurance agent. And, as a Registered Representative of United Planners, an unaffiliated broker-dealer, she receives commissions based on the sale of securities and other investment products.

For those Investment Advisor Representatives ("IARs") of the Company that are also registered as Registered Representatives ("RR") of United Planners Financial Services ("UP"), an unaffiliated broker-dealer, as well as those IARs that may be licensed insurance agents, either of these situations may cause a conflict of interest. As RRs of UP, the IAR may sell general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuities, and variable life products to advisory Clients and earn a commission on these sales from UP. Some, if not all, of the IARs are also licensed insurance agents and may offer insurance products through unaffiliated insurance entities to the Company's advisory Clients and may earn a commission on any sales. This may create a conflict of interest just by the IAR earning a commission on the sale of securities products or as an insurance agent earning commissions on insurance products. Clients are under no obligation to purchase securities through their IAR in their separate capacity as a RR or insurance products in their separate capacity as an insurance agent. TCP is neither a broker-dealer nor an insurance agency, and therefore, does not offer either securities or insurance products.

Item 6: Supervision

Ms. Slane is supervised by Julianne Call, Chief Compliance Officer. Ms. Call supervises all duties and activities of Ms. Slane. Ms. Slane's contact information is on the cover page of this disclosure document. Ms. Slane adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the Company's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.