

Item 1: Cover Page

This brochure supplement provides information about Krista S. Kramer that supplements the TCP Asset Management, LLC's firm Brochure. You should have received a copy of that brochure. Please contact Julianne Call, Chief Compliance Officer, if you did not receive TCP Asset Management, LLC's firm Brochure or if you have any questions about the content of this supplement. Additional information about Krista S. Kramer is also available on the Securities and Exchange Commission's website at www.adviserinfo.sec.gov. You may also call 614-761-9378 X 402 or send an email to Ms. Call, Chief Compliance Officer, at the following email address: Julie@TCPasset.com.

TCP Asset Management, LLC

Form ADV, Part 2B – Individual Disclosure Brochure

for

Krista Kramer, CRPC[®], CRPS[®]

Investment Advisor Representative

CRD No. 4819260

Main Office

8415 Pulsar Place, Suite 210

Columbus, Ohio 43240

Tele: 614-761-9378 | Fax: 614-441-9945

Email: krista@tcpasset.com

Website: www.TCPasset.com

7702 Bluffton Rd., Suite 201

Tele: 260-833-7154 / Fax: 260-833-7156

Email: krista@tcpasset.com

Date: 04/16/2020

Item 2: Educational Background and Business Experience

Name: Krista S. Kramer
Born: 1982
Title: Investment Advisor Representative of
TCP Asset Management, LLC
Education: Bachelor of Science – Advertising: 2004
Ball State University – Muncie, Indiana
Examinations / Licenses: Series 6 – Investment Company Products/Variable
Contracts Representative Examination

Series 63 – Uniform Securities Agent State Law
Examination

Series 65 – Uniform Investment Adviser Law
Examination

Professional Certifications:

1. Chartered Retirement Planning CounselorSM (CRPC[®]):

Chartered Retirement Planning Counselor is a designation granted by the College for Financial Planning after fulfilling the program's requirements. CRPC[®] certification requirements are as follows:

- Successfully complete the program.
- Pass the final examination.
- Comply with the Code of Ethics.
- Upon completion and obtaining the CRPC[®] designation, you must complete sixteen (16) hours of continuing education.
- Reaffirm to abide by the Standards of Professional Conduct, and
- Pay a biennial renewal fee.

2. Chartered Retirement Plans SpecialistSM or CRPS[®]

The Chartered Retirement Plans SpecialistSM or CRPS[®] designation is the nation's premier credential focusing on retirement plan administration. This designation is awarded to individuals who specialize in creating, implementing and maintaining retirement plans for businesses. The CRPS[®] certification requirements are as follows:

- Complete a course of study encompassing all aspects of retirement plans.
- Pass an end-of-course examination that demonstrates their expertise.

- All designees have agreed to adhere to the Standards of Professional Conduct and are subject to a disciplinary process.
- Designees renew their designation every two-years by completing sixteen (16) hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.
- Pay a nominal fee to continue using the designation.

Business Background		
Employer	Title	Dates
TCP Asset Management, LLC Columbus, OH	Investment Advisor Representative	02/2017 – Present
United Planners Financial Services Scottsdale, AZ	Registered Representative	11/2016 – Present
Tendency Capital Partners Fort Wayne, IN	Retirement Plan Services – Wealth Advisor	01/2017 – Present
Krista Kramer Fort Wayne, IN	Independent Insurance Agent	01/2017 – Present
Lincoln Financial Advisors Corporation Fort Wayne, IN	Registered Representative	06/2004 – 12/2016
Lincoln National Life Insurance Co. Fort Wayne, IN	Administrative / Registered Representative	06/2004 – 09/2007

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

Item 4: Other Business Activities

Ms. Kramer is a licensed insurance agent with various insurance companies. In addition, Ms. Kramer is a Registered Representative of United Planners Financial Services, an unaffiliated broker-dealer.

Item 5: Additional Compensation

Ms. Kramer does not receive any economic benefit from any person, company, or organization, in exchange for providing Client advisory services through TCP Asset Management, LLC other than her compensation paid by the Company, i.e., salary and/or bonuses.

Ms. Kramer receives compensation as a licensed insurance agent. And, as a Registered Representative of United Planners, an unaffiliated broker-dealer, she receives commissions based on the sale of securities and other investment products.

For those Investment Advisor Representatives (“IARs”) of the Company that are also registered as Registered Representatives (“RR”) of United Planners Financial Services (“UP”), an unaffiliated broker-dealer, as well as those IARs that may be licensed insurance agents, either of these situations may cause a conflict of interest. As RRs of UP, the IAR may sell general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuities, and variable life products to advisory Clients and earn a commission on these sales from UP. Some, if not all, of the IARs are also licensed insurance agents and may offer insurance products through unaffiliated insurance entities to the Company’s advisory Clients and may earn a commission on any sales. This may create a conflict of interest just by the IAR earning a commission on the sale of securities products or as an insurance agent earning commissions on insurance products. Clients are under no obligation to purchase securities through their IAR in their separate capacity as a RR or insurance products in their separate capacity as an insurance agent. TCP is neither a broker-dealer nor an insurance agency, and therefore, does not offer either securities or insurance products.

Item 6: Supervision

Ms. Kramer is supervised by Julianne Call, Chief Compliance Officer. Ms. Call supervises all duties and activities of Ms. Kramer. Ms. Kramer’s contact information is on the cover page of this disclosure document. Ms. Kramer adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the Company’s policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.