



rareview  
capital

# Rareview Capital LLC

## Firm Brochure – Form ADV Part 2B

Soundview Plaza  
1266 E. Main Street, Ste 700R  
Stamford, CT 06902  
(203) 539-6067  
[www.rareviewcapital.com](http://www.rareviewcapital.com)

Version Date: March 31<sup>st</sup>, 2020

Neil Azous .....	Page 2
David Panagrossi .....	Page 5
Louis Noschese .....	Page 8
Michael Sedacca.....	Page 11

## Item 1: Cover Page – Neil David Azous

**Supervised Person's Name:** Neil David Azous

**Supervised Person's Title:** Managing Member, Chief Investment Officer, Portfolio Manager

**Supervised Person's Name & Address:** Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067

**Firm Name, Business Address, & Telephone Number:** Rareview Capital LLC, Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067, [www.rareviewcapital.com](http://www.rareviewcapital.com)

**Personal CRD Number:** 3175639

**Supplement Date:** March 31, 2020

*This brochure supplement provides information about Neil David Azous that supplements the Rareview Capital LLC brochure. You should have received a copy of that brochure. Please contact Neil David Azous if you did not receive Rareview Capital LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Neil David Azous is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Item 2: Educational Background and Business Experience

**Born:** 1975

**Education:** BA in Business Administration, Innovation & Technology, University of Washington. Completed graduate level coursework for an MS in Real Estate at New York University.

### **Business Background:**

06/2016 - Present	Founder & Managing Member Rareview Capital LLC
05/2013 - Present	Founder & Managing Member Rareview Macro LLC
06/2013 – 08/2016	Managing Director / Head of Macro Strategies Mischler Financial Group, Inc.
04/2008 - 06/2013	Managing Director Navigate Advisors LLC
01/2004 - 04/2008	Director UBS Investment Bank
07/2001 - 12/2003	Associate Donaldson, Lufkin & Jenrette (Credit Suisse First Boston)
03/1998 - 03/2001	Associate Goldman, Sachs & Co.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Neil David Azous is the Founder & Managing Member of Rareview Macro LLC.

Rareview Macro LLC provides global macro investment related research services to institutional investors and bona fide investment newsletters that are generally available for subscription by the public. Fees are generated through a research-driven (i.e. services agreement) or subscription-based model (i.e. monthly/annual).

Rareview Macro provides institutional investors access to its models and databases, and delivers customized analysis and reports primarily on the fixed income market.

Neil David Azous assists in providing global macro investment related research services.

Sight *Beyond* Sight is a bona fide publication of general and regular circulation offering and is limited to the dissemination of impersonal and objective investment-related information, together with access to additional impersonal investment-related information and links, and does not provide individualized advice or recommendations for any specific subscriber.

Neil David Azous is the Editor-in-Chief of Sight *Beyond* Sight.

Clients are in no way required to utilize the services of any Investment Adviser Representative of Rareview Capital LLC in such individual's outside capacity.

Neil David Azous serves as member of the University of Washington Bothell Advisory Board. The Advisory Board for the University of Washington Bothell provides guidance to the campus on issues surrounding higher education, assists in building relationships with business and civic leaders and advocates for university resources.

## Item 5: Additional Compensation

Neil David Azous does not receive any economic benefit from any person, company, or organization, other than Rareview Capital LLC in exchange for providing clients advisory services through Rareview Capital LLC.

Neil David Azous receives additional economic benefits from Rareview Macro LLC.

## Item 6: Supervision

As the Managing Member and Chief Investment Officer of Rareview Capital LLC, Neil David Azous supervises all activities of the Firm. Neil David Azous adheres to applicable regulatory requirements, together with all policies and procedures outlined in the Firm's code of ethics and compliance manual. Neil David Azous' contact information is on the cover page of this disclosure document.

## Item 1: Cover Page – David Michael Panagrossi

**Supervised Person's Name:** David Michael Panagrossi

**Supervised Person's Title:** Chief Compliance Officer

**Supervised Person's Name & Address:** Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067

**Firm Name, Business Address, & Telephone Number:** Rareview Capital LLC, Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067, [www.rareviewcapital.com](http://www.rareviewcapital.com)

**Personal CRD Number:** 2317860

**Supplement Date:** March 31, 2020

*This brochure supplement provides information about David Michael Panagrossi that supplements the Rareview Capital LLC brochure. You should have received a copy of that brochure. Please contact David Michael Panagrossi if you did not receive Rareview Capital LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about David Michael Panagrossi is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Item 2: Educational Background and Business Experience

**Born:** 1967

**Education:** BA in Marketing, Southern Connecticut State University

### **Business Background:**

06/2017 - Present	Chief Executive Officer & Chief Compliance Officer CMG Securities LLC
11/2016 - Present	Chief Compliance Officer Rareview Capital LLC
06/2014 - Present	Managing Partner SROservices, LLC
10/2010 - Present	Managing Partner Plymouth Notch LLC
06/2016 – 12/2019	Cyber Security Specialist Ferguson & McGuire Insurance Services
04/2014 – 12/2019	Chief Compliance Officer Domus Capital Group, LLC
07/2015 - 09/2015	Chief Compliance Officer AOC Securities LLC

04/2007 - 09/2013	Managing Partner Navigate Advisors LLC
06/1995 - 05/2006	Managing Director UBS Investment Bank and its Predecessors
06/1993 - 07/1995	Sales Trader W.I. Carr America
06/1990 - 06/1993	Sales Trader W.I. Carr Asia Ltd.

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### Item 4: Other Business Activities

David Michael Panagrossi is the Managing Partner of SROservices, LLC, a regulatory compliance and cyber security consultancy business located in Etna, NH. The firm offers an online compliance solution as well as outsourced chief compliance officer services.

David Michael Panagrossi is the Chief Executive Officer and Chief Compliance Officer of CMG Securities LLC (CRD# 289090 / EIN # 82-1798223) based in San Francisco, CA. CMG Securities LLC is a subsidiary of CMG Financial, who employs David Michael Panagrossi.

David Michael Panagrossi is an Advisor to PolySign, Inc., a digital asset custody business located in Oakland, California.

David Michael Panagrossi is the Managing Partner of Plymouth Notch LLC, a ski club located in Plymouth, Vermont that provides weekend winter activities.

David Michael Panagrossi is the Managing Partner of Navigate Holding LLC, a property investment company.

### Item 5: Additional Compensation

David Michael Panagrossi receives additional economic benefits from:

- SROservices, LLC
- CMG Securities LLC
- PolySign, Inc.
- Navigate Holdings LLC
- Plymouth Notch LLC

## Item 6: Supervision

As the Chief Compliance Officer of Rareview Capital LLC, David Michael Panagrossi is responsible for ensuring all required regulations regarding the activities of an Investment Adviser Representative (IAR), as well as all policies and procedures outlined in the Firm's Code of Ethics and compliance manual are adhered to. David Michael Panagrossi adheres to applicable regulatory requirements, together with all policies and procedures outlined in the Firm's code of ethics and compliance manual. David Michael Panagrossi's outside business activities are supervised by Neil David Azous, the Managing Member and Chief Investment Officer of Rareview Capital LLC. David Michael Panagrossi's contact information is on the cover page of this disclosure document.

## Item 1: Cover Page – Louis James Noschese

**Supervised Person's Name:** Louis James Noschese

**Supervised Person's Title:** Director of Marketing

**Supervised Person's Name & Address:** Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067

**Firm Name, Business Address, & Telephone Number:** Rareview Capital LLC, Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067, [www.rareviewcapital.com](http://www.rareviewcapital.com)

**Personal CRD Number:** 846372

**Supplement Date:** March 31, 2020

*This brochure supplement provides information about Louis James Noschese that supplements the Rareview Capital LLC brochure. You should have received a copy of that brochure. Please contact David Michael Panagrossi if you did not receive Rareview Capital LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Louis James Noschese is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Item 2: Educational Background and Business Experience

**Born:** 1950

**Education:** BA in Liberal Arts, College of the Holy Cross

### **Business Background:**

11/2016 - Present	Director of Marketing Rareview Capital LLC
10/2013 - 03/2014	Vice-President, Fixed Income Sales E D & F Man Capital Markets Inc. (CRD# 161014) - New York, NY
12/2011 - 12/2012	Managing Director, Fixed Income Sales Manager Navigate Advisors LLC (CRD# 128143) - Stamford, CT
09/2010 - 12/2011	Vice-President, Fixed Income Sales MF Global Inc. (CRD# 6731) – New York, NY
04/2008 - 08/2010	Managing Director, Fixed Income Sales Manager Navigate Advisors LLC (CRD# 128143) - Stamford, CT
12/2006 - 04/2008	Vice-President, Fixed Income Sales RBS Greenwich Capital (CRD# 11707) - Greenwich, CT
01/1995 - 10/2006	Executive Director, Fixed Income Sales Manager UBS Securities LLC (CRD# 7654) - Stamford, CT



04/1993 - 12/1994	Sales Manager, Fixed Income Bear, Stearns & CO. INC. (CRD# 79) – New York, NY
11/1990 - 02/1993	Fixed Income Sales CRT Government Securities, Ltd. (CRD# 19774)
09/1989 - 09/1990	Fixed Income Sales Dillon, Read & Co. Inc. (CRD# 1650) - Stamford, Ct
06/1985 - 07/1989	Fixed Income Sales Drexel Burnham Lambert Incorporated (CRD# 7323)
03/1979 - 03/1985	Fixed Income Sales Dean Witter Reynolds Inc. (CRD# 7556)
01/1978 - 01/1979	Fixed Income Sales Loeb Partners (CRD# 7534)
12/1977 - 01/1978	Fixed Income Sales LOEB RHOADES & CO. INC. (CRD# 7502)

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### Item 4: Other Business Activities

In connection with the Rareview Longevity Income Generation Fund (the "Fund"), Lou Noschese is a Registered Representative with Quasar Distributors, LLC, the Distributor ("Distributor") of the Fund.

### Item 5: Additional Compensation

Louis James Noschese does not receive any economic benefit from any person, company, or organization, other than Rareview Capital LLC in exchange for providing clients advisory services through Rareview Capital LLC.

### Item 6: Supervision

As an Investment Advisor Representative (IAR) of Rareview Capital LLC, Louis James Noschese is supervised by Neil David Azous, the firm's Managing Member and Chief Investment Officer, and David Panagrossi, the firm's Chief Compliance Officer. David Panagrossi is responsible for ensuring that Louis James Noschese adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Neil Azous or David Panagrossi is (203) 539-6067.

As a Registered Representative (RR) of Quasar Distributors, LLC, Louis James Noschese is supervised by Teresa Cowen, the firm's Chief Compliance Officer. Teresa Cowen is responsible for ensuring that Louis James Noschese adheres to all required regulations regarding the activities of a Registered Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Teresa Cowen is 414-287-3397.

## Item 1: Cover Page – Michael Richmond Sedacca

**Supervised Person's Name:** Michael Richmond Sedacca

**Supervised Person's Title:** Senior Investment Analyst

**Supervised Person's Name & Address:** Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067

**Firm Name, Business Address, & Telephone Number:** Rareview Capital LLC, Soundview Plaza 1266 E. Main Street, Ste 700R, Stamford, CT 06902, Tel 203-539-6067, [www.rareviewcapital.com](http://www.rareviewcapital.com)

**Personal CRD Number:** 6449067

**Supplement Date:** March 31, 2020

*This brochure supplement provides information about Michael Richmond Sedacca that supplements the Rareview Capital LLC brochure. You should have received a copy of that brochure. Please contact Michael Richmond Sedacca if you did not receive Rareview Capital LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Michael Richmond Sedacca is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Item 2: Educational Background and Business Experience

**Born:** 1987

**Education:** Michael Richmond Sedacca has not received any higher education degrees after high school. Michael Richmond Sedacca completed undergraduate level coursework at the University of North Carolina-Chapel Hill from August 2006 to January 2009 where he played collegiate golf.

### **Business Background:**

06/2016 - Present	Senior Investment Analyst Rareview Capital LLC
02/2015 - Present	Senior Investment Analyst Rareview Macro LLC
02/2015 – 08/2016	Institutional Sales Mischler Financial Group, Inc.
10/2014 - 02/2015	Analyst T3 Live LLC
06/2011 - 10/2014	Analyst Minyanville

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Michael Richmond Sedacca is an analyst at Rareview Macro LLC.

Rareview Macro LLC provides global macro investment related research services to institutional investors and bona fide investment newsletters that are generally available for subscription by the public. Fees are generated through a research-driven (i.e. services agreement) or subscription-based model (i.e. monthly/annual).

Rareview Macro provides institutional investors access to its models and databases, and delivers customized analysis and reports primarily on the fixed income market.

Michael Richmond Sedacca assists in providing global macro investment related research services.

Sight *Beyond* Sight is a bona fide publication of general and regular circulation offering and is limited to the dissemination of impersonal and objective investment-related information, together with access to additional impersonal investment-related information and links, and does not provide individualized advice or recommendations for any specific subscriber.

Michael Richmond Sedacca is a contributor to Sight *Beyond* Sight.

Clients are in no way required to utilize the services of any Investment Adviser Representative of Rareview Capital LLC in such individual's outside capacity.

## Item 5: Additional Compensation

Michael Richmond Sedacca does not receive any economic benefit from any person, company, or organization, other than Rareview Capital LLC in exchange for providing clients advisory services through Rareview Capital LLC.

Michael Richmond Sedacca receives additional economic benefits from Rareview Macro LLC.

## Item 6: Supervision

As an Investment Advisor Representative (IAR) of Rareview Capital LLC, Michael Richmond Sedacca is supervised by Neil David Azous, the firm's Managing Member and Chief Investment Officer, and David Panagrossi, the firm's Chief Compliance Officer. David Panagrossi is responsible for ensuring that Michael Richmond Sedacca adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Neil Azous or David Panagrossi is (203) 539-6067.