

April  
2020

# Harbor Ithaka WM

## Investment Adviser

Brochure Supplement for Miguel Garcia Cardona CRD#6847966

This brochure provides information about the qualifications and business practices of Harbor Ithaka WM. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Registration of an investment adviser does not imply any level of skill or training. The oral and written communications received from an adviser provide you with information about which to utilize in determining to hire or retain an investment adviser.

If you have any questions about the contents of this brochure, please contact us at (305) 755-7600 or write to [ncarabano@hbr-itk.com](mailto:ncarabano@hbr-itk.com).

Additional information about Harbor Ithaka WM is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

4040 NE 2nd Avenue, Suite 401. Miami, FL 33137



## Miguel Garcia Cardona

### Educational Background and Business Experience



Miguel Garcia Cardona holds a Bachelor's Degree in Business, Management and International Relations from Oxford Brookes University, UK (2002-2008). He also has studied courses towards a Masters Degree in Financial Markets, Stock Exchanges & Finance from the Instituto de Estudios Bursatiles (IEB) of Madrid, Spain (2009-2010) (An external English exam needed to complete the Master degree title).

More recently, Mr. Garcia Cardona has participated in Stand Out Program in Madrid teaching Finance for Entrepreneurs.

Mr. Garcia Cardona started his financial career in Madrid, managing his family financial portfolio using Value investment methodology. In January 2010, Mr. Garcia Cardona joined New Market Analytics in Caracas, Venezuela, managing the Fundamental Analysis Department. In 2015 Mr. Garcia Cardona joined Harbor Ithaka WM (formerly New Market Analytics) in Miami. Mr Cardona is the Director of Fundamental Analysis and helps contribute in the overall company operations and sales.

### Disciplinary Information

Neither Harbor Ithaka WM, nor any employee or member of the firm has been subject to any disciplinary actions by the Securities Exchange Commission (SEC) or any other regulatory authority.

To the best of our knowledge, Mr. Miguel Garcia Cardona, has not been involved in an event that resulted in an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500; or in a civil, self-regulatory organization, or administrative proceeding involving any of the following events:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.



You may access Miguel Garcia Cardona's regulatory file by following this link to the SEC Investment Adviser Public Disclosure (IAPD) Database:

[http://www.adviserinfo.sec.gov/\(S\(v0s1j0nivr4bef45caxf1155\)\)/IAPD/Content/Search/iapd\\_Search.aspx](http://www.adviserinfo.sec.gov/(S(v0s1j0nivr4bef45caxf1155))/IAPD/Content/Search/iapd_Search.aspx)



## Other Business Activities

Mr. Garcia Cardona serves as one of the Managing Partners of Harbor LIS, LLC, an insurance agency registered in the state of Florida, an affiliated company of Harbor Ithaka WM, LLC.

Mr. Garcia Cardona is founder member of Montcabrer LLC, a company incorporated in the State of Florida which provides Business Consulting Services.

## Supervision

Harbor Ithaka WM has adopted a Code of Ethics that sets forth the basic policies of ethical conduct for all managers, officers, and employees of the adviser. In addition, the Code of Ethics governs personal trading by each employee of Harbor Ithaka WM, deemed to be an Access Person and is intended to ensure that securities transactions effected by Access Persons of Harbor Ithaka WM, are conducted in a manner that avoids any actual or potential conflict of interest between such persons and clients of the adviser or its affiliates. Harbor Ithaka WM, collects and maintains records of securities holdings and securities transactions effected by Access Persons. These records are reviewed to identify and resolve potential conflicts of interest. Harbor Ithaka WM, maintains a code of ethics and they will provide a copy to any client or prospective client upon request.

Harbor Ithaka WM, and/or its investment advisory representatives may from time to time purchase or sell products that they may recommend to clients.

The Firm prohibits itself and its associated persons from benefiting from the short-term market effects of transactions for clients. The Firm gives preference to clients trading over itself. The firm's Chief Compliance Officer, reviews all transactions executed by the Firm on a regular basis, and conducts an additional review of all securities transactions by officers and employees quarterly. Clients may contact Mr. Miguel Garcia Cardona with any questions or concerns regarding their accounts or particular transactions, at (305) 755-7600 or email at [mgarcia@hbr-itk.com](mailto:mgarcia@hbr-itk.com).