

## JEFFREY BERNSTEIN

COWEN PRIME ADVISORS  
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This Brochure Supplement provides information about Jeffrey Bernstein that supplements the Brochure of the ISV Program of Cowen Prime Advisors (“CPA”). You should have received a copy of that Brochure. Please contact us at (646) 562-1010 or at [www.cowencom](http://www.cowencom), Attn: Rep Poppell, Chief Compliance Officer, if you did not receive CPA’s Brochure or if you have any questions about the content of this Supplement.

Additional information about Jeffrey Bernstein is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Educational Background and Business Experience**

Jeffrey Bernstein  
Year of Birth: 1966

#### Education

Union College – 1988 Bachelor of Arts

Investment Banking Institute, New York, New York – 2008 - Corporate Finance Modeling

Business Background (minimum 5 years)

Cowen Prime Advisors, a division of Cowen Prime Services LLC f/k/a/ Concept Asset Management, a division of Concept Capital Markets, LLC  
(May 2015 – Present)

AH Lisanti Capital Growth  
Senior Vice President, Analyst  
(2010 - 2015)

Guild Partners, LLC  
Partner  
(2007 – 2010)

Manhasset Capital Management, LLC  
Founding Partner  
(2003 – 2006)

ING (ING Mid Cap Opportunities Fund)  
Portfolio Manager  
(1998 – 2003)

**Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No such information is applicable to Mr. Bernstein.

**Other Business Activities**

Not applicable

**Additional Compensation**

Not applicable.

**Supervision**

Supervisory personnel will monitor the account activity of accounts managed by Mr. Bernstein on a periodic basis in order to monitor relative performance and adherence to investment criteria. In addition, Mr. Bernstein is required to comply with the firm's Written Supervisory Procedures as they apply to his activities, and various supervisory personnel of the firm have responsibilities for assuring that such activities are properly conducted. The person specifically responsible for generally supervising all investment advisory personnel and their activities on behalf of CPA is Mr. Robert Moore, Global Head of Prime Brokerage Trading (telephone 516-833-8522).