

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
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Steven Deppe
8910 UNIVERSITY CENTER LANE #645
SAN DIEGO, CA 92122

Firm Contact:
Richard Nerad
Chief Compliance Officer

This brochure supplement provides information about Mr. Deppe that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Nerad if you did not receive NDWM LLC *dba* Nerad & Deppe Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Deppe is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #4658856.

Item 2: Educational Background & Business Experience

Steven J. Deppe

Year of Birth: 1981

Educational Background:

- 2003: Boston University, Bachelor of Science in Finance

Business Background:

- 04/2020 – Present; Nerad + Deppe Wealth Management, Managing Member
- 08/2019 – Present; AlphaCore Capital LLC; Investment Adviser Representative
- 08/2009 – 12/2019; Nerad + Deppe Wealth Management, Managing Member
- 06/2007 – 08/2009; Ameriprise Financial, Financial Advisor

Exams, Licenses, & Other Professional Designations:

Chartered Market Technician (CMT®)

The CMT® Program requires candidates to demonstrate proficiency in a broad range of topics in the field of [Technical Analysis](#). The Program consists of three levels. CMT® Level 1 and CMT® Level 2 are multiple choice exams while CMT® Level 3 is in short answer form. The CMT® designation is granted by the Market Technicians Association ("MTA").

The objectives of the CMT® Program are:

- To professionalize the field of Technical Analysis.
- To promote high ethical and professional standards.
- To guide candidates in mastering a professional body of knowledge.

In order to be granted the CMT® designation, all candidates must meet the following requirements:

- Successful completion of all 3 levels of the CMT® Exam.
- Obtained 'Member Status' in the MTA.
- Have been gainfully employed in a professional analytical or investment management capacity for a minimum period of 3 years and must be regularly engaged in this capacity at the time of successfully passing all 3 levels of the CMT® Exam.

The CMT® examinations test the critical knowledge and tasks needed to perform the duties as a technical analyst. The three-part examination consists of:

- CMT® Level 1 (Definition). The Level 1 examination measures basic, entry-level competence and understanding of the candidate. The CMT® Level 1 candidate needs to have a working knowledge of the basic tools of the technical analyst.

- CMT® Level 2 (Definition/Application). The CMT® Level 2 examination requires the candidate to demonstrate a greater depth of analysis and competency. The CMT® Level 2 candidate is expected to demonstrate proficiency in applying more advanced analytical techniques. Overall, the candidate is responsible for the theory and application of concepts and techniques.
- CMT® Level 3 (Integration). The CMT® Level 3 examination tests the candidate on the development of logical and consistent research opinions, portfolio strategies and trading decisions based on a wide range of charts and technical data. The CMT® 3 candidate must pass the ethics portion of this exam or risk failure.

To maintain their status as a CMT® charter-holder, an individual must be a member of the MTA in good standing and abide by the MTA Code of Ethics.

Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Deppe.

Item 4: Other Business Activities

Occasionally, Mr. Deppe writes and submits articles to ProVisors – Professional Network Group, Inc., a national professional networking organization, for cash compensation. Mr. Deppe devotes approximately 4 hours per month to this outside business activity.

Mr. Deppe is also an investment adviser representative of AlphaCore Capital, LLC. Typically, acting as an investment adviser representative for multiple advisory firms present a conflict of interest. However, this arrangement is only temporary until Nerad + Deppe Wealth Management's affiliation with AlphaCore Capital, LLC has been officially terminated.

Item 5: Additional Compensation

Mr. Deppe does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Nerad, Managing Member and Chief Compliance Officer of Nerad + Deppe Wealth Management, supervises and monitors Mr. Deppe's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Nerad if you have any questions about Mr. Deppe's brochure supplement at (858) 457-1325.

Item 7: Requirements for State-Registered Advisers

Mr. Deppe has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.