



**Noven Financial Group, a Division of SRS
Capital Advisors, Inc.
Form ADV Part 2B Brochure Supplement**

**Brian D. Chase
CRD #6581721**

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This brochure supplement provides information about Brian D. Chase that supplements the SRS Capital Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact SRS Capital Advisors, Inc. if you did not receive SRS Capital Advisors, Inc.'s brochure or if you have any questions about the content of this supplement.

*Additional information about Brian D. Chase is available on the SEC's website at
www.adviserinfo.sec.gov.*

Form ADV Part 2B brochure supplements are not approved by the Commission or any state securities authority.

Item 2. Educational Background and Experience:

Brian Douglas Chase was born in 1970. Brian Chase joined Noven Financial Group, Inc. (NFG), in 2016 as a Financial Planning Associate and advisor. In 2020, NFG merged with SRS Capital Advisors (SRS) and operates as a division of SRS. Brian Chase is also a Partner at JDS Professional Group (JDS) which he joined in 2006. Prior to 2006, he was President of Fay, CPA, P.C. which was incorporated in 1994. Fay, CPA, P.C. was formed by Elizabeth A. Fay and Mr. Chase joined the practice in 1994, became partner in 1997, and sole owner in 2003.

Brian Chase has a B.S. in Business Administration with an emphasis in Accounting. He is a Certified Public Accountant (CPA) in the state of Colorado. He is certified as a Personal Financial Specialist (PFS) by the American Institute of Certified Public Accountants (AICPA). He is also a Certified Valuation Analyst (CVA) by the National Association of Certified Valuation Analysts.

Certified Public Accountant (CPA):

CPAs in the state of Colorado must currently complete the following requirements:

- **Educational Requirements:** earned a Bachelor's degree (or its equivalent) in the field with a minimum of 27 hours in accounting classes and 21 hours in general business classes. At least three of the hours must be in auditing; no more than six hours can be concentrated in any other single area
- **Experience Requirements:** an applicant must have worked in public accounting under the direct supervision of a licensed CPA in the state of Colorado for at least one year. The work the applicant has completed must be for the employer's clients and not for the employer and must be in one or more areas of accounting, including auditing, attestation, tax return preparation, or general accounting. The applicant may not have won any part of the business for which he is working or have any other financial interest in it
- **Additional Education in Place of Experience:** an applicant may substitute an additional 30 semester hours of accounting classes beyond those required to obtain a bachelor's degree, for a minimum total of 120 hours, for the one-year work requirement. Of those 120 hours, at least 45 must be in accounting courses and at least 36 must be in business administration
- **Uniform CPA Examination:** currently the Uniform CPA Examination includes four sections; applicants for a CPA license must pass all of them with a score of no lower than 75. The sections cover auditing and attestation, business environment and concepts, financial accounting and reporting, and regulation
- **Ethics Requirement:** current applicants must complete the American Institute of Certified Public Accountants (AICPA) Ethics Course, which is an 11-hour self-guided class available by correspondence or online
- **Application:** once an applicant has completed the above requirements, he must submit an application for a license to the Colorado State Board of Accountancy and pay a fee.

CPAs renew their designation every two years by completing 80 hours of continuing education. Four of these continuing education hours must be in Ethics.

Certified Valuation Analyst (CVA)

The CVA credential is a professional designation awarded by the National Association of Certified Valuation Analysts to individuals who obtain knowledge in the business valuation sector and apply that knowledge through valuation engagements. Individuals who hold the CVA designation have completed a course of study encompassing business valuation concepts. CVAs also must pass a written exam, pass a review of a sample case study, and hold a CPA license or have equivalent experience.

All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.

Designees renew their designation every three years by completing 60 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct, and complying with self-disclosure requirements.

Personal Financial Specialist (PFS)

The PFS credential is a financial planning designation awarded by the American Institute of Certified Public Accountants (AICPA) to individuals who specialize in helping individuals plan all aspects of their wealth. Individuals who hold the PFS must first be a CPA. They must also meet the following requirements:

- Be an AICPA member in good standing and agree to comply with continuing professional education and re-accreditation requirements
- Have at least three years of personal financial planning experience, which must be documented with letters from clients and peers
- Demonstrated special competence by passing a comprehensive financial planning examination

Designees renew their designation every three years by completing continuing education requirements. In addition, CPAs with the PFS designation must periodically evaluate and document the professional quality of their practices to ensure that they meet the highest standards of professionalism and integrity. The CPA/PFS must also continue to practice personal financial planning. If the nature of the CPA's practice changes and the number of financial planning hours drops below the minimum required, the CPA will lose the PFS designation.

Item 3. Disciplinary Information:

None

Item 4. Other Business Activities:

Brian Chase is a Partner of JDS Professional Group. JDS is a Certified Public Accounting firm. JDS clients may become NFG/SRS clients. The practice of referring clients of JDS to NFG/SRS may present a conflict of interest. Clients of JDS are not required to become clients of NFG/SRS.

Brian Chase has a solicitation agreement with Triumph Capital, LLC. Triumph Capital, LLC is an independent firm with securities offered through Summit Brokerage Services, Inc. Advisory services at Triumph Capital, LLC are offered through Cetera Investment Advisers, LLC, a registered investment advisor.

Item 5. Additional Compensation:

Brian Chase receives additional compensation from other business activities he performs for JDS. Brian Chase is also compensated for referring clients to Triumph Capital, LLC. The practice of referring clients for compensation may present a conflict of interest. JDS clients are not required to become clients of NFG/SRS or Triumph Capital, LLC.

Item 6. Supervision:

SRS Capital Advisors, Inc. closely monitors the recommendations and planning provided by all of its investment professionals. This is done through monthly and annual compliance meetings, random Associate audits, planned file reviews, clear and concise internal policies and procedures, and strict adherence to all industry laws and regulations. For additional information regarding our internal quality and control procedures please contact: Michael P. Riordan, Managing Director at SRS Capital Advisors at (412) 741-4200; or, via email at: mriordan@srscap.com.