

KCD Financial, Inc.

Form ADV Part 2B – Individual Disclosure Brochure

for

Douglas Day

Investment Adviser Representative

KCD Financial, Inc.

4741 Rollins Road

Augusta, GA 30906

706-862-3887

KCD Financial, Inc.

3061 Allied St.

Suite B

Green Bay, WI 54304

920-347-3400

This Brochure Supplement provides information about Douglas Day that supplements the KCD Financial, Inc. Brochure. KCD Financial is a registered investment adviser with the US Securities and Exchange Commission and a brokerage firm with FINRA. Registration does not imply a certain level of skill or training. You should have received a copy of that Brochure. Please contact David Wilson, CCO, at 920-347-3400 or at dave@kcdfinancial.com if you did not receive KCD Financial, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas Day is available on the SEC's website at www.adviserinfo.sec.gov...(CRD #2490471)

Dated – April 1, 2020

Item 2- Educational Background and Business Experience

Name: Douglas Day

Year of Birth: 1955

Formal Education:

Psychology Major/Augusta State University

-Augusta, GA 1974-1975

Associates, Metallurgy & Weldments/Augusta Technical College

-Augusta, GA 1976-1980

AWS-CWI/American Weld Society-Certified Weld Inspector (Nuclear)

-Vogtle-Southern Company, SRS-Savannah River Site 1990-1994

Designations

Series 65 Uniform Investment Adviser Law Examination

10/1999

The Series 65 exam — the NASAA Investment Advisers Law Examination — is a North American Securities Administrators Association (NASAA) exam administered by FINRA. The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, he/she must correctly answer at least 94 of the 130 scored questions.

For additional information about this exam, including the content outline, please visit the exams page on the [NASAA website](#).

Business Background:

Firm Name	Firm CRD	Start Date	End Date	TITLE:
KCD FINANCIAL INC. INVESTMENT ADVISORY FIRM	127473	01/28/2020	PRESENT	INVESTMENT ADVISER REPRESENTATIVE
KCD FINANCIAL INC – BROKERAGE FIRM	127473	01/2/2020	PRESENT	REGISTERED REPRESENTATIVE
MOLONEY SECURITIES ASSET MANAGEMENT LLC	282448	12/2016	12/2019	REGISTERED REPRESENTATIVE
MOLONEY SECURITIES CO., INC.	38535	01/2010	12/2019	REGISTERED REPRESENTATIVE
MOLONEY INVESTMENT ADVISORY LLC	282140	12/2015	12/2016	REGISTERED REPRESENTATIVE

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Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Douglas Day has no disciplinary events to report.

Additional information may be available on FINRA's website at www.finra.org/brokercheck or at the SEC at adviserinfo.sec.gov (CRD#1652878).

Item 4- Other Business Activities

Douglas Day is a registered representative and an investment advisor representative of KCD Financial, Inc., a brokerage firm located at 3061 Allied St. Suite B, Green Bay, WI 54304. From time to time he will offer clients advice or products from those activities. Client should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. KCD Financial, Inc. as a registered investment advisory firm acts in the best interest of the client, including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of KCD Financial, Inc. in their capacity as a registered representative.

Douglas Day is also licensed as an insurance agent and is in the business of selling life and accident and health insurance. Douglas Day may receive normal commissions through the sale of these insurance products which create a similar potential conflict of interest as in the previous paragraph. . Clients are able to purchase the recommended insurance products through another un-affiliated firm

Item 5- Additional Compensation

Other than salary or commissions from his role as a registered representative, Douglas Day does not receive any economic benefit from any person, company, or organization in exchange for providing client advisory services through KCD Financial, Inc.

Item 6 - Supervision

David Wilson, Chief Compliance Officer, (phone 920-347-3400) is the supervising principal responsible for supervising Mr. Day's advisory activities on behalf of KCD Financial, Inc. As the CCO, David Wilson may delegate the compliance tasks. Mr. Wilson or his designee shall review and approve all transactions and paperwork for advisory accounts. On a quarterly basis he reviews all advisory fees that will be charged for advisory accounts. He also approves fees for all accounts managed by the representative directly.

