

DOUGHERTY & COMPANY LLC

**90 SOUTH SEVENTH STREET  
SUITE 4300  
MINNEAPOLIS, MN 55402-4108**

**800-328-4000 OR 612-376-4000 (PHONE)  
612-376-4102 (FAX)**

**WWW.DOUGHERTYMARKETS.COM**

MEMBER SIPC/FINRA

DOUGHERTY & COMPANY LLC

**ADV PART 2  
BROCHURE SUPPLEMENT  
JULY, 2011**

**PETREA K. KLEIN  
DOUGHERTY & COMPANY, LLC  
120 NORTH 3RD ST. PO Box 2157  
BISMARCK, ND 58502**

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EMAIL: PKLEIN@DOUGHERTYMARKETS.COM  
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THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ABOUT MS. KLEIN THAT SUPPLEMENTS THE DOUGHERTY & COMPANY BROCHURE. YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT US AT 800-328-4000 IF YOU DID NOT RECEIVE DOUGHERTY & COMPANY'S BROCHURE, OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS SUPPLEMENT.

ADDITIONAL INFORMATION ABOUT YOUR REPRESENTATIVE IS AVAILABLE ON FINRA BROKERCHECK AT WWW.FINRA.ORG

## **EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE**

PETREA KLEIN, BORN 1972, IS AN INVESTMENT ADVISER REPRESENTATIVE WITH DOUGHERTY & COMPANY, LLC (D&CO).

PETREA JOINED D&CO IN 2002 AS A VICE PRESIDENT IN OUR PRIVATE CLIENT GROUP.

EDUCATION: JAMESTOWN COLLEGE, BA MATHEMATICS & UNIVERSITY OF MINNESOTA CARLSON SCHOOL OF MANAGEMENT, MBA FINANCE

BUSINESS EXPERIENCE: 03/2002 - PRESENT  
(PAST 5 YEARS) DOUGHERTY & COMPANY  
VICE PRESIDENT

## **DISCIPLINARY INFORMATION**

PETREA DOES NOT HAVE ANY DISCIPLINARY DISCLOSURES TO BE REPORTED.

## **OTHER BUSINESS ACTIVITIES**

PETREA IS NOT INVOLVED WITH ANY OTHER INVESTMENT ADVISORY-RELATED BUSINESS OR OCCUPATION OR ANY OTHER BUSINESS OR OCCUPATION FOR COMPENSATION, OTHER THAN AS A REGISTERED REPRESENTATIVE OF D&CO AS A BROKER-DEALER.

## **ADDITIONAL COMPENSATION**

PETREA DOES NOT RECEIVE ANY EXTRANEOUS ECONOMIC BENEFITS FOR PROVIDING ADVISORY SERVICES, SUCH AS SALES AWARDS OR OTHER PRIZES FOR CLIENT REFERRALS.

## **SUPERVISION**

PETREA REPORTS TO JEFFREY JACOBSON, SENIOR VICE PRESIDENT. MR. JACOBSON REGULARLY REVIEWS CLIENT ACCOUNTS, AND CAN BE REACHED AT 612-376-4150.