

DOUGHERTY & COMPANY LLC

**90 SOUTH SEVENTH STREET  
SUITE 4300  
MINNEAPOLIS, MN 55402-4108**

**800-328-4000 OR 612-376-4000 (PHONE)  
612-376-4102 (FAX)**

**WWW.DOUGHERTYMARKETS.COM**

MEMBER SIPC/FINRA

DOUGHERTY & COMPANY LLC

**ADV PART 2  
BROCHURE SUPPLEMENT  
JULY, 2011**

**JOHN E. KIRKEBY  
DOUGHERTY & COMPANY, LLC  
12 1/2 SOUTH 3RD ST. SUITE 203B  
GRAND FORKS, ND 58201**

**OFFICE PHONE: 877-738-0029  
REP PHONE: 701-738-0020  
EMAIL: JKIRKEBY@DOUGHERTYMARKETS.COM  
WWW.DOUGHERTYMARKETS.COM**

THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ABOUT MR. KIRKEBY THAT SUPPLEMENTS THE DOUGHERTY & COMPANY BROCHURE. YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT US AT 800-328-4000 IF YOU DID NOT RECEIVE DOUGHERTY & COMPANY'S BROCHURE, OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS SUPPLEMENT.

ADDITIONAL INFORMATION ABOUT YOUR REPRESENTATIVE IS AVAILABLE ON FINRA BROKERCHECK AT WWW.FINRA.ORG

## **EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE**

JOHN KIRKEBY, BORN 1958, IS AN INVESTMENT ADVISER REPRESENTATIVE WITH DOUGHERTY & COMPANY, LLC (D&CO).

JOHN JOINED D&CO IN 2005 AS A INVESTMENT EXECUTIVE IN OUR PRIVATE CLIENT GROUP.

EDUCATION: VALLEY CITY STATE

BUSINESS EXPERIENCE: 09/2005 - PRESENT  
(PAST 5 YEARS) DOUGHERTY & COMPANY  
INVESTMENT EXECUTIVE

## **DISCIPLINARY INFORMATION**

JOHN DOES NOT HAVE ANY DISCIPLINARY DISCLOSURES TO BE REPORTED.

## **OTHER BUSINESS ACTIVITIES**

JOHN IS NOT INVOLVED WITH ANY OTHER INVESTMENT ADVISORY-RELATED BUSINESS OR OCCUPATION OR ANY OTHER BUSINESS OR OCCUPATION FOR COMPENSATION, OTHER THAN AS A REGISTERED REPRESENTATIVE OF D&CO AS A BROKER-DEALER.

## **ADDITIONAL COMPENSATION**

JOHN DOES NOT RECEIVE ANY EXTRANEOUS ECONOMIC BENEFITS FOR PROVIDING ADVISORY SERVICES, SUCH AS SALES AWARDS OR OTHER PRIZES FOR CLIENT REFERRALS.

## **SUPERVISION**

JOHN REPORTS TO MARK JOHNSON, VICE PRESIDENT. MR. JOHNSON REGULARLY REVIEWS CLIENT ACCOUNTS, AND CAN BE REACHED AT 701-356-9300.