

DOUGHERTY & COMPANY LLC

**90 SOUTH SEVENTH STREET  
SUITE 4300  
MINNEAPOLIS, MN 55402-4108**

**800-328-4000 OR 612-376-4000 (PHONE)  
612-376-4102 (FAX)**

**WWW.DOUGHERTYMARKETS.COM**

MEMBER SIPC/FINRA

DOUGHERTY & COMPANY LLC

**ADV PART 2  
BROCHURE SUPPLEMENT  
JULY, 2011**

**CHARLES E. HANISH  
DOUGHERTY & COMPANY, LLC  
4133 30TH AVE. S, SUITE 102  
FARGO, ND 58104**

**OFFICE PHONE: 888-356-9315  
REP PHONE: 701-356-9300  
EMAIL: CHANISH@DOUGHERTYMARKETS.COM  
WWW.DOUGHERTYMARKETS.COM**

THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ABOUT MR. HANISH THAT SUPPLEMENTS THE DOUGHERTY & COMPANY BROCHURE. YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT US AT 800-328-4000 IF YOU DID NOT RECEIVE DOUGHERTY & COMPANY'S BROCHURE, OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS SUPPLEMENT.

ADDITIONAL INFORMATION ABOUT YOUR REPRESENTATIVE IS AVAILABLE ON FINRA BROKERCHECK AT WWW.FINRA.ORG

## **EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE**

CHARLES HANISH, BORN 1948, IS AN INVESTMENT ADVISER REPRESENTATIVE WITH DOUGHERTY & COMPANY, LLC (D&Co).

CHARLES JOINED D&Co IN 2009 AS A SR. VICE PRESIDENT IN OUR PRIVATE CLIENT GROUP.

EDUCATION:           ST. JOHN'S UNIVERSITY, BACHELOR OF ARTS, 1970  
UNIVERSITY OF NORTH DAKOTA, MASTER'S, 1973

BUSINESS EXPERIENCE:   11/2009 - PRESENT  
DOUGHERTY & COMPANY  
SR. VICE PRESIDENT

01/2008 - 11/2009  
WACHOVIA SECURITIES LLC  
REGISTERED REP / BRANCH MANAGER

08/1987 - 01/2008  
A.G. EDWARDS & SONS, INC.  
REGISTERED REP / BRANCH MANAGER

01/1980 – 08/1987  
MERRILL LYNCH  
REGISTERED REP / BRANCH MANAGER

## **DISCIPLINARY INFORMATION**

CHARLES DOES NOT HAVE ANY DISCIPLINARY DISCLOSURES TO BE REPORTED.

## **OTHER BUSINESS ACTIVITIES**

CHARLES IS NOT INVOLVED WITH ANY OTHER INVESTMENT ADVISORY-RELATED BUSINESS OR OCCUPATION OR ANY OTHER BUSINESS OR OCCUPATION FOR COMPENSATION, OTHER THAN AS A REGISTERED REPRESENTATIVE OF D&Co AS A BROKER-DEALER.

## **ADDITIONAL COMPENSATION**

CHARLES DOES NOT RECEIVE ANY EXTRANEOUS ECONOMIC BENEFITS FOR PROVIDING ADVISORY SERVICES, SUCH AS SALES AWARDS OR OTHER PRIZES FOR CLIENT REFERRALS.

## **SUPERVISION**

CHARLES REPORTS TO MARK JOHNSON, VICE PRESIDENT. MR. JOHNSON REGULARLY REVIEWS CLIENT ACCOUNTS, AND CAN BE REACHED AT 701-356-9300.