

# Brochure Supplement

DECEMBER 27, 2018

**Connor C. Keller**



1741 Tiburon Drive  
Wilmington, NC 28403

(910) 550-2261

This Brochure Supplement provides information about Connor C. Keller that supplements the Disclosure Brochure of Live Oak Private Wealth, LLC (hereinafter "LOPW"), a copy of which you should have received. Please contact LOPW's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Connor C. Keller is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Live Oak Private Wealth, LLC, a Registered Investment Adviser**

1741 Tiburon Drive, Wilmington, NC 28403 | (910) 550-2261  
[www.liveoakprivatewealth.com](http://www.liveoakprivatewealth.com)

## Item 2. Educational Background and Business Experience

Born 1976

### Post-Secondary Education

Denison University | Bachelor of Arts, History | 1998

### Recent Business Background

Live Oak Private Wealth, LLC | Managing Director | August 2018 – Present

Scott and Stringfellow | Vice President | September 2004 – August 2018

## Item 3. Disciplinary Information

LOPW is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Connor C. Keller. LOPW has no information to disclose in relation to this Item.

## Item 4. Other Business Activities

LOPW is required to disclose information regarding any investment-related business or occupation in which Connor C. Keller is actively engaged. LOPW has no information to disclose in relation to this Item.

## Item 5. Additional Compensation

LOPW is required to disclose information regarding any arrangement under which Connor C. Keller receives an economic benefit from someone other than a client for providing investment advisory services. LOPW has no information to disclose in relation to this Item.

## Item 6. Supervision

John W. Coleman III, Chief Investment Officer, is generally responsible for supervising Connor C. Keller's advisory activities on behalf of LOPW. The telephone number to reach Mr. Coleman is (910) 790-8567.

LOPW supervises its personnel and the investments made in client accounts. LOPW monitors the investments recommended by Connor C. Keller to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. LOPW periodically reviews the advisory activities of Connor C. Keller, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Connor C. Keller.

### **Item 7. Requirements for State Registered Advisers**

LOPW is required to disclose information regarding Connor C. Keller's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. LOPW has no information to disclose in relation to this Item.