

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
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Michael T. Breazeale

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Firm Contact:
Michael Breazeale
Chief Compliance Officer

This brochure supplement provides information about Mr. Breazeale that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Breazeale if you did not receive SteamPunk Investments, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Breazeale is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #2544551.

Item 2: Educational Background & Business Experience

Michael Thomas Breazeale

Year of Birth: 1961

Educational Background:

- 1988: University of New Orleans; Bachelor of Science in Finance

Business Background:

- 05/2018 – Present SteamPunk Investments, LLC; Owner & Chief Compliance Officer
- 11/2010 – 03/2018 Juncture Wealth Strategies, LLC; Chief Investment Officer
- 07/2010 – 10/2010 Sabbatical
- 07/2007 – 06/2010 Wells Fargo; Senior Director of Investments

Exams, Licenses & Other Professional Designations:

- 09/1994: Series 63 Exam
- 09/2002: Series 66 Exam
- 08/1993: Chartered Financial Analyst (CFA®)
- 10/2011: Chartered Alternative Investment Analyst (CAIA)
- 09/2012: Financial Risk Management (FRM)
- 11/2016: Certificate in Investment Performance Measurement (CIPM)

Chartered Financial Analyst (CFA®)

The CFA® charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA® Institute — the largest global association of investment professionals. To earn the CFA® charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA® Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA® Institute Code of Ethics and Standards of Professional Conduct. The CFA® Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. To learn more about the CFA® charter, visit www.CFAinstitute.org.

There are no continuing education requirements for the CFA® designation. However, the CFA® Institute recommends that members complete a minimum of 20 hours of continuing education activities, including a minimum of two hours in the content areas of Standards, Ethics and Regulations (SER) each calendar year.

Chartered Alternative Investment Analyst (CAIA)

The CAIA Charter is administered by the Chartered Alternative Investment Analyst Association and requires a comprehensive understanding of core and advanced concepts regarding alternative investments, structures, and ethical obligations. To qualify for the CAIA Charter, finance professionals must complete a self-directed, comprehensive course of study on risk-return attributes of institutional quality alternative assets; pass both the Level I and Level II CAIA examinations at global, proctored testing centers; attest annually to the terms of the Member Agreement; and hold a US

bachelor's degree (or equivalent) plus have at least one year of professional experience or have four years of professional experience. Professional experience includes full-time employment in a professional capacity within the regulatory, banking, financial, or related fields. Once a qualified candidate completes the CAIA program, he or she may apply for CAIA membership and the right to use the CAIA designation, providing an opportunity to access ongoing educational opportunities.

Financial Risk Manager (FRM)

Financial Risk Manager refers to a professional designation issued by the Global Association of Risk Professionals (GARP). The GARP FRM accreditation is globally recognized as the premier certification for financial risk professionals. It is suitable for individuals who want specialized knowledge in assessing risk on behalf of banks, insurance companies, accounting firms, regulatory agencies and asset management firms. The FRM certification is awarded after a candidate has passed two rigorous multiple-choice exams (FRM Exam Part I and Part II) and demonstrated two years of relevant work experience.

Certificate in Investment Performance Measurement (CIPM)

The Certificate in Investment Performance Measurement signifies competency in the evaluation of the investment performance of investment firms. Moreover, the CIPM designation develops an individual's skills in risk evaluation, manager selection, and investment reports based on accountability. It is issued by the CFA Institute and has two levels: Level I and Level II. Annually, all CIPM designation holders are required to complete 15 credit hours of continuing professional development to maintain their CIPM designation.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Breazeale.

Item 4: Other Business Activities

Mr. Breazeale is a consultant for Juncture Wealth Strategies, LLC. As a part of this role, Mr. Breazeale dedicates 12 hours per week fulfilling responsibilities such as participating in staff conference calls, producing economic/market commentaries, consulting Juncture's Chief Investment Officer, portfolio analysis of Juncture's prospective clients (where he provides consult only) and doing administrative tasks related to investment trading execution and investment operations. No clients are solicited.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 5: Additional Compensation

Mr. Breazeale does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Breazeale is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

Mr. Breazeale has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.