

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
May 2018

Michael Fessler

Westlake Wealth Management, LLC
310 S. Oak Street, Suite 208
Roanoke, TX 76262

Firm Contact:
JR Matthews
Managing Member

This brochure supplement provides information about Mr. Fessler that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Matthews if you did not receive Westlake Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Fessler is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6218319.

Item 2: Educational Background & Business Experience

Michael D. Fessler
Year of Birth: 1987

Educational Background:

- 2013: University of North Texas – Denton; Master of Business Administration in Finance
- 2011: Eastern New Mexico University – Portales; Bachelor of Business Administration in Accounting

Business Background:

- 05/2018 – Present Westlake Wealth Management, LLC; Managing Member, Chief Compliance Officer, & Investment Adviser Representative
- 07/2013 – 05/2018 Edward Jones; Financial Advisor
- 09/2012 – 06/2013 Textron; Staff Accountant

Exams, Licenses & Other Professional Designations:

- 2016: CERTIFIED FINANCIAL PLANNER, CFP®
- 2013: Series 7 & 66 Exams
- 2013 Texas Insurance Licensed (Life, Accident, Health and HMO)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Fessler.

Item 4: Other Business Activities

Mr. Fessler is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Fessler, as a fiduciary, will act in the client’s best interest.

Item 5: Additional Compensation

Mr. Fessler does not receive any other economic benefit for providing advisory services in addition to advisory fees.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 6: Supervision

JR Matthews, Managing Member of Westlake Wealth Management, LLC, supervises and monitors Mr. Fessler's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Matthews if you have any questions about Mr. Fessler's brochure supplement at (682) 207-6694.

Item 7: Requirements for State-Registered Advisers

Mr. Fessler has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.