

Item 1 – Cover Page

RYAN ERICKSON  
TANDEM FINANCIAL, LLC

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July 07, 2018

[www.tandem-financial.com](http://www.tandem-financial.com)

This ADV Part 2B (“Brochure Supplement”) provides information about Ryan Erickson that supplements Tandem Financial, LLC’s (“Tandem Financial”) Form ADV Part 2A (“Brochure”). You should have received a copy of that Brochure. Please contact us at (720) 845-5700 or [Michael@tandem-financial.com](mailto:Michael@tandem-financial.com) if you did not receive a copy of the Firm’s Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ryan Erickson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable IARD/CRD number for Ryan Erickson is #3128391.

## **Item 2 – Educational Background and Business Experience**

### **Ryan Erickson:**

Year of Birth: 1977

#### **Education:**

B.S.B.A. – Finance, University of Denver, 1999

#### **Employment:**

03/2018 – Present: Investment Advisor Representative – Tandem Financial, LLC

04/2011 – 02/2018: Investment Advisor Representative - Dodds Wealth Management Group

09/2009 – 02/2018: Investment Advisor Representative - LPL Financial LLC

04/2005 – 02/2018: Financial Consultant - Dodds Wealth Management Group

10/2002 – 02/2018: Registered Representative – LPL Financial LLC

07/1999 – 10/2002: Registered Representative – IDS Life Insurance Company

07/1999 – 10/2002: Registered Representative – American Express Financial Advisors, Inc.

#### **Professional Designations:**

Mr. Erickson earned a CFP certificate in 2005. The CFP (Certified Financial Planner) is a professional certification granted by the Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP mark, an individual must attain a bachelor’s degree from a US college or university and complete a college level course of study covering financial planning topics including insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. The individual must also pass a comprehensive 10 hour exam, complete at least three years of full time financial planning related experience, and agree to be bound by the CFP Board’s Standards of Professional Conduct. In addition, to maintain the right to continue to use the mark, an individual must complete 30 hours of continuing education hours every two years and continue to agree to be bound by the Standards of Professional Conduct.

## **Item 3 – Disciplinary Information**

There is no disciplinary information to disclose regarding Mr. Erickson.

#### **Item 4 – Other Business Activities**

Mr. Erickson is a registered representative of Purshe Kaplan Sterling Investments, a FINRA registered brokerage firm, and may receive commissions or other compensation related to sales of investment company and annuity products.

Mr. Erickson is also licensed to provide various insurance products and may earn compensation related to his insurance sales activities.

While Tandem Financial will endeavor at all times to put the interest of clients first as part of its fiduciary duty, clients should be aware that the opportunity to receive additional compensation by Tandem Financial or Tandem Financial related persons creates a conflict of interest and may affect the judgment of individuals who make recommendations. However, our clients have the right to purchase products and services from any party they wish and are under no obligation to purchase products recommended by our associated persons or to purchase products through Tandem Financial or Tandem Financial associated persons. We believe that our recommendations are in the best interests of our clients and are consistent with our clients' needs.

#### **Item 5 – Additional Compensation**

Mr. Erickson does not receive any additional compensation (or other economic benefit) for providing investment advisory services other than that mentioned in Item 4 above.

#### **Item 6 – Supervision**

Michael Kevin Franklin is the Chief Compliance Officer of Tandem Financial, LLC and supervises the firm in the areas of client services and advice, investment policies, forms and procedures, day to day operations, general management of the firm and compliance related matters.

#### **Item 7 – State Required Information**

State registered investment adviser representatives are required to disclose all material facts regarding certain legal, disciplinary or financial events that would be material to the evaluation of the representative. Mr. Erickson is currently not subject to, nor has ever been subject to, any legal, disciplinary or financial events of this nature.