

Item 1 – Cover Page

RYAN ERICKSON
TANDEM FINANCIAL, LLC

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Highlands Ranch, CO 80130

(720) 845-5700

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www.tandem-financial.com

This ADV Part 2B (“Brochure Supplement”) provides information about Ryan Erickson that supplements Tandem Financial, LLC’s (“Tandem Financial”) Form ADV Part 2A (“Brochure”). You should have received a copy of that Brochure. Please contact us at (720) 845-5700 or Michael@tandem-financial.com if you did not receive a copy of the Firm’s Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ryan Erickson is available on the SEC’s website at www.adviserinfo.sec.gov. The searchable IARD/CRD number for Ryan Erickson is #3128391.

Item 2 – Educational Background and Business Experience

Ryan Erickson:

Year of Birth: 1977

Education:

B.S.B.A. – Finance, University of Denver, 1999

Employment:

03/2018 – Present: Investment Advisor Representative – Tandem Financial, LLC

04/2011 – 02/2018: Investment Advisor Representative - Dodds Wealth Management Group

09/2009 – 02/2018: Investment Advisor Representative - LPL Financial LLC

04/2005 – 02/2018: Financial Consultant - Dodds Wealth Management Group

10/2002 – 02/2018: Registered Representative – LPL Financial LLC

07/1999 – 10/2002: Registered Representative – IDS Life Insurance Company

07/1999 – 10/2002: Registered Representative – American Express Financial Advisors, Inc.

Examinations/Designations:

Series 63 (Uniform Securities Agent State Law Examination) 08/16/1999 Series

7 (General Securities Representative Examination) 07/22/1999

Certified Financial Planner - 2005

Item 3 – Disciplinary Information

There is no disciplinary information to disclose regarding Mr. Erickson.

Item 4 – Other Business Activities

Mr. Erickson is a registered representative of Purshe Kaplan Sterling Investments, a FINRA registered brokerage firm, and may receive commissions or other compensation related to sales of investment company and annuity products. The receipt of this compensation may create an incentive to make certain recommendations, which is a potential conflict of interest. However, clients are under no obligation to purchase products recommended by our associated persons, and our associated persons are required to only make recommendations in a client's best interest. Mr. Erickson is also licensed to provide various insurance products and may earn compensation related to his insurance sales activities.

While Tandem Financial will endeavor at all times to put the interest of clients first as part of its fiduciary duty, clients should be aware that the receipt of additional compensation by Tandem

Financial or Tandem Financial related persons creates a potential conflict of interest, and may affect the judgment of individuals who make recommendations. However, our clients are under no obligation to purchase products recommended by our associated persons or to purchase products through Tandem Financial or Tandem Financial associated persons. We believe that our recommendations are in the best interests of our clients and are consistent with our clients' needs.

Item 5 – Additional Compensation

Mr. Erickson does not receive any additional compensation (or other economic benefit) for providing investment advisory services.

Item 6 – Supervision

Michael Kevin Franklin is the Chief Compliance Officer of Tandem Financial, LLC and supervises the firm in the areas of client services and advice, investment policies, forms and procedures, day to day operations, general management of the firm and compliance related matters.

Item 7 – State Required Information

State registered investment adviser representatives are required to disclose all material facts regarding certain legal, disciplinary or financial events that would be material to the evaluation of the representative. Mr. Erickson is currently not subject to, nor has ever been subject to, any legal, disciplinary or financial events of this nature.