

*This brochure supplement provides information about Leigh B. Harns that supplements the BDT & Associates, Inc. brochure. You should have received a copy of that brochure. Please contact Leigh B. Harns if you did not receive BDT & Associates, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Leigh B. Harns is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **BDT & Associates, Inc.**

d/b/a Invicta Financial Group

Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Leigh B. Harns**

Personal CRD Number: 6268718

Investment Adviser Representative

BDT & Associates, Inc.  
11440 West Bernardo Court, Ste. 300  
San Diego, CA 92127  
(858) 753-1728  
[leigh@invictafg.com](mailto:leigh@invictafg.com)

UPDATED: 10/05/2018

## **Item 2: Educational Background and Business Experience**

**Name:** Leigh B Harns      **Born:** 1990

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Arts Psychology, San Diego State University - 2013

#### **Business Background:**

01/2018 - Present	Investment Adviser Representative BDT & Associates, Inc.
10/2013 - Present	Lead Director of Client Services BDT & Associates, Inc.
01/2011 - 10/2013	Academic Coach Helix Charter High School
01/2008 - 05/2013	NA Student

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Leigh B. Harns is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. BDT & Associates, Inc. always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of BDT & Associates, Inc. in their capacity as a licensed insurance agent.

## Item 5: Additional Compensation

Leigh B. Harns does not receive any economic benefit from any person, company, or organization, other than BDT & Associates, Inc. in exchange for providing clients advisory services through BDT & Associates, Inc..

## Item 6: Supervision

As a representative of BDT & Associates, Inc., Leigh B. Harns is supervised by Kimberly K. Davis, the firm's Chief Compliance Officer. Kimberly K. Davis is responsible for ensuring that Leigh B. Harns adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Kimberly K. Davis is (928) 920-6500.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Leigh B Harns has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Leigh B. Harns has not been the subject of a bankruptcy petition.