

Item 1: Cover Page

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IARD/CRD Number: 286071

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This brochure provides information about the qualifications and business practices of Silverleaf Advisor Group, LLC. If you have any questions about the contents of this brochure, please contact us at 402-934-7200 or justin@silverleafwealth.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Silverleaf Advisor Group, LLC is a registered investment adviser. Registration of an investment adviser does not imply any level of skill or training.

Additional information about Silverleaf Advisor Group, LLC is also available on the SEC's website at www.adviserinfo.sec.gov. Silverleaf Advisor Group, LLC's CRD number is 286071.

Item 2: Summary of Material Changes

This is the initial filing, so there are no material changes to report.

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ITEM 4 – ADVISORY BUSINESS

Silverleaf Advisor Group, LLC (“Silverleaf”) was organized in November 2016. Justin Gibson is the sole member. We provide investment advice to individuals, retirement plans, trusts, estates, charitable organizations, corporations and other business entities. We also provide advice to clients on financial planning, retirement planning, estate planning, tax planning which may include mortgages, automobiles, 529 plans, and other similar financial matters. Advice may be provided on matters that include, but are not limited to, life insurance, property and casualty insurance, and long-term care insurance.

Investment Management

We use a time-tested, disciplined approach to investing. We are a “total portfolio” manager using an active, diversified investment approach. We believe that a portfolio should be diversified, and excess returns can be achieved by overweighting undervalued asset classes and investment styles. Typically, we tailor our portfolios to the individual needs of our clients by evaluating the client’s investment guidelines and objectives which we use to guide us in making investment decisions for each client. If you desire, you may impose restrictions on the securities or types of securities you would like us to invest in.

Financial Planning

Sound financial planning services can help clients identify the strengths and weaknesses of their long-term financial health. We have years of experience in this area and sophisticated software tools available to assist our clients in developing comprehensive financial plans that guide them toward the accomplishment of their goals.

Retirement Planning

Retirement planning and financial planning are not one and the same. We have worked with many clients through their earning years and into the distribution phase of their lives. We assist clients with the management of their portfolios to ensure longevity through retirement while at the same time providing needed income. We have experience working with clients on a range of retirement planning issues, including rollover of 401(k) plans, level of income needed for retirement and tax-efficient distribution of after-tax and before-tax assets.

Tax Planning

Whether it’s the sale of a security, the exercise of a stock option, the transfer of real estate or the gifting of appreciated securities, advanced planning regarding the tax impact of a transaction is critical. Our team has many years of experience in assisting clients with tax issues. Our goal is to help our clients minimize their lifetime tax liability so they can hold onto the hard-earned dollars they work their entire careers to amass.

Assets under Management

Since this is the initial filing, there is no assets under management to report.

ITEM 5 – FEES AND COMPENSATION

We offer our services on a fee basis, which may include hourly and/or fixed fees, as well as fees based upon assets under management. Additionally, certain of our Advisory representatives, in their individual capacities, may offer securities brokerage services and insurance products under a commission arrangement. The firm reserves the right, in its sole discretion, to negotiate, reduce or waive the advisory fee for certain client accounts and for any period of time as determined by the firm.

Financial Planning and Consulting Services Fees

We may charge a fixed fee and/or hourly fee for financial planning and consulting services. These fees are negotiable, depending upon the level and scope of the services and the professional rendering the financial planning and/or the consulting services. The fees generally range from \$1,500 to \$5,000 annually on a fixed fee basis for financial planning services, or \$1,000 to \$10,000 on a fixed fee basis for consulting services. In addition, consulting services may be offered on an hourly basis for a fee ranging from \$100 to \$300 per hour.

Prior to engaging us to provide financial planning and/or consulting services, the client and firm are required to enter into a written agreement setting forth the terms and conditions of the engagement, including the amount of the fee. The balance is generally due upon delivery of the financial plan or completion of the agreed upon services.

The agreement for financial planning services is an ongoing relationship and may be terminated by either party upon written notice to the other party. The agreement for consulting services terminates upon delivery of the analysis or review. Upon termination, any prepaid, unearned fees will be returned to the client, based upon the time and effort completed prior to termination of the agreement. Any fees owed to the firm upon termination will be billed to the client at that time. No refunds will be made after delivery of the services. The client will receive full disclosure and details of all arrangements in the agreement.

Investment Management Fee for Individual Investors

We provide investment management services for an annual fee based upon a percentage of the market value of the assets being managed. Our annual fee is exclusive of, and in addition to brokerage commissions, transaction fees, and other related costs and expenses which are incurred by the client. We do not, however, receive any portion of these commissions, fees, and costs. Our annual fee is prorated and charged quarterly, in advance, based upon the market value of the assets being managed by us on the last day of the previous quarter. The annual fee is negotiable and varies (between 0.00% and 1.50%) depending upon the market value of the assets under management and the type of investment management services to be rendered. The client will receive full disclosure and details of all arrangements in the agreement.

Investment Management Fee for Institutional Investors

We also provide investment management services to institutional investors, primarily foundations, non-profit organizations, pension and qualified plans, for an annual fee based upon a percentage of the market value of the assets being managed by us. Our annual fee is exclusive of, and in addition to brokerage commissions, transaction fees, and other related costs and expenses which are incurred by the client. We do not receive any portion of these commissions, fees, and costs. This annual fee is prorated and charged quarterly, in advance, based upon the market value of the assets being advised upon by us on the last day of the previous quarter. The annual fee is negotiable and varies (between 0.10% and 1.00%) depending upon the market value of the assets under management and the type of investment management services to be rendered. The client will receive full disclosure and details of all arrangements in the agreement.

Transaction Charges and IRA Custodial Fees

The transaction charge of \$9.00 assessed by LPL for equity and exchange traded fund transactions is lower than the charges customarily imposed by LPL when processing similar transactions for similar accounts. In addition, LPL may waive the IRA custodial fees for our client's

accounts depending on the circumstances. This is because we have entered into an arrangement based on the scope of business we engage in with LPL, including the amount of client assets the firm holds with LPL. The reduced transaction charge and waived IRA custodial fees presents an incentive for us to recommend you use LPL as the custodian and executing broker/dealer for your account so that all of our clients continue to receive the favorable transaction charges. That said; we believe this arrangement benefits you because the transaction charges and IRA custodial fees are lower than they would be normally. As a result, we believe that using LPL to custody and execute transactions for your account is consistent with our duty to obtain best execution. Please note that LPL will advise you of its standard transaction charges and IRA custodial fees when you open your account with LPL. LPL also has the right to impose its standard transaction charges and discontinue waiving the IRA custodial fees in the future if the scope of our business with LPL changes.

Fees for Management during Partial Quarters of Service

For the initial period of investment management services, the fees are calculated on a pro rata basis. The Agreement will continue in effect until terminated by either party pursuant to the terms of the Agreement. Our fees are prorated through the date of termination and any remaining balance is charged or refunded to the client, as appropriate. If assets are deposited into or withdrawn from an account after the inception of a quarter, the fee payable with respect to such assets will be adjusted or prorated based on the number of days remaining in the quarter.

Commissions or Sales Charges for Recommendations of Securities

Clients can engage certain persons associated with the firm (but not the firm itself) to render securities brokerage services under a commission arrangement. Clients are under no obligation to engage such persons and may choose brokers or agents not affiliated with this firm. Under this arrangement, clients may implement securities transactions through certain of our advisory representatives in their respective individual capacities as registered representatives of LPL, an SEC registered broker-dealer and member of FINRA. LPL may charge brokerage commissions to effect these securities transactions and thereafter, a portion of these commissions may be paid by LPL to such advisory representatives. Prior to effecting any transactions clients are required to enter into a new account agreement with LPL. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. We do not charge an advisory fee on the same assets for which its advisory representatives receive commissions.

First and foremost, our objective as a firm is to place nothing before the best interests of our clients. However, a conflict of interest exists to the extent that advisory representatives can recommend the purchase of securities where they receive commissions or other additional compensation as a result. The potential receipt of commissions provides an incentive for advisory representatives to recommend investment products based on compensation they will receive from selling such products, rather than on the client's needs. We do not allow advisors to earn a commission on products that are included within our advisory accounts.

We take the following steps to mitigate the possibility that the advisory representatives will recommend an investment product based on potential commission rather than on the client's needs: we address the inherent conflicts as noted in the paragraph above, by disclosing them to you in this Brochure and disclosure is made to the client at the time a brokerage account is opened through LPL, identifying the nature of the transaction or relationship, the role to be played

by LPL and the advisory representative, individually, and any compensation (e.g. commissions) to be paid by the client.

We use Charles Schwab & Co., Inc. or LPL Financial as our primary custodians. Through our use of custodial services, you may incur certain trading costs in addition to our fees which are further explained below in Item 12.

ITEM 6 – PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

We do not charge performance-based fees nor do we engage in side-by-side management.

ITEM 7 – TYPES OF CLIENTS

We provide investment advice to individuals, retirement plans, trusts, estates, charitable organizations, corporations and other business entities.

ITEM 8 – METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

Each Investment Adviser Representative determines his/her investment strategies to follow when managing client accounts. The following provides information regarding the methods of analysis and investment strategies that may be used by our advisory representatives.

Methods of Analysis

Fundamental analysis involves the fundamental financial condition and competitive position of a company. We will analyze the financial condition, capabilities of management, earnings, new products and services, as well as the company's markets and position amongst its competitors in order to determine the recommendations made to clients. The primary risk in using fundamental analysis is that while the overall health and position of a company may be good, market conditions may negatively impact the security.

Technical analysis involves the analysis of past market data rather than specific company data in determining the recommendations made to clients. Technical analysis may involve the use of charts to identify market patterns and trends which may be based on investor sentiment rather than the fundamentals of the company. The primary risk in using technical analysis is that spotting historical trends may not help to predict such trends in the future. Even if the trend will eventually reoccur, there is no guarantee that we will be able to accurately predict such a reoccurrence.

Cyclical analysis is similar to technical analysis in that it involves the analysis of market conditions at a macro (entire market/economy) or micro (company specific) level, rather than the overall fundamental analysis of the health of the particular company that we are recommending. The risks with cyclical analysis are similar to those of technical analysis.

Investment Strategies

Our philosophy represents an evolution of investment thought that moves beyond simple "Buy and Hold" and basic Asset Allocation to that of Strategy Diversification. It focuses more directly on how investments interact and relate to each other, looking closely at how investments are managed, how they seek to gain their returns, how they address risk exposures, and perhaps most importantly, what their correlations are to one another.

We believe that Strategy Diversification can more effectively address portfolio volatility than basic Asset Allocation across stock and bond asset classes by utilizing strategies with defensive mechanisms, and low or uncorrelated strategies that have return characteristics unrelated to the stock and bond markets. Strategy Diversification is designed to recognize that investments have different characteristics to achieve return and address risk. By diversifying and actively allocating across these various strategies, we strive to build portfolios to address each client's long-term financial plan with more consistent returns and lower portfolio volatility.

With respect to our Retirement Plan Consulting Services, we strive to recommend diversified investment alternatives that plan sponsors may consider for investment or to make available to participants.

Risk of Loss

Mutual Funds and Exchange Traded Funds (ETFs)

An investment in a mutual fund or ETF involves risk, including the loss of principal. Mutual fund and ETF shareholders are necessarily subject to the risks stemming from the individual issuers of the fund's underlying portfolio securities. Such shareholders are also liable for taxes on any fund-level capital gains, as mutual funds and ETFs are required by law to distribute capital gains in the event they sell securities for a profit that cannot be offset by a corresponding loss.

Shares of mutual funds are generally distributed and redeemed on an ongoing basis by the fund itself or a broker acting on its behalf. The trading price at which a share is transacted is equal to a fund's stated daily per share net asset value ("NAV"). The per-share NAV of a mutual fund is calculated at the end of each business day, although the actual NAV fluctuates with intraday changes to the market value of the fund's holdings. The trading prices of a mutual fund's shares may differ significantly from the NAV during periods of market volatility, which may, among other factors, lead to the mutual fund's shares trading at a premium or discount to NAV.

Shares of ETFs are listed on securities exchanges and transacted at negotiated prices in the secondary market. Generally, ETF shares trade at or near their most recent NAV, which is generally calculated at least once daily for indexed-based ETFs and more frequently for actively managed ETFs. However, certain inefficiencies may cause the shares to trade at a premium or discount to their pro rata NAV. There is also no guarantee that an active secondary market for such shares will develop or continue to exist. Generally, an ETF only redeems shares when aggregated as creation units (usually 50,000 shares or more). Therefore, if a liquid secondary market ceases to exist for shares of a particular ETF, a shareholder may have no way to dispose of such shares.

Market Risks

The profitability of a significant portion of our recommendations or selections may depend to a great extent upon correctly assessing the future course of price movements of various securities in which it invests. There can be no assurance that we will be able to predict those price movements accurately.

Management through Similarly Managed Accounts

For most clients, we generally manage portfolios by allocating portfolio assets among various mutual funds/ securities on a discretionary basis using one or more of its proprietary investment strategies (collectively referred to as "investment strategy"). In so doing, we buy, sell,

exchange and/or transfer shares of mutual funds, ETFs and other securities based upon the investment strategy.

Our management using the investment strategy complies with the requirements of Rule 3a-4 of the Investment Company Act of 1940, as amended. Rule 3a-4 provides similarly managed accounts, such as the investment strategy, with a safe harbor from the definition of an investment company.

The investment strategy may involve an above-average portfolio turnover that could negatively impact the net after-tax gain experienced by an individual client. Securities in the investment strategy are usually exchanged and/or transferred without regard to a client's individual tax ramifications. Certain investment opportunities that become available to clients may be limited. As further discussed in response to Item 12B (below), we allocate investment opportunities among clients on a fair and equitable basis.

Options

Options allow investors to buy or sell a security at a contracted "strike" price (not necessarily the current market price) at or within a specific period of time. Clients may pay or collect a premium for buying or selling an option. Investors transact in options to either hedge (limit) losses in an attempt to reduce risk or to speculate on the performance of the underlying securities. Options transactions contain a number of inherent risks, including the partial or total loss of principal in the event that the value of the underlying security or index does not increase/decrease to the level of the respective strike price. Holders of options contracts are also subject to default by the option writer which may be unwilling or unable to perform its contractual obligations.

General Risk of Loss

Investing in securities involves the risk of loss. Clients should be prepared to bear such loss.

ITEM 9 – DISCIPLINARY INFORMATION

We, nor anyone on our management team, have been, or is currently, subject to any criminal, civil or disciplinary action.

ITEM 10 – OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

Receipt of Securities Commission

We participate in LPL Financial's hybrid RIA program. As such, some of the investment advisory representatives are also registered representatives of LPL. In such capacity, those advisory representatives may receive commissions for recommending the purchase or sale of securities. As a result of this relationship, LPL may have access to certain confidential information (e.g., financial information, investment objectives, transactions and holdings) about our clients, even if the client does not establish any account through LPL. Any client who would like a copy of the LPL privacy policy may contact the firm.

Receipt of Insurance Commission

Certain of the firm's advisory representatives, in their individual capacities, are also licensed insurance agents with various insurance companies, and in such capacity, may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. Although we do not sell such insurance products to our investment advisory clients, we do permit the advisory representatives, in their individual capacities as licensed insurance agents, to sell

insurance products to our investment advisory clients. A conflict of interest exists to the extent that we recommend the purchase of insurance products where its advisory representatives receive insurance commissions or other additional compensation.

ITEM 11 – CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

We recognize that the personal investment transactions of the associated persons of the firm necessitates the implementation and strict adherence to a robust set of values, or Code of Ethics. We have adopted such a Code that sets forth the standards of conduct expected of its associated persons and requires compliance with applicable securities laws (“Code of Ethics”). In accordance with Section 204A of the Investment Advisers Act of 1940 (the “Advisers Act”), our Code of Ethics contains written policies reasonably designed to prevent the unlawful use of material non-public information by any of our associated persons. The Code of Ethics also requires that certain personnel (called “Access Persons”) report their personal securities holdings and transactions and obtain pre-approval of certain investments such as initial public offerings and limited offerings.

Unless specifically permitted in our Code of Ethics, none of our Access Persons may effect for themselves or for their immediate family (i.e., spouse, minor children, and adults living in the same household as the Access Person) any transactions in a security which is being actively purchased or sold on behalf of any of Silverleaf’s clients.

When we are purchasing or considering for purchase any security on behalf of a client, no Access Person may effect a transaction in that security prior to the completion of the purchase or until a decision has been made not to purchase such security. Similarly, when we are selling or considering the sale of any security on behalf of a client, no Access Person may effect a transaction in that security prior to the completion of the sale or until a decision has been made not to sell such security. These requirements are not applicable to: (i) direct obligations of the Government of the United States; (ii) money market instruments, bankers’ acceptances, bank certificates of deposit, commercial paper, repurchase agreements and other high quality short-term debt instruments, including repurchase agreements; (iii) shares issued by mutual funds or money market funds; and (iv) shares issued by unit investment trusts that are invested exclusively in one or more mutual funds. A copy of our Code of Ethics is available upon request to any of our clients or prospective clients.

ITEM 12 – BROKERAGE PRACTICES

We do not maintain physical custody of the assets we manage on your behalf. Your assets must be maintained in an account at a “qualified custodian,” generally defined as a broker-dealer or bank. At the current time we require clients to select and direct either LPL and Schwab as the sole and exclusive broker/dealer and custodian to execute transactions for investment management accounts. All investment management transactions will be processed without commissions. While we believe that these custodians have execution procedures that are designed to obtain the best execution possible, there can be no assurance that best execution will be obtained. Clients should understand that not all advisors require their clients to direct brokerage to a specific firm. By directing brokerage to LPL and Schwab, clients may be unable to achieve the most favorable execution for client transactions. Therefore, directed brokerage may cost clients more money.

Factors which we consider in recommending LPL or any other broker-dealer to clients include their respective financial strength, reputation, execution, pricing, research and service. The commissions and/or transaction fees charged by LPL and Schwab may be higher or lower than

those charged by other financial institutions.

We receive support services and/or products from LPL and Schwab, many of which assist us to better monitor and service accounts. These support services and/or products may be received without cost, at a discount, and/or at a negotiated rate, and may include the following:

- investment-related research
- pricing information and market data
- software and other technology that provide access to client account data
- compliance and/or practice management-related programs and publications
- consulting services
- attendance at conferences, meetings, and other educational and/or social events
- marketing support
- transition assistance for new advisory representatives
- electronic communication network
- duplicate client confirmations and statements
- computer hardware and/or software
- other products and services used by us in the furtherance of its investment advisory business

These support services noted above are provided to the firm based on the overall relationship between our firm and LPL and Schwab. It is not the result of soft dollar arrangements or any other express arrangements that involves the execution of client transactions as a condition to the receipt of services. We will continue to receive the above services regardless of the volume of client transaction executed with LPL and Schwab. Clients do not pay more for services as a result of this arrangement. There is no corresponding commitment made our firm to LPL and Schwab or any other entity to invest any specific amount or percentage of client assets in any specific securities as a result of the above.

Please note, however, that LPL may provide the firm with a loan to assist us with our business operation and expansion plans. This loan may be forgiven by LPL based on the scope of business we engage in with LPL, including the amount of our client assets retained at LPL. In particular, LPL may require that the firm maintain a specified level of client assets with LPL and that we use reasonable efforts to use LPL for at least a specified percentage of new client assets. We also receive the following types of compensation from LPL:

Reimbursements for marketing related expenses. The marketing related activities may include, but are not necessarily limited to, brochures, website design services, business cards, letterhead, and other marketing collateral.

Reimbursements for technology costs. The technology costs may include, but are not necessarily limited to, purchases of hardware, purchases of software, implementation and training for new systems.

Payments for transitioning new advisory representatives to the firm to assist in transferring accounts onto the LPL platform. The monetary assistance may be in the form of upfront cash, or forgivable or non-forgivable loan(s).

These arrangements present conflicts of interest in that the firm has a financial incentive to recommend that you maintain your account with LPL in order to have the loan forgiven or to continue to receive certain cost reimbursements. However, to the extent we recommend you use LPL for services, it is because we believe that it is the client's best interest to do so based on the quality and pricing of the execution, benefits of an integrated platform for brokerage and advisory accounts, and other services provided by LPL.

We entered into an arrangement with LPL in order to receive lower than customary charges for the execution of equity and exchange traded fund transactions in accounts for which LPL serves as custodian and executing broker/dealer. In addition, LPL will waive the IRA custodial fees for retirement accounts. This favorable pricing to the client remains in place as long as we meet certain conditions in terms of the scope of business relationship with LPL. Please see detailed discussion of the conditions and implications of the arrangement in Item 5, Fees and Compensation.

The commissions paid by brokerage clients comply with our duty to obtain "best execution." Clients may pay commissions that are higher than another qualified Financial Institution might charge to effect the same transaction where we determine that the commissions are reasonable in relation to the value of the brokerage and research services received. In seeking best execution, the determinative factor is not the lowest possible cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of a financial institution's services, including among others, the value of research provided, execution capability, commission rates, and responsiveness. We seek competitive rates but may not necessarily obtain the lowest possible commission rates for client transactions.

We periodically and systematically review our policies and procedures regarding the recommendation of LPL and Schwab in light of our duty to obtain best execution.

Transactions for each client generally will be effected independently, unless we decide to purchase or sell the same securities for several clients at approximately the same time. We may (but are not obligated to) combine or "batch" such orders to obtain best execution, to negotiate more favorable commission rates, or to allocate equitably among our clients' differences in prices and commissions or other transaction costs that might have been obtained had such orders been placed independently. Under this procedure, transactions will generally be averaged as to price and allocated among our clients pro rata to the purchase and sale orders placed for each client on any given day. To the extent that we determine to aggregate client orders for the purchase or sale of securities, including securities in which our advisory representatives may invest, we will do so in accordance with applicable rules promulgated under the Advisers Act and no-action guidance provided by the staff of the U.S. Securities and Exchange Commission. We do not receive any additional compensation or remuneration as a result of the aggregation. In the event that the firm determines that a prorated allocation is not appropriate under the particular circumstances, the allocation will be made based upon other relevant factors, which may include: (i) when only a small percentage of the order is executed, shares may be allocated to the account with the smallest order or the smallest position or to an account that is out of line with respect to security or sector weightings relative to other portfolios, with similar mandates; (ii) allocations may be given to one account when one account has limitations in its investment guidelines which prohibit it from purchasing other securities which are expected to produce similar investment results and can be purchased by other accounts; (iii) if an account reaches an investment guideline limit and cannot participate in an allocation, shares may be reallocated to other accounts (this may be due to

unforeseen changes in an account's assets after an order is placed); (iv) with respect to sale allocations, allocations may be given to accounts low in cash; (v) in cases when a pro rata allocation of a potential execution would result in a de minimis allocation in one or more accounts, we may exclude the account(s) from the allocation; the transactions may be executed on a pro rata basis among the remaining accounts; or (vi) in cases where a small proportion of an order is executed in all accounts, shares may be allocated to one or more accounts on a random basis.

Within Retirement Plan Consulting Services, we may assist with investment recommendations to the retirement plan sponsor. This could include research and recommendations for consideration and selection by the plan sponsor, of specific investments to be held in the plan or, in the case of a participant-directed defined contribution plan, to be made available as an investment option under the plan. The plan sponsor is responsible for the selection of any vendor, broker/dealer or custodian for plan assets, and is responsible for placing any transactions deemed appropriate.

Commissions or Sales Charges for Recommendations of Securities

As discussed above, certain advisory representatives in their respective individual capacities are registered representatives of LPL. These advisory representatives are subject to FINRA Rules which restricts registered representatives from conducting securities transactions away from their broker-dealer unless LPL provides written consent. Therefore, clients are advised that certain advisory representatives may be restricted to conducting securities transactions through LPL unless they first secure written consent from LPL to execute securities transactions through a different broker-dealer. Absent such written consent or separation from LPL, these advisory representatives are prohibited from executing securities transactions through any broker-dealer other than LPL under LPL internal supervisory policies.

We are also cognizant of our duty to obtain best execution from all execution sources and have implemented policies and procedures reasonably designed in such pursuit.

ITEM 13 – REVIEW OF ACCOUNTS

Your accounts are under continuous review by our investment professionals. Portfolio reviews are conducted frequently to judge the appropriateness of securities held in your account. Accounts are reviewed if there is an extraordinary event such as abnormal performance of a mutual fund or individual equity, if there is a change in a mutual fund manager or if there is a significant market swing. Justin Gibson reviews all accounts and each Investment Adviser Representative is assigned his/her respective accounts under management. In addition to the monthly written statements that our clients receive from Schwab through the mail or via email our clients receive quarterly, semiannual, or annual reviews that include, but are not limited to, evaluation and review of securities currently held in an account, performance review, and review of activity in the account since the last review.

ITEM 14 – CLIENT REFERRALS AND OTHER COMPENSATION

We are required to disclose any relationship or arrangement where we receive an economic benefit from a third party (non-client) for providing advisory services. In addition, we are required to disclose any direct or indirect compensation that we provide for client referrals. At this time, we do not use the services of solicitors, affiliated or non-affiliated.

As a result of our relationship with LPL, we may receive production bonuses, stock or stock options to purchase shares of LPL's parent company, and other things of value such as free or

reduced-cost attendance at LPL's national sales conference or top producer forums and events. Such compensation may be based on overall business produced and/or the amount of assets serviced through LPL. Thus, there is a financial incentive for us to recommend that you select LPL as the custodian for your investment management account so that we will be compensated. We take our responsibilities to clients very seriously and we will only recommend that clients hire us for management services if we believe it is appropriate and in the client's best interests.

In addition, we may receive an economic benefit from LPL Financial in the form of a loan, which is forgiven if we meet certain conditions in terms of maintaining a relationship with LPL Financial. We also receive payments from LPL to reimburse for marketing related expenses, technology costs, and to pay for transitioning new advisory representatives to the firm. Please see detailed discussion of the conditions and potential conflicts of interest in Item 12 Brokerage Practices.

We receive an economic benefit from Schwab in the form of the support products and services it makes available to us and other independent investment advisors that have their clients maintain accounts at Schwab. These products and services, how they benefit us, and the related conflicts of interest are described above (see Item 12- Brokerage Practices). The availability to us of Schwab's products and services is not based on us giving particular investment advice, such as buying particular securities for our clients.

ITEM 15 – CUSTODY

We do not have custody of client funds or securities. If there is any activity in a client account, clients receive monthly statements from the account custodian. If there is no activity in a client account, clients receive quarterly statements from the account custodian. Clients should carefully review those statements.

ITEM 16 – INVESTMENT DISCRETION

Typically, we manage accounts on a discretionary basis. This means that you give us full and complete discretion and authority with respect to the management of your assets, including the authority to purchase, sell, exchange, convert and trade your assets, to choose broker-dealers and to subcontract and use sub-advisers. If you desire, you may impose restrictions on the securities or types of securities you would like us to invest in. We will not exercise any discretionary authority when providing Retirement Plan Consulting Services.

ITEM 17 – VOTING CLIENT SECURITIES

We will not vote proxies on behalf of client accounts. Although we may, on rare occasions and only at the client's request, offer clients advice regarding corporate actions and the exercise of proxy voting rights.

ITEM 18 – FINANCIAL INFORMATION

We do not require or solicit prepayment of more than \$500 in fees per client, six months or more in advance. As an advisory firm that maintains discretionary authority for client accounts and is deemed to have custody of some assets, we are also required to disclose any financial condition that is reasonably likely to impair our ability to meet our contractual obligations. We have no additional financial circumstances to report.

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IARD/CRD Number: 4818322

December 1, 2016

This brochure supplement provides information about Justin Gibson that supplements the Silverleaf Advisor Group, LLC brochure. You should have received a copy of that brochure. Please contact Justin Gibson, Chief Compliance Officer, at 402-934-7200 if you did not receive Silverleaf Advisor Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Justin Gibson also is available on the SEC's website at www.adviserinfo.sec.gov. Silverleaf's CRD number is 286071.

ITEM 2 Educational Background and Business Experience

Justin Gibson

Born 1981

Post-Secondary Education:

Bellevue University, Bachelor in Business Administration, Bellevue, NE 06/2006 – 01/2007

Attended Metropolitan Community College, Omaha, NE 12/2002-05/2005

He has passed Series 7 (08/2004) and Series 66 (08/2004) Examinations.

Recent Business Background:

Silverleaf Advisor Group, LLC, Investment Adviser Representative, Chief Compliance Officer, and sole member, 11/2016 – Present

WealthPLAN Partners, Investment Adviser Representative, 04/2015 – 12/2016

LPL Financial, LLC, Registered Representative, 04/2015 – Present

Silverleaf Consulting LLC, President, 10/2014 – Present

Roxie LLC, President, 08/2013 – Present

Gibson Financial, Inc. dba Silverleaf Wealth Management, Director, 01/2008 – Present

Waddell & Reed, Insurance Agent and Registered Representative, 10/2004 – 04/2015

ITEM 3 Disciplinary Information

There are no legal or disciplinary events that are related to the above listed supervised person.

ITEM 4 Other Business Activities

Justin Gibson does not have a pending application to register as a registered representative, an associated person of a futures commission merchant, a commodity pool operator, or a commodity trading adviser.

Justin is required to disclose information regarding any investment-related business or occupation in which Justin is actively engaged. Currently, Justin has disclosed the following outside business activities:

From month/year	To month/year	Business Name	Position Held	Description of Duties	Hours spent per month on activity
10/2014	Present	Silverleaf Consulting	President	Advisor/Consultant to Aircraft Owners	20
08/2013	Present	Roxie LLC	President	Owner/Director Airplane Charter Service	20
01/2008	Present	Gibson Financial Inc	Director	N/A	200
10/2004	Present	Various Insurance Carriers	Insurance Agent	Insurance Sales	0

Registered Representative of a Broker-Dealer

Justin Gibson is a registered representative of LPL Financial, LLC, an SEC registered broker-dealer and member of FINRA. In this capacity, Justin may provide securities brokerage services and implement securities transactions under a commission based arrangement. Justin may be entitled to a portion of the brokerage commissions paid to LPL Financial, LLC. He may also be entitled to a portion of any ongoing distribution or service ("trail") fees from the sale of mutual funds.

A conflict of interest exists to the extent that the IAR can recommend the purchase of securities where he receives commissions or other additional compensation as a result. The potential receipt of commissions provides an incentive for IAR to recommend investment products based on compensation he might

receive from selling such products. However, Silverleaf does not allow advisors to earn commission on products that are included within advisory accounts.

IAR is also a licensed insurance agent. In this capacity, the IAR can sell insurance products such as fixed and variable life insurance products to clients and receive normal and customary commission compensation. You are under no obligation to purchase insurance products through this IAR on a commissionable basis. The IAR may receive other compensation such as fixed or variable life trails. The potential for receipt of commissions and other compensation gives the IAR an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this conflict, clients purchasing insurance from this IAR will receive certain disclosure documents (e.g., prospectus) and complete additional documentation to complete the transaction. All insurance activity is supervised directly by the Silverleaf CCO. Justin devotes approximately 25% of his time to commission securities business.

ITEM 5 Additional Compensation

Silverleaf's Supervised Persons do not receive additional compensations for advisory services other than from the sale of insurance products previously described.

ITEM 6 Supervision

Justin Gibson, Chief Compliance Officer, is responsible for monitoring the activities of Silverleaf's supervised persons. Justin Gibson's telephone number is 402-934-7200. Mr. Gibson supervises the firm personnel and the investments made in client accounts. Mr. Gibson monitors the investments recommended by the IARs to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Mr. Gibson periodically reviews the advisory activities of each IAR, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by the IAR. All of Silverleaf's supervised persons receive the Investment Adviser Supervisory Manual and Code of Ethics and are asked to annually certify to their understanding of the material.

Curtis Krueger
Silverleaf Advisor Group, LLC

12910 Pierce Street, Suite 100
Omaha, NE 68144
(402) 934-7200

website: www.silverleafwealth.com

IARD/CRD Number: 2237386

December 1, 2016

This brochure supplement provides information about Curtis Krueger that supplements the Silverleaf Advisor Group, LLC brochure. You should have received a copy of that brochure. Please contact Justin Gibson, Chief Compliance Officer, at 402-934-7200 if you did not receive Silverleaf Advisor Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Curtis Krueger also is available on the SEC's website at www.adviserinfo.sec.gov. Silverleaf's CRD number is 286071.

ITEM 2 Educational Background and Business Experience

Curtis Krueger

Born 1969

Post-Secondary Education:

Bellevue University, MBA Finance, Bellevue, NE 03/2002 – 12/2008

University of Nebraska, BS Business Management, Kearney, NE 09/1987-06/1991

He has passed Series 4 (07/1994), Series 7, (09/1993), Series 24 (03/1997), Series 55 (02/2002), Series 63 (10/1993) and Series 65 (03/2003) Examinations.

Recent Business Background:

Silverleaf Advisor Group, LLC, Investment Adviser Representative, 12/2016 – Present

WealthPLAN Partners, Investment Adviser Representative, 04/2015 – 12/2016

LPL Financial, LLC, Registered Representative, 04/2015 – Present

Silver Lining Properties LLC, Owner, 11/2010 – 04/2015

ACV LLC, Managing Member, 11/2009 – 10/2010

Waddell & Reed, Insurance Agent and Registered Representative, 12/2008 – 04/2015

ITEM 3 Disciplinary Information

There are no legal or disciplinary events that are related to the above listed supervised person.

ITEM 4 Other Business Activities

Curtis Krueger does not have a pending application to register as a registered representative, an associated person of a futures commission merchant, a commodity pool operator, or a commodity trading adviser.

Curtis is required to disclose information regarding any investment-related business or occupation in which Curtis is actively engaged. Currently, Curtis has disclosed the following outside business activities:

From month/year	To month/year	Business Name	Position Held	Description of Duties	Hours spent per month on activity
02/2009	Present	Various Insurance Carriers	Insurance Agent	Insurance Sales	0

Registered Representative of a Broker-Dealer

Curtis Krueger is a registered representative of LPL Financial, LLC, an SEC registered broker-dealer and member of FINRA. In this capacity, Curtis may provide securities brokerage services and implement securities transactions under a commission based arrangement. Curtis may be entitled to a portion of the brokerage commissions paid to LPL Financial, LLC. He may also be entitled to a portion of any ongoing distribution or service ("trail") fees from the sale of mutual funds.

A conflict of interest exists to the extent that the IAR can recommend the purchase of securities where he receives commissions or other additional compensation as a result. The potential receipt of commissions provides an incentive for IAR to recommend investment products based on compensation he might receive from selling such products. However, Silverleaf does not allow advisors to earn commission on products that are included within advisory accounts.

IAR is also a licensed insurance agent. In this capacity, the IAR can sell insurance products such as fixed and variable life insurance products to clients and receive normal and customary commission compensation. You are under no obligation to purchase insurance products through this IAR on a commissionable basis. The IAR may receive other compensation such as fixed or variable life trails. The potential for receipt of commissions and other compensation gives the IAR an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this conflict, clients purchasing insurance from this IAR will receive certain disclosure documents (e.g., prospectus) and complete additional documentation to complete the transaction. All insurance activity is supervised directly by the Silverleaf CCO. Curtis devotes approximately 80% of his time to commission securities business.

ITEM 5 Additional Compensation

Silverleaf's Supervised Persons do not receive additional compensations for advisory services other than from the sale of insurance products previously described.

ITEM 6 Supervision

Justin Gibson, Chief Compliance Officer, is responsible for monitoring the activities of Silverleaf's supervised persons. Justin Gibson's telephone number is 402-934-7200. Mr. Gibson supervises the firm personnel and the investments made in client accounts. Mr. Gibson monitors the investments recommended by the IARs to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Mr. Gibson periodically reviews the advisory activities of each IAR, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by the IAR. All of Silverleaf's supervised persons receive the Investment Adviser Supervisory Manual and Code of Ethics and are asked to annually certify to their understanding of the material.

**Heather Vondra
Silverleaf Advisor Group, LLC**

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website: www.silverleafwealth.com

IARD/CRD Number: 4669520

December 1, 2016

This brochure supplement provides information about Heather Vondra that supplements the Silverleaf Advisor Group, LLC brochure. You should have received a copy of that brochure. Please contact Justin Gibson, Chief Compliance Officer, at 402-934-7200 if you did not receive Silverleaf Advisor Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Heather Vondra also is available on the SEC's website at www.adviserinfo.sec.gov. Silverleaf's CRD number is 286071.

ITEM 2 Educational Background and Business Experience

Heather Vondra

Born 1978

Post-Secondary Education:

Bellevue University, Business, Bellevue, NE 02/2006 – 06/2007

University of Nebraska, Business, Omaha, NE 08/2000 -06/2002

Mount Mercy College, Education, Cedar Rapids, IA 08/1996 – 05/1996

She has passed Series 7, (05/2004), Series 63 (09/2005), and Series 65 (01/2009) Examinations.

Recent Business Background:

Silverleaf Advisor Group, LLC, Client Service Associate, 12/2016 – Present

WealthPLAN Partners, Client Service Associate, 04/2015 – 12/2016

LPL Financial, LLC, Registered Admin, 04/2015 – Present

Waddell & Reed, Associated Person, 09/2008 – 04/2015

ITEM 3 Disciplinary Information

There are no legal or disciplinary events that are related to the above listed supervised person.

ITEM 4 Other Business Activities

Heather Vondra does not have a pending application to register as a registered representative, an associated person of a futures commission merchant, a commodity pool operator, or a commodity trading adviser.

Heather is required to disclose information regarding any investment-related business or occupation in which Heather is actively engaged. Currently, Heather has no items to disclose.

ITEM 5 Additional Compensation

Silverleaf's Supervised Persons do not receive additional compensations for advisory services other than from the sale of insurance products previously described.

ITEM 6 Supervision

Justin Gibson, Chief Compliance Officer, is responsible for monitoring the activities of Silverleaf's supervised persons. Justin Gibson's telephone number is 402-934-7200. Mr. Gibson supervises the firm personnel and the investments made in client accounts. Mr. Gibson monitors the investments recommended by the IARs to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Mr. Gibson periodically reviews the advisory activities of each IAR, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by the IAR. All of Silverleaf's supervised persons receive the Investment Adviser Supervisory Manual and Code of Ethics and are asked to annually certify to their understanding of the material.

Nicholas Engel
Silverleaf Advisor Group, LLC

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website: www.silverleafwealth.com

IARD/CRD Number: 5083908

December 1, 2016

This brochure supplement provides information about Nicholas Engel that supplements the Silverleaf Advisor Group, LLC brochure. You should have received a copy of that brochure. Please contact Justin Gibson, Chief Compliance Officer, at 402-934-7200 if you did not receive Silverleaf Advisor Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Nicholas Engel also is available on the SEC's website at www.adviserinfo.sec.gov. Silverleaf's CRD number is 286071.

ITEM 2 Educational Background and Business Experience

Nicholas Engel

Born 1980

Post-Secondary Education:

University of Nebraska, BS in Business Administration, Lincoln, NE 08/1998 – 05/2003

Attended Hastings Central Community College, Hastings, NE 08/2001 – 12/2001

He has passed Series 4 (12/2007), Series 7 (07/2006), Series 24 (11/2008), Series 63 (08/2006), and Series 65 (10/2015) Examinations.

Recent Business Background:

Silverleaf Advisor Group, LLC, Investment Adviser Representative, 12/2016 – Present

Engel Financial, Inc., President, 02/2016 - Present

WealthPLAN Partners, Investment Adviser Representative, 10/2015 – 12/2016

LPL Financial, LLC, Registered Representative, 10/2015 – Present

TD Ameritrade, Registered Representative, 03/2006 – 10/2015

ITEM 3 Disciplinary Information

There are no legal or disciplinary events that are related to the above listed supervised person.

ITEM 4 Other Business Activities

Nicholas Engel does not have a pending application to register as a registered representative, an associated person of a futures commission merchant, a commodity pool operator, or a commodity trading adviser.

Nicholas is required to disclose information regarding any investment-related business or occupation in which Nicholas is actively engaged. Currently, Nicholas has no items to disclose.

Registered Representative of a Broker-Dealer

Nicholas Engel is a registered representative of LPL Financial, LLC, an SEC registered broker-dealer and member of FINRA. In this capacity, Nicholas may provide securities brokerage services and implement securities transactions under a commission based arrangement. Nicholas may be entitled to a portion of the brokerage commissions paid to LPL Financial, LLC. He may also be entitled to a portion of any ongoing distribution or service ("trail") fees from the sale of mutual funds.

A conflict of interest exists to the extent that the IAR can recommend the purchase of securities where he receives commissions or other additional compensation as a result. The potential receipt of commissions provides an incentive for IAR to recommend investment products based on compensation he might receive from selling such products. However, Silverleaf does not allow advisors to earn commission on products that are included within advisory accounts.

IAR is also a licensed insurance agent. In this capacity, the IAR can sell insurance products such as fixed and variable life insurance products to clients and receive normal and customary commission compensation. You are under no obligation to purchase insurance products through this IAR on a commissionable basis. The IAR may receive other compensation such as fixed or variable life trails. The potential for receipt of commissions and other compensation gives the IAR an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this conflict, clients purchasing insurance from this IAR will receive certain disclosure documents (e.g.,

prospectus) and complete additional documentation to complete the transaction. All insurance activity is supervised directly by the Silverleaf CCO. Nicholas devotes approximately 25% of his time to commission securities business.

ITEM 5 Additional Compensation

Silverleaf's Supervised Persons do not receive additional compensations for advisory services other than from the sale of insurance products previously described.

ITEM 6 Supervision

Justin Gibson, Chief Compliance Officer, is responsible for monitoring the activities of Silverleaf's supervised persons. Justin Gibson's telephone number is 402-934-7200. Mr. Gibson supervises the firm personnel and the investments made in client accounts. Mr. Gibson monitors the investments recommended by the IARs to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Mr. Gibson periodically reviews the advisory activities of each IAR, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by the IAR. All of Silverleaf's supervised persons receive the Investment Adviser Supervisory Manual and Code of Ethics and are asked to annually certify to their understanding of the material.

**Patricia Sacco Krueger
Silverleaf Advisor Group, LLC**

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website: www.silverleafwealth.com

IARD/CRD Number: 2302944

December 1, 2016

This brochure supplement provides information about Patricia Sacco Krueger that supplements the Silverleaf Advisor Group, LLC brochure. You should have received a copy of that brochure. Please contact Justin Gibson, Chief Compliance Officer, at 402-934-7200 if you did not receive Silverleaf Advisor Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Patricia Sacco Krueger also is available on the SEC's website at www.adviserinfo.sec.gov. Silverleaf's CRD number is 286071.

ITEM 2 Educational Background and Business Experience

Patricia Sacco Krueger

Born 1966

Education: Plattsmouth High School, Plattsmouth, NE 9/1980 – 5/1984

Post-Secondary Education: Bellevue University (no degree), Bellevue, NE Various Dates

She has passed Series 7 (03/1995), Series 63 (06/1995) and Series 65 (06/1995) Examinations.

Recent Business Background:

Silverleaf Advisor Group, LLC, Client Service Associate, 12/2016 – Present

WealthPLAN Partners, Investment Adviser Representative, 04/2015 – 12/2016

LPL Financial, LLC, Registered Admin, 04/2015 – Present

DA Davidson, Senior Registered Associate, 02/2007 – 04/2015

Kirkpatrick Pettis/Smith Hayes Financial Services, Registered Associate, 10/1997 – 02/2007

ITEM 3 Disciplinary Information

There are no legal or disciplinary events that are related to the above listed supervised person.

ITEM 4 Other Business Activities

Patricia Krueger does not have a pending application to register as a registered representative, an associated person of a futures commission merchant, a commodity pool operator, or a commodity trading adviser.

Patricia is required to disclose information regarding any investment-related business or occupation in which Patricia is actively engaged. Currently, Patricia has no items to disclose.

ITEM 5 Additional Compensation

Silverleaf's Supervised Persons do not receive additional compensations for advisory services other than from the sale of insurance products previously described.

ITEM 6 Supervision

Justin Gibson, Chief Compliance Officer, is responsible for monitoring the activities of Silverleaf's supervised persons. Justin Gibson's telephone number is 402-934-7200. Mr. Gibson supervises the firm personnel and the investments made in client accounts. Mr. Gibson monitors the investments recommended by the IARs to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Mr. Gibson periodically reviews the advisory activities of each IAR, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by the IAR. All of Silverleaf's supervised persons receive the Investment Adviser Supervisory Manual and Code of Ethics and are asked to annually certify to their understanding of the material.

