

Item 1: Cover Page

This brochure supplement provides information about John M. Riley that supplements the TCP Asset Management, LLC's firm Brochure. You should have received a copy of that brochure. Please contact Joshua R. Allen, Chief Compliance Officer, if you did not receive TCP Asset Management, LLC's firm Brochure or if you have any questions about the content of this supplement. Additional information about John M. Riley is also available on the Securities and Exchange Commission's website at www.adviserinfo.sec.gov. You may also call 614-761-9378 or send an email to Mr. Allen, Chief Compliance Officer, at the following email address: Josh@trendencycapital.com.

TCP Asset Management, LLC

Form ADV, Part 2B – Individual Disclosure Brochure

for

John M. Riley, CMFC[®]

Investment Advisor Representative

CRD No. 2325085

8415 Pulsar Place, Suite 210

Columbus, Ohio 43240

Tele: 614-761-9378 | Fax: 614-441-9945

Email: JRiley@trendencycapital.com

Website: www.trendencycapital.com

Date: 03/31/2017

Item 2: Educational Background and Business Experience

Name: John M. Riley
Born: 1965
Title: Investment Advisor Representative of
TCP Asset Management, LLC

Education: Bachelor of Business Administration – Finance: 1992
Capital University – Columbus, Ohio

Associates of Science: 1990
Manatee Community College – 1990

Examinations / Licenses: Series 6 – Investment Company Products/Variable
Contracts Representative Examination

Series 63 – Uniform Securities Agent State Law
Examination

1. Chartered Mutual Fund CounselorSM or CMFC[®]

The Chartered Mutual Fund CounselorSM or CMFC[®] designation is the only industry-recognized mutual fund designation. CRPC[®] certification requirements are as follows:

- Complete a course of study encompassing all aspects of mutual funds and their uses as investment vehicles.
- Pass an end-of-course examination that tests ability to synthesize complex concepts and apply theoretical concepts to real-life situations.
- All designees have agreed to adhere to the Standards of Professional Conduct and are subject to a disciplinary process.
- Designees renew their designation every two-years by completing sixteen (16) hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

Business Background		
Employer	Title	Dates
TCP Asset Management, LLC Columbus, OH	Investment Advisor Representative	01/2017 – Present
United Planners Financial Services Scottsdale, AZ	Registered Representative	12/2016 – Present
Trendency Capital Management, LLC Columbus, OH	Investment Advisor Representative	02/2015 – 01/2017

Employer	Title	Dates
Securities America, Inc. Columbus, OH	Registered Representative	01/2015 – 10/2016
NFP Securities, Inc. Dublin, OH	Registered Representative	03/2013 – 01/2015
G & W Equity Sales, Inc. Indianapolis, IN	Registered Representative	07/1994 – 01/2015
S A Bogenrief & Assoc., LLC Dublin, OH	Representative	05/1994 – 01/2013
Self Employed Worthington, OH	Other – Sales Representative	05/1994 – 01/2001

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

Item 4: Other Business Activities

Mr. Riley is a licensed insurance agent with various insurance companies. In addition, Mr. Riley is a Registered Representative of United Planners Financial Services, an unaffiliated broker-dealer.

Item 5: Additional Compensation

Mr. Riley does not receive any economic benefit from any person, company, or organization, in exchange for providing Client advisory services through TCP Asset Management, LLC other than his compensation paid by the Company, i.e., salary and/or bonuses.

Mr. Riley receives compensation as a licensed insurance agent. And, as a Registered Representative of United Planners, an unaffiliated broker-dealer, he receives commissions based on the sale of securities and other investment products.

For those Investment Advisor Representatives ("IARs") of the Company that are also registered as Registered Representatives ("RR") of United Planners Financial Services ("UP"), an unaffiliated broker-dealer, as well as those IARs that may be licensed insurance agents, either of these situations may cause a conflict of interest. As RRs of UP, the IAR may sell general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuities, and variable life products to advisory Clients and earn a commission on these sales from UP. Some, if not all, of the IARs are also licensed insurance agents and may offer insurance products through unaffiliated insurance entities to the Company's advisory Clients and may earn

a commission on any sales. This may create a conflict of interest just by the IAR earning a commission on the sale of securities products or as an insurance agent earning commissions on insurance products. Clients are under no obligation to purchase securities through their IAR in their separate capacity as a RR or insurance products in their separate capacity as an insurance agent. TCP is neither a broker-dealer nor an insurance agency, and therefore, does not offer either securities or insurance products.

Item 6: Supervision

Mr. Riley is supervised by Joshua R. Allen, Chief Compliance Officer. Mr. Allen supervises all duties and activities of Mr. Riley. Mr. Riley's contact information is on the cover page of this disclosure document. Mr. Riley adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the Company's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.