



Lokken Investment Group, LLC

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Website: www.lokkeninvest.com

Dated November 11, 2016

Form ADV Part 2B – Brochure Supplement

For

Jonathan Lokken, Managing Principal, and Chief Compliance Officer

This brochure supplement provides information about Jonathan Lokken that supplements the Lokken Investment Group, LLC ("LIG") brochure. A copy of that brochure precedes this supplement. Please contact Jonathan Lokken if the LIG brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Mr. Lokken is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 2956806.

Item 2: Educational Background and Business Experience

Jonathan Lokken

Born: 1961

Educational Background

- 1983 – Bachelor of Arts, Carleton College

Business Experience

- 07/2016 – Present, Lokken Investment Group, LLC, Managing Principal and CCO
- 12/2008 – 07/2016, Wells Fargo Advisors Financial Network d/b/a Lokken Investment Group, LLC), Owner
- 10/1999 – 12/2008, Merrill Lynch, Vice President
- 09/1997 – 10/1999, Dean Witter Reynolds, Financial Advisor

Professional Designations, Licensing & Exams

Certified Investment Management Analyst (CIMA): The CIMA certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider, pass an online Certification Examination, and have an acceptable regulatory history. CIMA designees are required to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA).

Item 3: Disciplinary Information

No management person at Lokken Investment Group, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Jonathan Lokken is not involved with outside business activities.

Item 5: Additional Compensation

Jonathan Lokken does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through LIG.

Item 6: Supervision

Jonathan Lokken, as Owner and Chief Compliance Officer of LIG, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

Lokken Investment Group, LLC has established, maintains and enforces written supervisory guidelines to reasonably supervise the activities of an Investment Advisory Representative and any associated persons to achieve compliance with the Maryland Securities Act and the Rules and Regulations promulgated thereunder.

Item 7: Requirements for State Registered Advisers

Jon Lokken has NOT been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.