

Investplex LLC

Form ADV Part 2A – Disclosure Brochure

Effective: May 4, 2016

This Form ADV2A (“Disclosure Brochure”) provides information about the qualifications and business practices of Investplex LLC (“Investplex” or the “Advisor”). If you have any questions about the contents of this Disclosure Brochure, please contact us via our website <http://investplex.com>.

Investplex is a registered investment advisor with the U.S. Securities and Exchange Commission (“SEC”). The information in this Disclosure Brochure has not been approved or verified by the SEC or by any state securities authority. Registration of an investment advisor does not imply any specific level of skill or training. This Disclosure Brochure provides information through Investplex to assist you in determining whether to retain the Advisor.

Additional information about Investplex and its advisory persons are available on the SEC’s website at www.adviserinfo.sec.gov by searching by our firm name or by our CRD number – **283666**.

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Phone: (305) 318-4491
<http://investplex.com>

Item 2 – Material Changes

Form ADV 2 is divided into two parts: *Part 2A (the "Disclosure Brochure")* and *Part 2B (the "Brochure Supplement")*. The Disclosure Brochure provides information about a variety of topics relating to an Advisor's business practices and conflicts of interest. The Brochure Supplement provides information about advisory personnel of Investplex.

Investplex believes that communication and transparency are the foundation of its relationship with Clients and will continually strive to provide its Clients with complete and accurate information at all times. Investplex encourages all current and prospective Clients to read this Disclosure Brochure and discuss any questions you may have with us. And of course, we always welcome your feedback.

Material Changes

This is the initial filing of the Disclosure Brochure.

Future Changes

From time to time, we may amend this Disclosure Brochure to reflect changes in our business practices, changes in regulations and routine annual updates as required by the securities regulators. This complete Disclosure Brochure or a Summary of Material Changes shall be provided to each Client annually and if a material change occurs in the business practices of Investplex.

At any time, you may view the current Disclosure Brochure on-line at the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching for our firm name or our CRD# 283666.

You may also request a copy of this Disclosure Brochure at any time, by contacting us via our website <http://investplex.com>.

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Item 4 – Advisory Services

A. Firm Information

Investplex LLC (“Investplex” or the “Advisor”) is a registered investment advisor with the U.S. Securities and Exchange Commission (“SEC”) conducting business as an “Internet-only” advisor pursuant to Section 203A-2(e) of the Investment Advisers Act of 1940, as amended (the “Advisers Act”).

Investplex is organized as a Limited Liability Company (LLC) under the laws of the State of Florida. Investplex was founded in March 2016, and is owned and operated by Jorge A. Betancourt Carreno (Managing Member and Chief Compliance Officer). This Disclosure Brochure provides information regarding the qualifications, business practices, and the advisory services provided by Investplex.

B. Advisory Services Offered

Investplex offers investment advisory services to individuals, high net worth individuals, and other types of clients through the Advisors interactive web site, <http://investplex.com> (each referred to as a “Client”).

Investment Management Services

Investplex provides investment advisory solutions for its Clients. This is achieved through continuous personal Client contact and interaction while providing discretionary investment management and consulting services. Investplex assists the Client to identify their investment goals and objectives as well as risk tolerance and financial situation in order to create a portfolio allocation through its interactive website and other tools. Once the Client has determined their investment goals and objectives as well as risk tolerance and financial situation, the Advisor will construct a portfolio consisting of diversified mutual funds and/or exchange-traded funds (“ETFs”).

Investplex’s investment strategy is primarily long-term focused, but the Advisor may buy, sell or re-allocate positions that have been held less than one year to meet the objectives of the Client or due to market conditions. Investplex will construct, implement and monitor the portfolio to ensure it meets the goals, objectives, circumstances, and risk tolerance agreed to by the Client. Each Client will have the opportunity to place reasonable restrictions on the types of investments to be held in their respective portfolio, subject to acceptance by the Advisor.

Investplex evaluates and selects investments for inclusion in Client portfolios only after applying its internal due diligence process. Investplex may recommend, on occasion, redistributing investment allocations to diversify the portfolio. Investplex may recommend specific positions to increase sector or asset class weightings. The Advisor may recommend employing cash positions as a possible hedge against market movement, which may adversely affect the portfolio. Investplex may recommend selling positions for reasons that include, but are not limited to, harvesting capital gains or losses, business or sector risk exposure to a specific security or class of securities, overvaluation or overweighting of the position[s] in the portfolio, change in risk tolerance of Client, generating cash to meet Client needs, or any risk deemed unacceptable for the Client’s risk tolerance.

Investplex will provide investment advisory services and portfolio management services and will not provide securities custodial or other administrative services. At no time will Investplex accept or maintain custody of a Client’s funds or securities, except for authorized deduction of the Advisor’s fees. All Client assets will be managed within their designated brokerage account or pension account, pursuant to the Client Investment Advisory Agreement.

Limited Access to Advisor

The Advisor serves as an “Internet-Only” investment advisor pursuant to Section 203A-2(e) of the Advisers Act. Under this registration the Advisor provides its investment advice solely through its interactive website. The Advisor is not available to Clients for telephone conversations or communications through other means such as U.S. mail, courier or email (other than operational emails). The Advisor does not meet with Client’s at its offices. All advice, support and inquiries are delivered through the Advisor’s website.

The Advisor may, for a limited number of Clients annually, provide customized services, subject to the limitations set forth in Section 203A-2(e) of the Advisers Act.

Selection of Other Advisors

Investplex may periodically recommend and refer clients to unaffiliated money managers or investment advisors at Investplex's discretion or Client's request. Through this arrangement, the Client will then enter into an advisory agreement with that sub-advisor to which that sub-advisor will assist and advise the Client in establishing investment objectives and develop an investment strategy to meet those objectives by identifying appropriate investments and monitoring such investments. In consideration for such sub-advisor services, the sub-advisor will receive an investment advisory fee, billed based on the fee schedule the Client establishes with the unaffiliated money manager[s] or investment advisor[s].

Investplex will receive a portion of the investment advisory fee for the solicitation and referral of the Client to the sub-advisor, and may assist the Client in completing their Client questionnaire and account opening paperwork. Investplex may also assist in the development of the initial policy recommendations and managing the ongoing Client relationship.

The Client, prior to entering into an agreement with unaffiliated money manager[s] or investment advisor[s], will be provided with the advisor's Form ADV 2 (or a brochure that makes the appropriate disclosures).

Financial Planning Services

Investplex will provide a variety of financial planning services to Clients through its interactive website. The Advisor provides a variety of services in several areas of a Client's financial situation, depending on their goals, objectives and financial situation. Generally, such financial planning services will involve preparing a financial plan or rendering a financial consultation based on the Client's financial goals and objectives. This planning or consulting may encompass one or more areas of need, including, but not limited to investment planning, retirement planning, personal savings, education savings and other areas of a Client's financial situation.

A financial plan developed for or financial consultation rendered to the Client will usually include general recommendations for a course of activity or specific actions to be taken by the Client. For example, recommendations may be made that the Client start or revise their investment programs, commence or alter retirement savings, establish education savings and/or charitable giving programs. Investplex may also refer Clients to an accountant, attorney or other specialist, as appropriate for their unique situation. For certain financial planning engagements, the Advisor will provide a written summary of Client's financial situation, observations, and recommendations. For consulting or ad-hoc engagements, the Advisor may not provide a written summary. Plans or consultations are typically completed within six months of contract date, assuming all information and documents requested are provided promptly.

Financial planning and consulting recommendations may pose a potential conflict between the interests of the Advisor and the interests of the Client. For example, a recommendation to engage the Advisor for investment management services or to increase the level of investment assets with the Advisor would pose a conflict, as it would increase the advisory fees paid to the Advisor. Clients are not obligated to implement any recommendations made by the Advisor or maintain an ongoing relationship with the Advisor. If the Client elects to act on any of the recommendations made by the Advisor, the Client is under no obligation to effect the transaction through the Advisor.

C. Client Account Management

Prior to engaging Investplex to provide investment advisory services, each Client is required to enter into an investment advisory agreement with the Advisor that defines the terms, conditions, authority and responsibilities of the Advisor and the Client. These services may include:

- Establishing an Investment Policy Statement – Investplex, in connection with the Client, will assist in developing investment goals and objectives and the strategies to achieve those goals.
- Asset Allocation – Investplex will develop a strategic asset allocation that is targeted to meet the investment objectives, time horizon, financial situation and tolerance for risk for each Client.

- Portfolio Construction – Investplex will develop a portfolio for the Client that is intended to meet the stated goals and objectives of the Client.
- Investment Management and Supervision – Investplex will provide investment management and ongoing oversight of the Client's portfolio and overall account.

D. Wrap Fee Programs

Investplex does not manage or place Client assets into a wrap fee program. Investment management services are provided directly by Investplex.

E. Assets Under Management

The Advisor does not provide investment management services and therefore has no response for this item.

Item 5 – Fees and Compensation

The following paragraphs detail the fee structure and compensation methodology for services provided by the Advisor. Each Client shall sign an investment advisory agreement that details the responsibilities of Investplex and the Client.

A. Fees for Advisory Services

Subscription Fees for <http://investplex.com>

Investplex has two-levels of service for its users, the first being a Freemium Service, which allows basic access to their web-based services. The Advisor also has a Paid Subscription Service that offers the Client full access to the site <http://investplex.com>.

B. Fee Billing

Subscription Fees for <http://investplex.com>

Investplex has a monthly fee of \$14.95 for Clients who utilize the full service they offer. The Advisor accepts all forms of payment other than check.

C. Other Fees and Expenses

Clients may incur certain fees or charges imposed by third parties, other than Investplex, in connection with the advice provided to Clients. The Client is responsible for all custodial and securities execution fees charged by the custodian and executing broker-dealer. The investment advisory fee charged by Investplex is separate and distinct from these custodian and execution fees.

In addition, all fees paid to Investplex for investment advisory services are separate and distinct from the expenses charged by mutual funds and exchange-traded funds to their shareholders, if applicable. These fees and expenses are described in each fund's prospectus. These fees and expenses will generally be used to pay management fees for the funds, other fund expenses, account administration (e.g., custody, brokerage and account reporting), and a possible distribution fee. A Client could invest in these products directly, without the services of Investplex, but would not receive the services provided by Investplex which are designed, among other things, to assist the Client in determining which products or services are most appropriate for each Client's financial situation and objectives. Accordingly, the Client should review both the fees charged by the fund[s] and the fees charged by Investplex to fully understand the total fees to be paid.

D. Advance Payment of Fees and Termination

Subscription Fees for <http://investplex.com>

The Client can cancel services from the site within 5 days of the start of the month, if cancelled after the fact then the cancellation will go through at the start of the next month.

E. Compensation for Sales of Securities

Investplex does not buy or sell securities and does not receive any compensation for securities transactions in any Client account, other than the investment advisory fees noted above.

Item 6 – Performance-Based Fees and Side-By-Side Management

Investplex does not charge performance-based fees for its investment advisory services. The fees charged by Investplex are as described in “Item 5 – Fees and Compensation” above and are not based upon the capital appreciation of the funds or securities held by any Client.

Investplex does not manage any proprietary investment funds or limited partnerships (for example, a mutual fund or a hedge fund) and has no financial incentive to recommend any particular investment options to its Clients.

Item 7 – Types of Clients

Investplex provides investment advisory services to individual and high net worth and individual via the Advisors interactive web site, <http://investplex.com>. The relative percentage of each type of Client is available on Investplex’s Form ADV Part 1. These percentages will change over time. Investplex generally does not impose a minimum size for establishing a relationship.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

A. Methods of Analysis

Investplex primarily employs fundamental analysis methods in developing investment strategies for its Clients. Research and analysis from Investplex is derived from numerous sources, including financial media companies, third-party research materials, Internet sources, and review of company activities, including annual reports, prospectuses, press releases and research prepared by others.

As noted above, Investplex generally employs a long-term investment strategy for its Clients, as consistent with their financial goals. Investplex will typically hold all or a portion of a security for more than a year, but may hold for shorter periods for the purpose of rebalancing a portfolio or meeting the cash needs of Clients. At times, Investplex may also buy and sell positions that are more short-term in nature, depending on the goals of the Client and/or the fundamentals of the security, sector or asset class.

B. Risk of Loss

Past performance is not a guarantee of future returns. Investing in securities involves certain investment risks. Securities may fluctuate in value or lose value. Investplex will assist Clients in determining an appropriate strategy based on their tolerance for risk and other factors noted above. However, there is no guarantee that a Client will meet their investment goals. Investing in securities and other investments involve a risk of loss that each Client should understand and be willing to bear. Additional details regarding the risks associated with a Client’s investment portfolio are also provided on the Advisor’s website.

Item 9 – Disciplinary Information

There are no legal, regulatory or disciplinary events involving Investplex or its Supervised Persons.

Investplex and its advisory personnel value the trust you place in us. As we advise all Clients, we encourage you to perform the requisite due diligence on any advisor or service provider with whom you partner. Our backgrounds are on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching for our firm name or by our CRD# 283666.

Item 10 – Other Financial Industry Activities and Affiliations

Investplex does not have other financial industry activities or affiliations.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

A. Code of Ethics

Investplex has implemented a Code of Ethics that defines our fiduciary commitment to each Client. This Code of Ethics applies to all Supervised Persons associated with Investplex (herein our “Supervised Persons”). The Code of Ethics was developed to provide general ethical guidelines and specific instructions regarding our duties to you, our Client. Investplex and its Supervised Persons owe a duty of loyalty, fairness and good faith towards

each Client. It is the obligation of Investplex Supervised Persons to adhere not only to the specific provisions of the Code, but also to the general principles that guide the Code. The Code of Ethics covers a range of topics that address employee ethics and conflicts of interest. To request a copy of our Code of Ethics, please contact us via our interactive web site <http://investplex.com>.

B. Personal Trading with Material Interest

Investplex allows our Supervised Persons to purchase or sell the same securities that may be recommended to Clients. Investplex does not act as principal in any transactions. In addition, the Advisor does not act as the general partner of a fund, or advise an investment company. Investplex does not have a material interest in any securities traded in Client accounts.

C. Personal Trading in Same Securities as Clients

Investplex allows its Supervised Persons to purchase or sell the same securities that may be recommended to Clients. Owning the same securities, we recommend to you presents a potential conflict of interest that, as fiduciaries, we must disclose to you and mitigate through policies and procedures. As noted above, we have adopted, consistent with Section 204A of the Investment Advisers Act of 1940, a Code of Ethics, which addresses insider trading (material non-public information controls) and personal securities reporting procedures. When trading for personal accounts, Supervised Persons of Investplex may have a conflict of interest if trading in the same securities. The fiduciary duty to act in the best interest of its Clients can potentially be violated if personal trades are made with more advantageous terms than Client trades, or by trading based on material non-public information. Investplex does not trade in Client accounts, which mitigates this conflict. This risk is further mitigated by Investplex requiring reporting of personal securities trades by its Supervised Persons for review by the Chief Compliance Officer ("CCO"). Investplex has also adopted written policies and procedures to detect the misuse of material, non-public information.

D. Personal Trading at Same Time as Client

Investplex does not trade in Client accounts. **At no time will Investplex, or any Supervised Person of Investplex, transact in any security to the detriment of any Client.**

Item 12 – Brokerage Practices

A. Recommendation of Custodian[s]

Investplex does not have discretionary authority to select the broker-dealer/custodian for custodial and execution services. The Client is solely responsible for the implementation of investment recommendations. Where Investplex does not exercise discretion over the selection of the Custodian, it may recommend a Custodian to a Client for execution and/or custodial services. Clients are not obligated to use the Custodian recommended by the Advisor. The Advisor does not assume responsibility for implementation of investments for Client accounts. Investplex does not participate in soft dollar programs sponsored or offered by any broker-dealer and does not receive any compensation from any third party in connection with the recommendation for establishing a brokerage account.

Item 13 – Review of Accounts

A. Frequency of Reviews

Investments in Investplex model portfolios are monitored on a regular and continuous basis and the recommendations are provided to Clients. Clients are solely responsible for the implementation of investment recommendations. The Advisor does not have access to or monitor investment accounts of any Client.

B. Causes for Reviews

Not applicable.

C. Review Reports

The Client will receive information on the investment models for which the Client is subscribed through their Investplex.com account[s]. The Advisor may also provide Clients with periodic reports regarding securities and markets.

Item 14 - Client Referrals and Other Compensation

A. Compensation Received by Investplex

Investplex is a fee-only advisory firm, who, in all circumstances, is compensated solely by the Client. Investplex does not receive commissions or other compensation from product sponsors, broker-dealers or any un-related third party. Investplex may refer Clients to various third parties to provide certain financial services necessary to meet the goals of its Clients. Likewise, Investplex may receive referrals of new Clients from a third-party.

B. Client Referrals from Solicitors

Investplex does not engage paid solicitors for Client referrals.

Item 15 – Custody

Investplex does not accept or maintain custody of any Client accounts. Clients are required to select their own custodian to retain their funds and securities, as applicable.

Item 16 – Investment Discretion

Investplex does not manage Client assets and therefore has no disclosure for this Item.

Item 17 – Voting Client Securities

Investplex does not accept proxy-voting responsibility for any Client. The Client retains the sole responsibility for proxy decisions and voting.

Item 18 – Financial Information

Neither Investplex, nor its management, have any adverse financial situations that would reasonably impair the ability of Investplex to meet all obligations to its Clients. Neither Investplex, nor any of its advisory persons, has been subject to a bankruptcy or financial compromise. Investplex is not required to deliver a balance sheet along with this Disclosure Brochure as the Advisor does not collect fees of \$1,200 or more for services to be performed six months or more in advance.

Form ADV Part 2B – Brochure Supplement

for

**Jorge A. Betancourt Carreno
Managing Partner, Chief Compliance Officer**

Effective: May 4, 2016

This Form ADV 2B (“ Brochure Supplement”) provides information about the background and qualifications of Jorge A. Betancourt Carreno (CRD# 5608783) in addition to the information contained in the Investplex LLC (“Investplex” or the “Advisor”) (CRD # 283666) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Investplex Disclosure Brochure or this Brochure Supplement, please contact us via our website <http://investplex.com>.

Additional information about Mr. Betancourt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Investplex LLC

17555 Collins Avenue, Suite 1708, Sunny Isles Beach, FL 33160

<http://investplex.com>

Item 2 – Educational Background and Business Experience

Jorge A. Betancourt Carreno, born in 1980, is dedicated to advising Clients of Investplex in his role as the Managing Partner, Chief Compliance Officer of Investplex. Mr. Betancourt earned a Masters of Business Administration in Management from Fitchburg State University in 2010. Mr. Betancourt earned a Masters of Arts in Education from University of Nebraska at Kearney in 2007. Mr. Betancourt earned a Bachelor of Arts from University of Massachusetts at Lowell in 2003. Additional information regarding Mr. Betancourt's employment history is included below.

Employment History:

Managing Partner, Chief Compliance Officer, Investplex LLC	03/2016 to Present

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Betancourt. Mr. Betancourt has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Betancourt.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Betancourt.***

However, we do encourage you to independently view the background of Mr. Betancourt on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his name or his Individual CRD# 5608783.

Item 4 – Other Business Activities

Mr. Betancourt is dedicated to the investment advisory activities of Investplex's Clients. Mr. Betancourt does not have any other business activities.

Item 5 – Additional Compensation

Mr. Betancourt is dedicated to the investment advisory activities of Investplex's Clients. Mr. Betancourt does not receive any additional forms of compensation.

Item 6 – Supervision

Mr. Betancourt serves as the Managing Partner, Chief Compliance Officer of Investplex. Mr. Betancourt can be reached at (305) 318-4491.

Investplex has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of Investplex. Further, Investplex is subject to regulatory oversight by various agencies. These agencies require registration by Investplex and its employees. As a registered entity, Investplex is subject to examinations by regulators, which may be announced or unannounced. Investplex is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Privacy Policy

Effective Date: May 4, 2016

Our Commitment to You

Investplex LLC ("Investplex" or the "Advisor") is committed to safeguarding the use of personal information of our Clients (also referred to as "you" and "your") that we obtain as your Investment Advisor, as described here in our Privacy Policy ("Policy").

Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything that we can to maintain that trust. Investplex (also referred to as "we", "our" and "us") protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used for proper business purposes in connection with the management or servicing of our relationship with you.

Investplex does not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and reasonable business purposes in connection with the servicing and management of our relationship with you, as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this Policy.

Why you need to know?

Registered Investment Advisors ("RIAs") must share some of your personal information in the course of servicing your account. Federal and State laws give you the right to limit some of this sharing and require RIAs to disclose how we collect, share, and protect your personal information.

What information do we collect from you?

Social security or taxpayer identification number	Assets and liabilities
Name, address and phone number(s)	Income and expenses
E-mail address(es)	Investment activity
Account information (including other institutions)	Investment experience and goals

What Information do we collect from other sources?

Custody, brokerage and advisory agreements	Account applications and forms
Other advisory agreements and legal documents	Investment questionnaires and suitability documents
Transactional information with us or others	Other information needed to service account

How do we protect your information?

To safeguard your personal information from unauthorized access and use we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. Our associates are trained on their responsibilities to protect Client's personal information.

We require third parties that assist in providing our services to you to protect the personal information they receive from us.

How do we share your information?

An RIA shares Client personal information to effectively implement its services. In the section below, we list some reasons we may share your personal information.

Basis For Sharing	Do we share?	Can you limit?
Servicing our Clients We may share non-public personal information with non-affiliated third parties (such as administrators, brokers, custodians, regulators, credit agencies, other financial institutions) as necessary for us to provide agreed upon services to you, consistent with applicable law, including but not limited to: processing transactions; general account maintenance; responding to regulators or legal investigations; and credit reporting.	Yes	No
Marketing Purposes Investplex does not disclose, and does not intend to disclose, personal information with non-affiliated third parties to offer you services. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where Investplex or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, not for marketing purposes.	No	Not Shared
Authorized Users Your non-public personal information may be disclosed to you and persons that we believe to be your authorized agent(s) or representative(s).	Yes	Yes
Information About Former Clients Investplex does not disclose and does not intend to disclose, non-public personal information to non-affiliated third parties with respect to persons who are no longer our Clients.	No	Not Shared

Changes to our Privacy Policy

We will send you a copy of this Policy annually for as long as you maintain an ongoing relationship with us.

Periodically we may revise this Policy, and will provide you with a revised policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.

Any Questions?

You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting us via our website <http://investplex.com>.